

**Questionnaire in preparation for an audit according to
IRIS Certification™ Rev.3 (International Railway Industry
Standard), based on ISO/TS 22163**



Dear Customer,

as a certification body for the certification of management systems we require some information about your company in order to provide you with an offer and to plan and prepare the certification and/or monitoring audits.

Your support in ensuring a seamless certification procedure is highly appreciated.

Please be kind to complete the questionnaire and include the required information / documents in the attachment.

1. General information (*registered office, total headcount, etc.*)

Name of site:	_____		
Address:	_____		
Postcode, town:	_____		
Country:	_____		
Contact person:	_____	Function:	_____
Telephone:	_____	Mobile:	_____
Fax:	_____	E-mail:	_____
VAT number:	_____	Web-site:	_____

2. Intended certification

<input type="checkbox"/> IRIS, Rev 3	<input type="checkbox"/> ISO 9001	<input type="checkbox"/> ISO 14001
<input type="checkbox"/> IATF 16949	<input type="checkbox"/> EN 9100	<input type="checkbox"/> OHSAS 18001
<input type="checkbox"/> VDA 6.1	<input type="checkbox"/> VDA 6.2	<input type="checkbox"/> VDA 6.4
<input type="checkbox"/> Other		

3. Possible exclusions

Product design resp. development

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4. Do you have any remote support locations (Example: distribution and development offices, external shipping warehouses etc.)?

Please enter all support areas at remote locations (incl. headcount and/or percental allocation to the sites) and continue with page 2.

Ident-Number of Remote location	Company / Location name and address	No. of relevant employees	Function (e.g. customer support, calibration, laboratory, contract review, development, storage/shipping)
1			
2			
3			

5. Do you have any site extension (Only manufacturing and maintenance activities)?

Ident-Number of Site extension	Address	No. of relevant employees	Function (manufacturing process and/or maintenance)
1			
2			
3			

6. Site headcount information (the total headcount including remote location and site extension):

- Number of employees in the rail vehicle area (incl. temporary workers) _____ Thereof temporary workers: _____
- Research and development _____
- Production / services _____

7. Certification scope:

Please indicate the **products and services** in the languages desired for the certifications, but at least in **English**; e.g. „Manufacturing of...“.

8. Products/ product groups/ services

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(Please indicate the IRIS scope, see attachment 1.)

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9. Management system certifications already received:

Certificate No.	Standard / regulation	Certifying body	Date of certification audit or last recertification audit (dd.mm.yyyy)	Valid until (dd.mm.yyyy)

We hereby confirm the completeness and correctness of the information provided above and in any attachments:

Place/date

Name, function

Signature*)

*) In case of a submission via email, the sender address is equally acceptable.

Important note: The IRIS Certification™ process based on a single-site certification approach, a multi-site certification approach does not apply, as referred by other certification processes e.g. ISO 9001, IATF 16949.

If there are more than one site to be certified in your organization, please fill and submit this form for each site.

Attachments;

- Attachment 1- IRIS Certification™ scopes of certification
- Attachment 2- Requested information / documents

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Attachment 1: IRIS Certification™ scopes of certification

The IRIS Certification™ scopes of certification are aligned with the standard EN 15380-2 Railway applications - Designation system for railway vehicles - Part 2: Product groups.

The table below establishes the link of the level one (1) main product groups (MPG) of the IRIS Certification™ scopes of certification to the associated main product groups (MPG) of the EN 15380-2, when applicable.

No	IRIS Certification™ description	EN 15380-2 MPG designation
01	Vehicle body (e.g.: Frame, Intermediate ceiling, Crash structure, Energy absorber, Inter trailer crash elements)	B
02	Vehicle fitting out (e.g.: Stretcher, Drivers desk module, Windscreen glass, Windscreen frame, Floor plate, Floor heating)	C
03	Guidance (e.g.: Transversal beam, Supports, Wheel, Longitudinal force device, Traction rod watt's linkage, Longitudinal force device, Lifting devices, Friction pads, Derail detection device, Wheel flat detection device, Vertical damper for primary suspension, Axle guide)	E
04	Power system, drive unit (e.g.: Voltage transducer, Current transducer, Turbo charger, Electrical filter, Line power converter, Exhaust gas after treatment, Super capacities)	F
05	Auxiliary systems (e.g.: De-icing device, Load measurement device, Signal receptor, Auxiliary intermediate circuit, Emergency converter, Gearbox cooling, Heat exchanger, Main air system, Monitoring and control unit, Auxiliary air system)	H M Q
06	Braking system (e.g.: Magnetic break control and monitoring system, Bogie mounted mechanics, Drivers desk actuators, Brake handle)	R
07	Interiors (e.g.: Newspaper net, Footrest, Fire detection system, Fire extinguish system, Emergency call device, Toilet bowl unit, Self-contained catering module, Catering compartments)	D
08	Control apparatus for train operations (e.g.: Electronic control unit, Pole wheels, European Vital Computer (EVC), Driver Display Units (DDU), Main Processor Unit (MPU), Cameras)	G
09	Passenger information Systems (PIS) (e.g.: Public Address (PA) unit, Passenger call unit, Emergency call equipment/ Interphone, Interactive panel, Railway open gateway (Rogate), Communication servers)	P
10	Communication, monitoring and safety equipment	J
11	Carrier systems, enclosures (e.g.: Switch and relay boxes)	T
12	Electrical wiring (e.g.: Medium Voltage Cable Harness, Low Voltage Cable Harness, System Cable Harness)	U

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No	IRIS Certification™ description	EN 15380-2 MPG designation
13	Doors, entrances (e.g.: Passenger doors, Drive mechanism, Passenger detection device, Ramps, Lifts)	N
14	Heating, Ventilating and Air Conditioning (HVAC) (e.g.: Refrigerant based cooling system, Evaporator, Waste heat exchanger, Electrical heating)	L
15	Lighting (e.g.: Lighting control unit, Lighting power supply unit)	K
16	Vehicle linkage devices (e.g.: Pneumatic coupler, Electric coupler, Mechanical coupler road, Mechanical coupler locking unit)	S
17	Rolling stock (e.g.: Trams, Light rail, Transit systems, conventional metro)	V
18	Infrastructure (e.g.: Components for control command and signalling for wayside, Level crossing mechanism, Overhead contact line, Contact wires, Insulators, Feeders, Jumpers, Rail, Fastening systems)	W
19	Single rail components (e.g.: Bearings, Connectors, Sensors)	X
20	Components related to special process work (e.g.: (Welding, Bonding, Heat treatment, Brazing, Soldering, Riveting, Forging, Painting)	Y

Attachment 2: Requested information / documents

The following documents must be sent to TÜV NORD CERT for preparation and planning of each audit (in order to comply with IRIS Certification™ rules: 2017, Clause 9.1)

Important: Following detailed information shall be provided by the client to the lead auditor, latest sixty (60) days in advance of the audit. In the case the organization does not send the required documentation sixty (60) calendar days in advance to the lead auditor, 0,5 audit days shall be used for the data review on-site

- customer perception (stakeholder analysis, key customers, related KPIs),
- customer feedbacks,
- customer complaint status and warranty claim statistics since the previous audit,
- turtle diagram for mandatory processes for performance evaluation,
- definition KPIs with link to internal/external process customer,
- KPIs values for the audited period,
- list of organization processes and interactions