

MSPO CERTIFICATION SUMMARY REPORT

PERMATA PLANTATION SDN. BHD.

SURVEILLANCE 04

Onsite Audit Date: 27/07/2023 – 28/07/2023

TUV NORD (Malaysia) Sdn Bhd
No. 9F-1A, 9th Floor, Tower 2 @ PFCC
Jalan Puteri 1/2, Bandar Puteri Puchong
47100 Puchong, Selangor.
Phone: +603 8600 4031/4032
Fax: +603 8600 4550

Table of Contents

1.	INTRODUCTION.....	4
1.1.	Objective	4
1.2.	Scope	4
1.3.	Appointment and qualification of team members	4
2.	METHODOLOGY.....	9
3.	ORGANISATION INFORMATION.....	11
3.1.	Production volume	11
3.2.	Planting Program for Each Estate	11
3.3.	Replanting program for each estate	11
3.4.	Maps of Estate Location	12
4.	CERTIFICATION ASSESSMENT	13
4.1.	ASA	13
4.2.	Stakeholders' Consultation	13
4.3.	Summary of Assessment	13
4.4.	Use of Marks and/or Any Other References to Certification	38
4.5.	Status of Non-Conformities Previously Identified	38
4.6.	Detail of Audit Findings in last audit	38
4.7.	Detail of Onsite Audit Findings Identified during this audit	40
5.	CONCLUSION	42
6.	RECOMMENDATION	43
7.	LIST OF STAKEHOLDERS	44
	Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities / Audit Objectives	45
	Annex / Enclosures	45

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Abbreviations

CHRA	Chemical Health Risk Assessment
CPO	Crude Palm Oil
CSR	Corporate Social Responsibility
DOE	Department of Environment
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
FFB	Fresh Fruit Bunch
GAP	Good Agricultural Practice
GPS	Global Positioning System
ISCC	International Sustainability & Carbon Certification
ISO	International Standard Organisation
MSPO	Malaysia Sustainable Palm Oil
NC	Non Conformity
OSH	Occupational Safety and Health
P&C	Principle and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable Sustainable Palm Oil
RTE	Rare, Threatened and Endangered Species
SA8000	Social Accountability 8000
SIA	Social Impact Assessment
SOP	Standard Operating Procedure
WHO	World Health Organization
MPOB	Malaysian Palm Oil Board
MPOCC	Malaysia Palm Oil Certification Council
SDS	Safety Data Sheet

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

1. INTRODUCTION

Permata Plantation Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct a surveillance audit for its oil palm estate according to MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

1.1. Objective

The objective of this surveillance audit is to assess the estate by an independent certification body with the aim for compliance of the standard.

1.2. Scope

The assessment is based on the documentation established by the Permata Plantation Sdn. Bhd.

The supporting documents are provided to the audit team as well as information received by means of interviews and background investigation.

The documents and information are reviewed against the requirements and criteria based on MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

TUV NORD Malaysia has employed a risk-based approach in the audit, focusing on the identification of significant risks and reliability of the assessment and reporting.

The following references are used as part of the assessment; the compliance of the requirements out of the guidelines applied was checked.

1. Malaysian Sustainable Palm Oil Part 3: General Principles for Oil Palm Plantations and Organised Smallholders audit guidance;
2. Palm Oil Supply Chain Traceability Requirements

1.3. Appointment and qualification of team members

The audit team appointed consists of one team leader and one team members. The audit team members contributed to the review of documents, the assessment of the project activity and preparation of the report.

Qualification of the Lead Auditor: Mohamad Norhisham Bin Mohd Salleh

Requirement	Qualifications
Post-secondary education, college or university diploma / degree in one of the following	Graduate in Applied Science (Major In Agrobiology).
i) Agriculture;	
ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,);	
iii) Engineering, Process Technology;	
iv) Energy Management, Quality Management;	
v) Social Sciences and/or Anthropology;	
vi) Business Management; or	
vii) Other relevant related fields	

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Requirement	Qualifications
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	5 years working experience in oil palm plantations.
Successfully completed MPOCC endorsed lead auditor trainings	Successfully completed MS2530 series of standards for Lead Auditors.
Conducted at least three (3) MSPO or equivalent sustainability certification audits as Lead Auditor-in-training with a minimum of fifteen (15) man-days under the supervision of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes within the last two (2) years.	Qualified and appointed as lead auditor for MSPO scheme.
Field working experience in the palm oil sector or demonstrable equivalent	5 years working experience in oil palm plantations.
Good Agricultural Practices (GAP) and Integrated Pest Management (IPM), pesticide and fertilizer use	5 years working experience in oil palm plantations.
Successfully completed Quality Management Systems (QMS) ISO9001 standard	Successfully completed ISO 9001:2015 Lead Auditor course.
Health and safety auditing on the estate processes and activities Or Successfully completed Occupational, Health & Safety Management System ISO 45001 standard	Successfully completed ISO 45001:2018 Lead Auditor course.
Workers welfare and social auditing experience or Successfully attended SA8000 or related social or ethical accountability codes	Successfully completed Basic SA 8000 training.
Environmental and ecological auditing or experience with organic agriculture. or Successfully completed Environmental Management Systems ISO 14001 standard	Successfully completed IMS ISO 14001:2015 Lead auditor course and auditor for MSPO scheme
Attended High Conversation Value Assessment training	Attended and completed the HCV-HCS for producer online course.
Able to communicate in Bahasa Malaysia, English and / or any other local language.	Able to communicate in Bahasa Malaysia and English.

Qualification of Team Member

Requirement	Name of Assessor	Qualification	Compliance
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or vii) Other relevant related fields	Khairul Anwar Bin Ismail	Graduate In Agricultural Science.	Yes
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	Khairul Anwar Bin Ismail	5 years working experience in oil palm plantations.	Yes
Successfully completed MPOCC endorsed lead auditor trainings	Khairul Anwar Bin Ismail	Successfully completed MS2530 series of standards for Lead Auditors.	Yes
Conducted a minimum six (6) on-site audits for a total of at least 20 man-days of audit experience as an auditor-in-training under the direction and guidance of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes.	Khairul Anwar Bin Ismail	Qualified and appointed as Lead auditor for MS2530 scheme.	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Requirement	Name of Assessor	Qualification	Compliance
Field working experience in the palm oil sector or demonstrable equivalent	Khairul Anwar Bin Ismail	5 years working experience in oil palm plantations.	Yes
Good Agricultural Practices (GAP) and Integrated Pest Management (IPM), pesticide and fertilizer use	Khairul Anwar Bin Ismail	5 years working experience in oil palm plantations.	Yes
Successfully completed Quality Management Systems (QMS) ISO9001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 9001, 14001, 45001 Lead Auditor course.	Yes
Health and safety auditing of the estate processes and activities Or Successfully completed Occupational, Health & Safety Management System ISO 45001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 45001:2018 Lead Auditor course.	Yes
Workers welfare and social auditing experience or Successfully attended SA8000 or related social or ethical accountability codes	Khairul Anwar Bin Ismail	Successfully completed Basic SA 8000 training.	Yes
Environmental and ecological auditing or experience with organic agriculture. or Successfully completed Environmental Management Systems ISO 14001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 14001:2015 Lead auditor course and auditor for MSPO scheme.	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
Certifying Unit: Permata Plantation Sdn. Bhd,
Client Number: 92-092
Audit Type: ASA 04
Mode of Audit: Onsite

Requirement	Name of Assessor	Qualification	Compliance
Completed High Conversation Value assessment	Khairul Anwar Bin Ismail	Attended and completed the HCV-HCS for producers online course.	Yes
Able to communicate in Bahasa Malaysia, English and / or any other local language.	Khairul Anwar Bin Ismail	Able to communicate in Bahasa Malaysia and English.	Yes

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

2. METHODOLOGY

The audit approach consists of the following steps:

- Appointment of team members;
- Contact client for relevant documentation according to the applicable MSPO standards;
- Audit planning;
- Background investigation, desk review of submitted documents;
- Assessment, inspections, interviews operational personnel, workers,; review of documentation;
- Reporting
- Resolution of non-conformance (NC) (if any)
- Draft audit reporting
- Technical review
- Final audit reporting
- Final approval, certification decision and issuance of certificate.

Surveillance:

The Surveillance audit conducted onsite on 27/07/2023 – 28/07/2023 covering the following activities but not limited to below:

Onsite:

- Background investigation
- Review of documentation established but not limited to below
 - Policies
 - Estate maps
 - Land titles
 - Standard Operating Procedures;
 - Work Flow Charts;
 - Management Plans;
 - Operating licenses and approvals;
 - Operating records;
 - Training records;
 - Applicable Legislation Documents
- Onsite visit, observations and inspection of estate facilities and field activities;
- Interview operation personnel and field workers for understanding of work assigned;

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

- Reviewed revised and updated documentation established and implemented;
- Reports established;
- Work plans established;
- Review and closed out of non-conformance raised during in last audit;
- Assessment reporting;

On-site Assessment

The audit of the estate is conducted according to the MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

The methodology for collection of objective evidence by means of physical inspections, observation of tasks and processes, interview workers and operation personnel, , review of documentation and data. Checklists and questionnaires were used to guide the collection of information

In accordance to MPOCC Guidance on Remote Audits due to Covid-19 Pandemic Restrictions Version 4.0 dated 26/10/2021 with reference to the latest announcement by the Government on the interstate travel approval for fully vaccinated individual “Pergerakan rentas negeri – dibenarkan bagi yang lengkap vaksin” on the 11th October 2021, the Accredited Certification Bodies (ACBs) and Certification Bodies (CBs) shall in their best position strive to ensure that an on-site audit by the Audit Team be conducted.

All ACBs and CBs are to adhere to the National Security Council (NSC) announcement of the National Recovery Plan (NRP) and the Enhanced Movement Control Order (EMCO) by referring to their official website at: <https://www.mkn.gov.my/web/ms/covid-19/> (EMCO) by referring to their official website at : <https://www.mkn.gov.my/web/ms/covid-19/>.

Table 2-1: Estate Selected

Name of Estate	Coordinates
Permata Plantation Sdn. Bhd.	4° 34' 8" N, 118° 11' 39" E

For the surveillance audit 04, Permata Plantation Sdn. Bhd. has 1 estate. Therefore, no selection required.

Non-conformance:

On the basis of the desk review, evidences presented during the audits as well as from the onsite visits non-conformance (NC) Major, Minor and Opportunity for Improvement (OFI) may be raised during the audit.

Major non-conformance shall be addressed and responded with 60 days from closing date of audit. For minor non-conformances raised and action plan to be submitted within 30 days from closing date of audit for review and acceptance. Implementation of Minor NC will be review and verify in the next audit.

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

3. ORGANISATION INFORMATION

Permata Plantation Sdn. Bhd. is an oil palm plantation company located at KM 66, Jalan Tawau/Kunak 91000 Tawau, Sabah.

The details of the estate as below:

Name of Estate	Location	Coordinates
Permata Plantation Sdn. Bhd.	KM 66, Jalan Tawau/Kunak 91000 Tawau, Sabah.	4° 34' 8" N, 118° 11' 39" E

3.1. Production volume

Name of Estate	Area (Ha)		Projected FFB Production (mt) (Jan 2023 to Dec 2023)
	Total*	Production**	
Permata Plantation Sdn Bhd	228.22	209.23	2,300
Total	228.22	209.23	2,300

*includes productive and non-productive area (infrastructures, conservation, HCV, housing & office compound use, set aside area etc.)

**Immature + Mature Area

3.2. Planting Program for Each Estate

Year / Estate	Permata Plantation Sdn Bhd (ha)
1997	8.56
2013	87.67
2018	37.18
Total Mature	133.41
2021	39.91
2022	35.91
Total Immature	75.82
Total	209.23

3.3. Replanting program for each estate

Year of replanting	Planted area (ha) in each estate	Total area to be replanted (ha)
2023	34.30	34.30
TOTAL	34.30	34.30

3.4. Maps of Estate Location



Figure 1: Permata Plantation Sdn. Bhd, location on map.

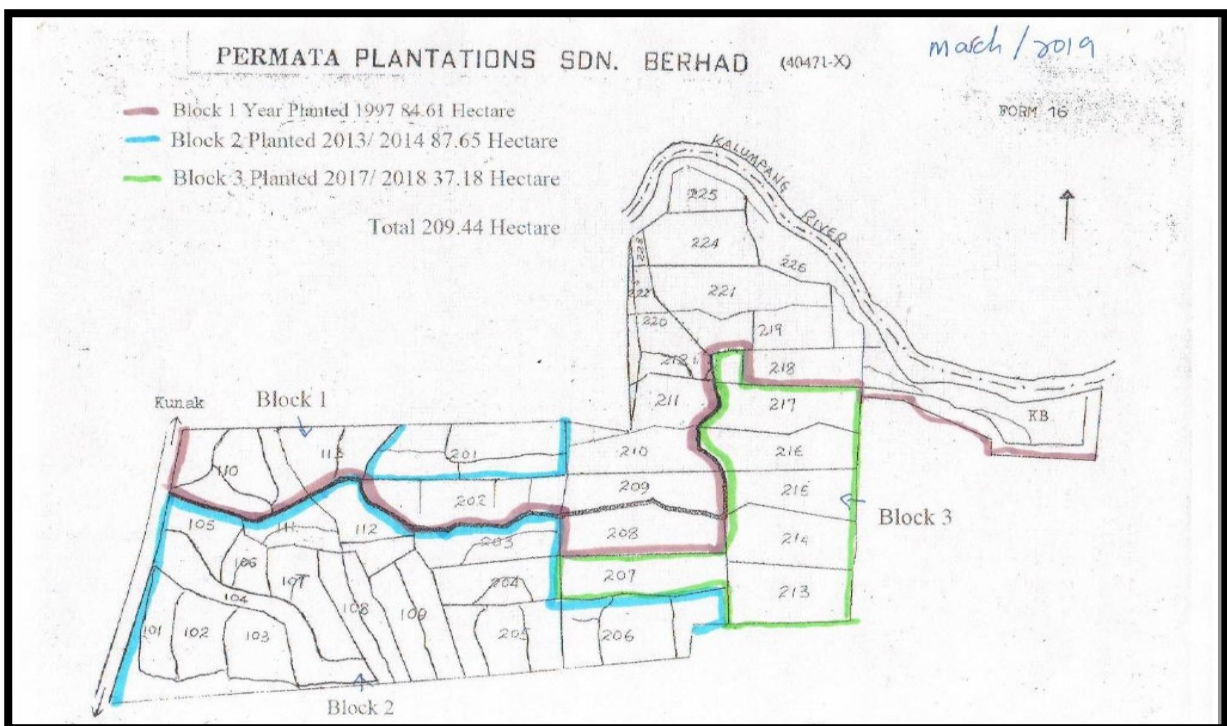


Figure 2: Permata Plantation Sdn. Bhd. Block map.

4. CERTIFICATION ASSESSMENT

4.1. ASA

The objective of the audit is to assess the activities of the estate is in compliance with MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

4.1.1. Surveillance:

The Onsite Surveillance audit is conducted on 27/07/2023 to 28/07/2023 covering one estate – Permata Plantation Sdn. Bhd.

During the last Onsite surveillance audit, there is 1 Major and 3 Opportunities for Improvement (OFI) are raised.

During this surveillance 04 audit, the major and minor non-compliance raised in the last audit, the audit team has review by means of physical inspection of estate(s), conduct interviews, review and verify documents that are established, corrected and implemented appropriately by the estate management. The corrective measures implemented could be verified as appropriate.

The major non-conformances raise during the surveillance 04 audit are closed out by means of documents review that are establish, revised and implemented appropriately and preventive actions taken.

For details of the assessment, refer summary of assessment for each indicator in section 4.3 of this report.

The audit findings if any, raised in this audit refer to Section 4.6 of this report.

4.2. Stakeholders' Consultation

According to ACB – OPMC 4 Issue 2 dated 04/09/2020, Stakeholder Consultation Requirements Section 6: Consultation during audit, §6.1: During the stage 2 and recertification audit of the management unit, the CB shall carry out stakeholder consultation to ensure continued compliance with the requirements of the certification standards. However, stakeholders' consultation during surveillance audit may be limited to those stakeholders who have raised concerns, complaints or disputes prior to the audit.

TUV NORD (Malaysia) Sdn. Bhd. has published the public notification on 27/06/2023 as to accommodate stakeholder's consultation meeting for Permata Plantation Sdn. Bhd. to provide comments. As at audit date on 27/07/2023 there are no comments received.

4.3. Summary of Assessment

Principle and Criteria Assessment Summary

The assessment team conduct a thorough assessment of each principle and criteria. Over the 5 years' period of the certificate cycle, there will be 4 annual surveillance audits with all criterions will be assessed. Evidences are sought for conformity with the MSPO 2530-3. The summary of the assessment as below, where the "Findings/Comments" column reflects the findings in accordance with each indicator or evidences and when non conformity is found. Summary of the non-conformity can be found below in section 4.6.

Principle 1: Management Commitment & responsibility

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Indicator	Summary of Assessment	Compliance
4.1.1.1	<p>Permata Plantation Sdn Bhd (PPSB) established Sustainable Agriculture Policy dated 15/05/2019 signed by Managing Director.</p> <p>The policy statement includes commitment to continual improvement in sustainability practices.</p> <p>MSPO policy is publicly displayed at estate notice board.</p> <p>MSPO policy briefing conducted on 08/06/2023 to all workers and staff.</p> <p>Field interviews with sampled harvesters informed they has been briefed on MSPO policy.</p>	Yes
4.1.1.2	<p>PPSB Sustainable Agriculture Policy dated 15/05/2019 states commitment to continual improvement with the objective of improvement in sustainability practices.</p> <p>The policy include:</p> <ol style="list-style-type: none"> 1. No deforestation; 2. Drive positive social-economic impact for people and local communities; 3. Respect and support the universal declaration of human rights; 4. Respect and recognize the rights of all workers; 5. Respect land tenure rights; 6. Respect the rights of indigenous and local communities; <p>Resolve verifiable complaints and conflict through an open, transparent and consultative process.</p>	Yes
4.1.2.1	<p>PPSB established SOP Internal Audit dated 03/04/2019.</p> <p>SOP include flow chart:</p> <ol style="list-style-type: none"> 1. Internal audit schedule; 2. Prepare audit; 3. Carry out audit; 4. Agreed on findings; 5. Prepare audit; 6. Follow up review action; 7. Review in Management review. <p>The flow chart states internal audit to be conduct once per year.</p> <p>Internal audit conducted on 18/01/2023 for year 2023.</p> <p>The internal audit result shows PPSB has taken into consideration in identifying the strong and weak points.</p>	Yes
4.1.2.2	<p>PPSB established SOP Internal Audit dated 03/04/2019.</p> <p>PPSB has documented the internal audit result with meeting carried out on 30/06/2023 and 10 finding were raised during audit.</p> <p>The internal audit report includes findings raised during the internal audit</p>	Yes

Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	and evaluated through the corrective action taken.	
4.1.2.3	PPSB conducted management review meeting on 24/02/2023. The meeting agenda include discussion of agenda on internal audit results.	Yes
4.1.3.1	PPSB conducted management review meeting on 24/03/2023. Management review meeting will be conducted once a year. Management review meeting is conducted after the internal audit. The meeting agenda includes: 1. Review on MSPO annual surveillance audit; 2. Internal audit findings; 3. Workers management meeting; 4. Training; 5. CHRA; 6. Company Revenue and expenditure.	Yes
4.1.4.1	PPSB established Continual Improvement Plan updated on 22/07/2023. Topic discuss in the CIP includes environmental, social, safety & health and general. Table of CIP include improvement action, expected outcome, timeframe, monitoring, PIC, status and date of review. Example: Issue: Safety and health; Matter: SOP and OSH training porgramme; Expected outcome: Briefing on SOP, training programme and use of PPE. Timeframe and monitoring: On going; PIC: Estate Manager; Status of review: SOP briefing and training programme has been conducted on 18/03/2023.	Yes
4.1.4.2	PPSB established SOP New Information, Techniques, Equipment dated 01/06/2019. The SOP describes the process for implementation of new technology: 1. Identification. 2. Implementation and control. Field inspection verified there is no new technology has been implemented.	Yes
4.1.4.3	PPSB established SOP New Information, Techniques, Equipment dated 01/06/2019. The SOP describes the process for implementation of new technology.	Yes

Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	The flowchart in the SOP includes training will be conducted before implementation of any new technology.	

Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.1.1	<p>PPSB established list of stakeholders updated 10/07/2023.</p> <p>The list consist of:</p> <ol style="list-style-type: none"> 1. Government agencies; 2. NGO; 3. Neighbors plantation; 4. Transporter (contractor); 5. Suppliers; 6. Oil palm mills. <p>Stakeholders meeting has been carried out on 08/06/2023.</p> <p>The meeting agenda includes:</p> <ol style="list-style-type: none"> 1. MSPO awareness; 2. Company policy; 3. Wildlife; 4. Consultation and communication channel; 5. COVID-19 endemic phase. 	Yes
4.2.1.2	<p>PPSB established master list for publicly available document and confidential documents updated on 19/07/2023.</p> <p>Example publicly available documents are:</p> <ol style="list-style-type: none"> 1. MPOB License; 2. Company policies; 3. SOPs; 4. Complaint and Grievance forms; 5. Delivery FFB; 6. Daily Work Records. <p>Example confidential documents are:</p> <ol style="list-style-type: none"> 1. Land Title; 2. Financial Report; 3. Bank Statement; 4. Company Budget; 	Yes

Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
	<p>5. Sales of FFB.</p> <p>Site inspection sighted the list is publicly displayed at estate notice board.</p>	
4.2.2.1	<p>PPSB established SOP Consultation and Communication dated 01/05/2019.</p> <p>The SOP includes:</p> <ol style="list-style-type: none"> 1. Communication to employee and other stakeholders; 2. Enquiry / issue from employee and other stakeholders. <p>Document review of the procedure describe on maintaining the method of communication with all internal and external stakeholders.</p> <p>Document of flow chart for consultation and communication established.</p> <p>Consultation and communication briefing to relevant stakeholder carried out on 08/06/2023.</p>	Yes
4.2.2.2	<p>PPSB appointed Estate Manager as person in charge for handling consultation and communication with stakeholders.</p> <p>Consultation and communication briefing to relevant stakeholder carried out on 08/06/2023.</p>	Yes
4.2.2.3	<p>PPSB established list of stakeholders updated 10/07/2023.</p> <p>The list consist of:</p> <ol style="list-style-type: none"> 1. Government agencies; 2. NGO; 3. Neighbours plantation; 4. Transporter (contractor); 5. Suppliers; 6. Oil palm mills. <p>PPSB established flow chart of consultation and communication dated 01/05/2019.</p> <p>The flow chart of consultation and communication describes any request from stakeholders to be resolve within 14 days.</p> <p>Consultation and communication briefing to relevant stakeholder carried out on 08/06/2023.</p> <p>Document review verified the consultation and communication feedback has been documented and maintained.</p>	Yes
4.2.3.1	<p>PPSB established SOP for Traceability on 23/06/2021.</p> <p>The SOP describes the process of recording the FFB sales.</p> <ol style="list-style-type: none"> 1. Harvesting records; 2. Delivery to mill; 3. Daily and contract records. 	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
	<p>FFB delivery note to mill includes information on vehicle no, date of delivery and oil palm mill name and driver name.</p> <p>Example of FFB delivery note;</p> <ol style="list-style-type: none"> 1. DN No.: 14043; 2. Date: 18/07/2023; 3. Lorry No.: ST8993N; 4. Mill: TSH Plantation Management Sdn. Bhd. <p>Review traceability records for PPSB of FFB delivery year 2020, 2021 and 2022 is documented and maintained.</p>	
4.2.3.2	<p>PPSB estate conduct monthly checks on the traceability documents by the estate manager.</p> <p>Documents review on Monthly harvesting records, the traceability system recorded on monthly basis and verified by estate manager.</p>	Yes
4.2.3.3	<p>PPSB established SOP for Traceability on 23/06/2021.</p> <p>PPSB appointed admin staff as person in charge of traceability records.</p>	Yes
4.2.3.4	<p>PPSB established SOP for Traceability on 23/06/2021.</p> <p>The SOP describes the traceability documents as follows.</p> <ol style="list-style-type: none"> 1. Harvesting records July 2022 – Block 2, 2013; 2. Delivery to mill – Delivery Note No.: 14043, dated 18/07/2023; 3. Daily and contract records – Mill Weighbridge Ticket No.: 472192. <p>FFB delivery note to mill weighbridge are available and crosscheck with the unique identification number.</p>	Yes

Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.1.1	<p>PPSB established legal register, list of permits and licenses updated 19/07/2023.</p> <p>Example of legal documents:</p> <ol style="list-style-type: none"> 1. Malaysian Palm Oil Board Act 1998; 2. Prevention And Control Of Infectious Disease Act 1988; 3. Minimum Wages Order 2022. <p>Example of licenses:</p> <ol style="list-style-type: none"> 1. MPOB license no :502570502000 validity period 01/10/2022 until 30/09/2023. 2. Lesen Penggajian Perkerja Bukan Permastautin, validity period 01/06/2023 until 31/05/2024 	Yes

Principle 3: Compliance to legal requirements														
Indicator	Summary of Assessment	Compliance												
	3. Permit Khas Barangan Kawalan Berjadual for diesel purchase and storage validity period 07/09/2022 until 06/09/2023.													
4.3.1.2	PPSB established legal register updated 14/06/2022. Legal document applicable are kept in soft copy by estate manager. Example of legal documents applicable to estate: 1. Wildlife Conservation Enactment 1997; 2. Prevention and Control of Infectious Disease Act 1988.	Yes												
4.3.1.3	PPSB established legal register dated 03/04/2019 and latest updated on 14/06/2022. Example: 1. Minimum Wages Order 2022. 2. Legal register could be improve by including Includes 3. OSHA Act 1994 4. Nadopot Regulations 2004	Yes												
4.3.1.4	PPSB assigned manager as person in charge for monitor compliance and updating for legal register.	Yes												
4.3.2.1	PPSB land titles are country lease issued by Sabah Land Authority. The land usage state cultivation of agricultural crop of economic value.	Yes												
4.3.2.2	PPSB land titles are country lease issued by Sabah Land Authority. The land usage state cultivation of agricultural crop of economic value with tenure of 99 years. Permata Plantation Land titles; <table border="1"> <thead> <tr> <th></th><th>Title name</th><th>Hactare</th></tr> </thead> <tbody> <tr> <td>1.</td><td>CL 105361180</td><td>220.33</td></tr> <tr> <td>2.</td><td>CL 1054333618</td><td>7.92</td></tr> <tr> <td colspan="2">Total</td><td>228.25</td></tr> </tbody> </table>		Title name	Hactare	1.	CL 105361180	220.33	2.	CL 1054333618	7.92	Total		228.25	Yes
	Title name	Hactare												
1.	CL 105361180	220.33												
2.	CL 1054333618	7.92												
Total		228.25												
4.3.2.3	PPSB land titles includes map indicating the size in acres, location and boundary markers. During field visit, observed boundary marker is marked with a boundary stone and red colour wooden stick. Example: Boundary marker at boundary stone coordinate number 4° 34' 13" N, 118° 11' 60" E, at block 206.	Yes												
4.3.2.4	PPSB land titles are country lease issued by Sabah Land Authority. No dispute from previous owners.	Yes												
4.3.3.1	PPSB land titles are country lease issued by Sabah Land Authority.	Yes												

Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
	There are no customary land titles listed in the summary of land titles.	
4.3.3.2	PPSB land titles are country lease issued by Sabah Land Authority. No customary land title listed in the summary of land titles. Therefore, no map established.	Yes
4.3.3.3	PPSB land titles are issued by Sabah Land Authority. No customary land title listed in the summary of land titles. Therefore, no FPIC or negotiation document established.	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.1.1	<p>PPSB established Social Impact Assessment (SIA) dated 09/05/2019 updated on 09/07/2023.</p> <p>The SIA includes a summary of positive and negative impacts and the timeline to monitor for completion. The summary table include the impact, action plan, PIC, timelines and status.</p> <p>The SIA will be reviewed once a year with feedback from stakeholders.</p> <p>Example:</p> <p><u>Positive impact</u></p> <p>Social impact: Company provided transport for workers;</p> <p>Action taken: Continue to provide workers transportation to ensure workers safety;</p> <p>Person in charge: Estate Manager;</p> <p>Timeline: Continuously.</p> <p>Interview with sampled workers informed they are provided with transport for incoming or outgoing to work.</p> <p><u>Negative impact</u></p> <p>Social impact: Slow action on housing maintenance;</p> <p>Action plan: Management will conducted monthly checking for workers labour quarters;</p> <p>Action plan: Estate Manager;</p> <p>Timeline: Monthly.</p> <p>Field interviews with sampled harvesters informed their housing are regularly checked and maintained by estate management.</p>	Yes
4.4.2.1	<p>PPSB established SOP Complaints and Grievance dated 01/05/2019.</p> <p>The complaint and grievance procedure is displayed at office notice board.</p> <p>The procedure describes timelines to solve the complaint is within 30</p>	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 4: Social Responsibility, health, safety and employment conditions			
Indicator	Summary of Assessment		Compliance
	<p>days after complaint received. If the complaint is not able to be resolved by estate manager it will be referred to arbitration panel.</p> <p>Person in charge to handle complaint is the estate manager.</p> <p>Field interviews with sampled harvesters informed they aware on the complaint and grievance procedure.</p>		
4.4.2.2	<p>PPSB established SOP Complaints and Grievance dated 01/05/2019.</p> <p>The procedure describe timelines to solve the complaint is within 30 days after complaint received. If the complaint not able to be resolve by estate manager it will be referred to arbitration panel.</p> <p>Document review on complaint record, there is no complaint lodged from 2021 until the audit date.</p> <p>Field interviews with sampled harvesters and chemical sprayers informed they understand the timeframe to resolve complaint is 10 days after complaint lodged.</p>		Yes
4.4.2.3	<p>PPSB established complaint form.</p> <p>Document verify the complaint form available at estate office.</p> <p>Field interviews with sampled chemical sprayers and harvesters informed they are aware of the complaints forms at the estate office.</p>		Yes
4.4.2.4	<p>PPSB conduct estate meeting on 18/06/2023 include briefing of the SOP Complaint and Grievance.</p> <p>Field interviews with sampled harvesters they are able to demonstrate their understanding on the procedure and informed complaints could be lodged at any time.</p>		Yes
4.4.2.5	<p>PPSB established complaint logbook for workers and external parties.</p> <p>PPSB maintain complaint records as from 2019 till the audit date.</p> <p>Document review, no complaint lodged from 2019 till the audit date.</p>		Yes
4.4.3.1	<p>PPSB provides job opportunity to local communities for general worker.</p> <p>Site interview with estate management informed PPSB has provided job opportunity to local communities for general worker.</p>		Yes
4.4.4.1	<p>PPSB established Safety and Health Policy dated 07/07/2020 signed by Managing Director.</p> <p>The policy is displayed at the estate notice board.</p> <p>Workers are briefed on the policy and PPE on 08/01/2023.</p>		Yes
4.4.4.2	a	<p>PPSB established Safety and Health Policy dated 07/07/2020 signed by Managing Director.</p> <p>The policy is displayed at estate notice board.</p> <p>Workers are briefed on the safety and health policy, safe working practices and PPE usage dated 08/01/2023.</p>	Yes
	b	PPSB established HIRARC for estate operation updated	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>05/01/2022.</p> <p>The HIRARC includes:</p> <ul style="list-style-type: none"> • Work activity • Hazards • Risk Assessment • Risk Control • Responsibility <p>Example:</p> <p>Work Activity: Harvesting FFB;</p> <p>Hazard: Sharp object, fronds falling down, falling of equipment, slip and trip.</p> <p>Risk assessment: Cutting injuries and bruise. Broken bone.</p> <p>Risk control: Safety helmet, rubber boot, safety goggle, first aid kits, Improvement training.</p> <p>Document review on training records and field interviews with sampled harvesters, informed they understand the risk of related to harvesting activity.</p>	
c i	<p>PPSB established training program for year 2023 updated 18/03/2022 which includes the safe work practices of estate operation activities.</p> <p>Example:</p> <p>Chemical spraying SOP training conducted on 08/01/2023.</p> <p>Field interviews with sampled chemical sprayers informed they understand the safe work practices of their individual task.</p>	Yes
c ii	<p>PPSB kept SDS for chemical and fertilizer use in estate.</p> <p>Example: Ally</p> <p>SDS available in English and Bahasa Malaysia languages.</p> <p>Site inspection at chemical and fertilizer store, the store is in good condition and locked. All chemicals stored with original labelling. The chemical containers are arranged properly in the store.</p>	Yes
d	<p>PSB established records of PPEs issuance all workers.</p> <p>Document verify, PPE issuance records are maintained and updated.</p> <p>Type of PPE for activity in accordance to HIRARC and CHRA assessment is identified.</p> <p>Example: Chemical application;</p> <p>PPE: Nitrile hand glove, goggles, nitrile apron, mask respirator and rubber boots.</p>	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	Field interviews with sampled chemical sprayers workers informed they provided with PPE according to individual task according to CHRA.	
e	PPSB established SOP Chemical Sprayer and SOP Premix dated 05/05/2019. The SOP describes safe chemical handling practice. PPSB adopted SDS for handling of chemicals to ensure proper and safe handling and storage.	Yes
f	PPSB assigned estate manager as person in charge for safety and health.	Yes
g	PPSB has a total number of 27 workers as at June 2023. Establishment of Safety and Health Committee is not applicable as per Occupational Safety and Health Act 1996 Section 30 requirements. However, topics covering safety issues has been discussed during workers meeting on 08/01/2023.	Yes
h	PPSB established accident and emergency SOP updated on 02/02/2020. Document review of Emergency Response Plan with emergency contact number displayed at estate notice board. Emergency Response Procedure training has been conducted for workers on 18/05/2022. Field interviews with sampled harvesters informed they are aware of the Emergency Response Procedure.	Yes
i	PPSB person in charge for first aid has attended training on 08/01/2023. Sighted certificate of first aider course for person in charge is valid and displayed at the notice board. Document review of contact number for person in charge displayed at the notice board. PPSB conducted first aid training for workers dated 08/01/2023. Field inspection of first aid kit box are available at the field operation and well maintained.	Yes
j	PPSB established record book for accidents and injuries. There is no case of accident or injury reported based on review and verification of record book. Document review, the JKPP 8 report was submitted to DOSH dated 26/01/2023 with 0 case of injury, accident and death reported.	Yes
4.4.5.1	PPSB established Sustainability Agriculture Policy dated 01/08/2019 signed by Managing Director. Policy states: "Respect and protect the fundamental of Human Rights as stated in	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Universal Declaration of Human Rights of the United Nations".</p> <p>The Sustainability Agriculture Policy briefing conducted on 08/01/2023.</p> <p>Field interviews with sampled harvesters and chemical sprayers informed they have been briefed the policy dated 08/01/2023.</p>	
4.4.5.2	<p>PPSB established Sustainability Agriculture Policy dated 01/08/2019 signed by Managing Director.</p> <p>The policy describe as below:</p> <p>"The company does not engage in or support discriminatory practices and provide equal opportunity and treatment regardless of race, colour, sex, religion, political opinion, nationality, social origin or any other distinguishing characteristics".</p> <p>The policy has been displayed at estate notice board.</p> <p>Field interviews with sampled harvesters informed, they are aware company provides equal opportunity to all workers without discrimination.</p>	Yes
4.4.5.3	<p>PPSB established contract agreement for workers accordance to Sabah Labour Ordinance.</p> <p>The contract agreement in Bahasa Malaysia which is able to be understood by workers. The working contracts are signed by worker and company.</p> <p>Document review on harvester pay slip, the wages paid as agreed rate.</p> <p>Major non-conformity 01:</p> <p>Document review on workers payslip for the month of March and June 2023 indicates payment are made not in accordance with Sabah Labour Ordinance 1950.</p> <p>The clause 108. (1) pg. 69 stated <i>The wages of employee shall be paid not later than seven days after the wage period in respect of which they are due.</i></p> <p>Wage period are on monthly basis. Workers salary payment for the month of March and June 2023 are made on 10/04/2023 and 08/07/2023 respectively.</p>	No
4.4.5.4	<p>PPSB engaged FFB transport contractor.</p> <p>All records for contractor worker are available.</p> <p>PPSB monitored contractor worker payment base on pay slip provided.</p> <p>Document review the contractor worker pay slip, the worker is paid according to the agreed rate.</p>	Yes
4.4.5.5	<p>PPSB established list of workers that contain name, gender, date of birth, date joined, job description and nationality.</p> <p>Document review on list of workers are maintained and updated.</p>	Yes
4.4.5.6	<p>PPSB established worker contract for every worker recruited.</p> <p>The management provide fair contract that has been signed by both</p>	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>parties.</p> <p>The worker is briefed on the working contract terms and conditions, wage, type of work and copy is provided.</p> <p>Field interviews with sampled harvesters and chemical sprayers informed they are briefed on the contract terms and conditions and received a copy after signed.</p>	
4.4.5.7	<p>PPSB established time recording system via check roll to monitor and record workers working hours on daily basis.</p> <p>PPSB has displayed the working hours at estate notice board.</p> <p>Field interviews with sampled chemical sprayers informed they are aware on the working hours and overtime.</p>	Yes
4.4.5.8	<p>PPSB established working hours and displayed at offices notice board. Working hours is included in the worker contract agreement.</p> <p>The working hours is from 7:00 am until 4.00 pm with break time on 11:00am – 1.00pm.</p> <p>Field interviews with sampled chemical sprayers and harvesters informed they understand the working hours and overtime time.</p>	Yes
4.4.5.9	<p>PPSB established pay slip records to document workers' wages.</p> <p>Sampled pay slip for June 2023, workers are paid on piece rated basis for harvesting and chemical spraying.</p> <p>Review on pay slip June 2023, daily rate paid at RM 57.69 to workers for holiday and sick leave as describe in the contract agreement in accordance to Minimum Wages Order 2022.</p> <p>Field interviews with sampled harvesters informed, the wages document is in Bahasa Malaysia language.</p>	Yes
4.4.5.10	<p>PPSB provides benefits to workers such as medical care, SOCSO, housing, water and electricity.</p>	Yes
4.4.5.11	<p>PPSB workers housing follow the industry best practices.</p> <p>Site inspection at workers quarters, PPCB had provide water tank and electricity.</p> <p>Field interviews with sampled harvesters informed, they are provided with basic amenities such as water and electricity.</p>	Yes
4.4.5.12	<p>PPSB Sustainability Agriculture Policy dated 01/08/2019 signed by Managing Director.</p> <p>The policy state:</p> <p>"To prevent sexual harassment and any other forms of violence against women, works and community".</p> <p>The policy is available in both English and Bahasa Malaysia language and displayed at the estate notice board.</p> <p>The briefing on policy to workers on 08/01/2023.</p>	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	Review on complaints records, no complaint lodged for sexual harassment.	
4.4.5.13	<p>PPSB Sustainability Agriculture Policy dated 01/08/2019 signed by Managing Director.</p> <p>Policy states:</p> <p>“Management and its supplier / contractors shall recognize and respect the right of employees to join trade union of their choice subject to the provisions of relevant national legislation.”</p> <p>Document review on Joint Consultative Committee (JCC) meeting conducted on 08/01/2023 between workers and management includes agenda of social, safety, health, housing and others.</p> <p>Site interview with estate management informed the meeting and briefing will be conducted once a year.</p>	Yes
4.4.5.14	<p>PPSB Sustainability Agriculture Policy dated 01/08/2019 signed by Managing Director.</p> <p>The policy state:</p> <p>“Ensure that no child or young person shall be, or be required or permitted to be, engaged in any employment other than those allowed by the laws”.</p> <p>Document review the employee list, the workers are above minimum age.</p> <p>Field interviews with sampled harvesters and chemical sprayers informed there is no child labour or young person employed by estate management. All workers are above 18 years prior joining the estate.</p>	Yes
4.4.6.1	<p>PPSB has established training programs for employees dated 01/01/2023.</p> <p>Example training has conducted:</p> <ol style="list-style-type: none"> 1. Fire and emergency dated 08/07/2023; 2. PPE and safety dated 18/03/2023; 3. Harvesting dated 18/05/2023; 4. Company Policies, SOP & PPE dated 08/06/2023; 5. Premixing chemicals dated 18/03/2023. <p>Field interviews with sampled harvesters and chemical sprayers informed they attended and understand the training conducted by estate management.</p>	Yes
4.4.6.2	<p>PPSB established training programme according to the job task.</p> <p>Training matrix for each of the workers is develop to identify training needs for each of the worker based on their job description.</p> <p>Document review of training need analysis is established by PPSB for all workers updated on 18/01/2023.</p>	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.6.3	<p>PPSB established annual training programs for year 2023 updated 01/01/2023 includes company policies, safety & health, environmental, SOP, PPE and COVID-19.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. Training on harvesting operation; 2. Training on chemical spraying operation; 3. Training on Emergency drill; 4. Training on PPE; 5. Training on COVID-19. 	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.1.1	<p>PPSB has established Environmental Policy dated 01/08/2019 signed by Managing Director.</p> <p>Environmental Policy states management is committed to minimizing the environmental impact of its operations and understands the importance of conserving natural resources. We shall strive to promote environments whenever practical that will ensure a sustainable future.</p> <p>PPSB established Environmental Management Plan updated on 10/07/2023.</p> <p>The EMP includes the environmental impacts, action plans, monitoring and continuous improvement programme.</p> <p>Environmental Policy is displayed at estate notice board.</p> <p>Field interviews with sampled harvesters & chemical sprayers informed they has been briefed on environmental policy.</p>	Yes
4.5.1.2	<p>a</p> <p>PPSB established Environmental Policy dated 01/08/2019 signed by Managing Director with objective to minimizing the environmental impact of its operations and understands the importance of conserving natural resources. We shall strive to promote environments whenever practical that will ensure a sustainable future.</p>	Yes
	<p>b</p> <p>PPSB establish Environmental Management Plan updated 10/07/2023.</p> <p>Environmental impact, mitigation, and continuous improvement plans has include Identification aspect and impacts of activities, mitigation / improvement plan and responsible person for the action to be taken.</p> <p>Example:</p> <p>Environment Aspect: Empty Chemical Containers;</p> <p>Environment Impact: Ground and surface water contamination if not following the correct treatments.</p>	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Mitigation measure: All empty container which not reused for spraying activities, should puncture and kept in store for recycling by third party;</p> <p>Monitoring programme: Triple rinsing & Empty chemical container store records.</p> <p>Review the waste record dated 04/07/2023 confirm, all empty chemical container had been reused for chemical spraying activities.</p>	
4.5.1.3	<p>PPSB establish Environmental Management Plan updated 19/07/2023.</p> <p>Example mitigation of negative impact to promote the positive ones:</p> <p>Positive Impact example:</p> <p>Activities: Tractor maintenance;</p> <p>Environment Aspect: Disposal of Spent Oil;</p> <p>Environment Impact: The lubricants can use as preservative for paint the housing timber.</p> <p>Environment Impact Analysis: Low risk;</p> <p>Mitigation measure: Continues Application based on Recommendation;</p> <p>Monitoring programme: Waste record monitoring.</p> <p>Review the waste records dated 04/07/2023 confirmed, all used lubricants oil has been reused for housing timber maintenance.</p>	Yes
4.5.1.4	<p>PPSB established Environmental Management Plan updated 19/07/2023 include action to promote positive impacts.</p> <p>Example:</p> <p>Activities: Fertilizer application;</p> <p>Environment Impact: Run-off nutrient application in monsoon season;</p> <p>Improvement plan: Correct timing for application;</p> <p>Positive impact: Maintain soil nutrients;</p> <p>CIP: Training on fertilizer application and handling of fertilizer.</p> <p>Review on training records, manuring training has been carried out dated 08/01/2023.</p>	Yes
4.5.1.5	<p>PPSB established training program include environmental training for year 2023.</p> <p>Example:</p> <p>Training on environmental policy and its objective has been carried out to all workers on 08/01/2023.</p> <p>Field interviews with sampled chemical sprayers informed they has been briefed on environmental policy and its objective.</p>	Yes
4.5.1.6	<p>PPSB carried out latest environmental meeting with all workers dated 08/01/2023 to discussed on environmental issue, buffer zone, recycling</p>	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	program, domestic wastes and wildlife. The frequency of the meeting is carried out once a year.	
4.5.2.1	PPSB establish baseline for diesel usage for 2 years from 2020 to 2022 as a guideline to monitor and compare actual diesel usage in year 2023. Document review on diesel consumption for year 2022 is higher than baseline due to replanting activities and low production. Document review, diesel budget for 2023 is compared against actual usage 2022. The actual diesel usage from January to June 2023 is lower compared to budget. PPSB monitor diesel consumption on monthly basis updated on 30/06/2023.	Yes
4.5.2.2	PPSB established budget for diesel consumption for year 2023. The fuel consumption established including farm tractor and genset. Diesel budget for 2023 is compared against actual usage for effective monitoring.	Yes
4.5.2.3	Field inspection at PPSB area, there is no renewable energy implemented.	Yes
4.5.3.1	PPSB established Waste Management Plan updated on 03/06/2023. Waste Management Plan has identified source of waste: 1. Gaseous; 2. Solid; 3. Liquid. Example: Solid waste: Empty chemical containers; Activities: Chemical spraying; Source: Premixing chemical area; Monitoring plan: Chemical store records; Responsibility: Storekeeper.	Yes
4.5.3.2	a PPSB established Waste Management Plan updated on 03/06/2023 include waste identification and disposal method. Waste Management Plan describes waste identification, sources of waste, location, disposal method, disposal records and responsibility. Example: Solid waste Activities: Chemical spraying; Source: Premixing chemical area; Waste: Empty chemical containers;	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Disposition method: Triple rinsed and reused for diesel storage;</p> <p>Monitoring plan: Chemical store records;</p> <p>Responsibility: Storekeeper.</p> <p>Document review on empty chemical containers records, the volume of empty containers in store are regularly monitor with latest updated on April 2023.</p>	
b	<p>PPSB established Waste Management Plan updated on 03/06/2023 include waste identification and disposal method.</p> <p>Sources of waste and pollution identify the wastes to be recycle or reuse.</p> <p>Example:</p> <p>Waste: Empty chemical containers;</p> <p>Activities: Chemical spraying;</p> <p>Disposition method: Triple rinsed and reused for water storage;</p> <p>Environment Negative Impact: Pollution to water courses and land;</p> <p>Responsibility: Storekeeper.</p> <p>Field inspection at premixing area sighted empty containers are properly marked with red colour and re-used for water storage on chemical spraying activities.</p>	Yes
4.5.3.3	<p>PPSB established SOP handling chemical containers updated on 20/06/2020 for proper handling, storage and disposal of chemical to protect the environment.</p> <p>PPSB adopt the SDS as additional control of safe handling and storage of chemicals in store.</p> <p>Site inspection at premixing area, empty chemical containers are properly marked with red colour and re-used for water storage.</p>	Yes
4.5.3.4	<p>PPSB established SOP handling chemical containers updated on 20/06/2020 for proper handling, storage and disposal of chemical to protect the environment.</p> <p>The SOP describe the steps of activities that include triple rinsing, container cap to be removed to prevent reused for other purposes, puncture and marked.</p> <p>Site inspection at premixing area, empty chemical containers are properly marked with red colour and re-used for water storage.</p>	Yes
4.5.3.5	<p>PPSB established Waste Management Plan dated 03/06/2023 include waste identification and disposal method.</p> <p>Sources of waste and pollution identify the wastes to be recycle or reuse.</p> <p>Field inspection sighted domestic waste is disposed at designated landfill located 500m away from housing and waterways.</p>	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.4.1	<p>PPSB established Waste Management Plan dated 03/06/2023.</p> <p>Document review waste list established identify the sources of wastes as solids, liquids and gaseous.</p> <p>Example:</p> <p>Liquid waste</p> <p>Activity: Chemical spraying;</p> <p>Source: Premixing chemical area;</p> <p>Waste: Chemical spillage.</p> <p>Document review of chemical stock record, the volume of chemicals stored in chemical store are regularly monitor with latest updated on 27/05/2023.</p>	Yes
4.5.4.2	<p>PPSB established Waste Management Plan dated 03/06/2023.</p> <p>The plan includes the action plan for significant pollutants and emissions.</p> <p>Example:</p> <p>Liquid waste</p> <p>Activities: Chemical spraying;</p> <p>Waste: Chemical spillage;</p> <p>Disposal method: Reused chemical spillage for chemical spraying;</p> <p>Improvement plan: Bund construct in premixing chemical area;</p> <p>Responsibility: Mandore.</p> <p>Bund is constructed at premixing chemical area to reduce spillage direct to store drainage.</p>	Yes
4.5.5.1	<p>a</p> <p>PPSB established Water Management Plan (WMP) updated on 10/07/2023.</p> <p>The main sources of water for PPCB are from rain and water catchment pond.</p> <p>The usage of water is generally for domestics use and chemical spraying activities.</p> <p>The WMP describes the following;</p> <p>Water source: Rain water;</p> <p>Usage: Domestics use;</p> <p>Action plan: Each house is provided with sufficient water tanks;</p> <p>Management review: To provide the additional / replacement if required.</p> <p>Site inspection at workers quarters sighted the water tank is provided at each house at PPCB.</p>	Yes
	<p>b</p> <p>PPSB established Water Management Plan updated 10/07/2023.</p>	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Documents review on estate PPSB estate map sighted no river flowing through estate. Therefore, no monitoring required for incoming and outgoing.</p> <p>Field inspection along the estate boundary verified there is no river flowing through the estate.</p>	
c	<p>PPSB established Water Management Plan updated 10/07/2023.</p> <p>PPSB monitor rainfall data for water optimizing planning of usage in operation and workers quarters.</p> <p>Rain harvesting is practice at premixing area and workers housing.</p> <p>Site inspection sighted chemical mixing activities are using rainwater and water collection from triple rinse of empty chemical containers.</p> <p>Site inspection at workers quarters and interviews with sampled chemical sprayers informed water from rain harvesting is used for domestic consumption.</p>	Yes
d	<p>PPSB established Water Management Plan updated 10/07/2023.</p> <p>Field inspection along the estate boundary verified there is no river flowing through the estate.</p> <p>Field interviews with sampled chemical sprayers informed they understand of no chemical spraying at the buffer zone area.</p>	Yes
e	Field inspection at buffer zone area, palm trees along buffer zone area are marking with red colour and no vegetation has been removed.	Yes
f	Field inspection at PPSB area, there are no bore wells constructed to extract ground water.	Yes
4.5.5.2	Field inspection at the boundary area, there are no bunds, weirs and dams constructed across main river passing along the boundary next to the PPSB estate.	Yes
4.5.5.3	<p>PPSB established monthly rainfall records from January to July 2022 for monitoring of rain water harvesting by estate.</p> <p>Site inspection and interviews with sampled chemical sprayers informed rain water harvesting practice at the labour quarters and chemical mixing areas.</p>	Yes
4.5.6.1	<p>a</p> <p>PPSB established HCV Management Plan updated on 03/06/2022 to monitor wildlife in and surrounding the estates.</p> <p>PPSB has identified type of wildlife and conservation area within estate.</p> <p>Field inspection sighted buffer zone area was identified, installed with proper signage and well maintained.</p>	Yes
	<p>b</p> <p>PPSB established monitoring record of wildlife updated on quarterly basis.</p>	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Monitoring records of wildlife has identified below species; Example:</p> <ol style="list-style-type: none"> 1. Jungle fowls; 2. Eagle; 3. Snake; 4. Monkey. <p>Type of protected animal species are publicly displayed at estate notice board.</p> <p>Field interviews with sampled chemical sprayers informed they are aware on type of protected wildlife such as Monkey and no illegal hunting within the estate.</p>	
4.5.6.2	<p>a PPSB displayed guideline 'No hunting area' at field and notice board.</p> <p>The guideline display at office notice board include statement on penalty of hurting or capturing RTE base on Wildlife Conservation Enactment 1997.</p> <p>Field inspection at estate boundary, signboard of no hunting is installed next to Kalumpang river.</p> <p>Prohibition of illegal hunting and type of RTE species are publicly displayed at estate notice board.</p> <p>Field interviews with sampled harvester and chemical sprayers informed no illegal hunting in the estate by workers.</p>	Yes
	<p>b PPSB established Environmental Policy updated 01/08/2019 signed by Managing Director state as below:</p> <p>PPSB shall undertake on educating and enhancing awareness pertaining to protection of the environment and biodiversity of all related stakeholders through regular training and communication.</p> <p>Training on HCV and RTE has been carried out to workers dated 08/01/2023.</p> <p>Field inspection at the estate boundaries, appropriate signage installed as information to workers to discourage any illegal or inappropriate hunting, fishing or collecting activities.</p> <p>Field interviews with sampled chemical sprayers informed no illegal hunting prohibited.</p>	Yes
4.5.6.3	<p>PPSB established HCV Management Plan date 03/06/2022.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. Jungle fowls; 2. Eagle; 3. Snake; 4. Monkey. 	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	PPSB established wildlife monitoring logbook for reporting of any sighted wildlife within the estate. The last reporting of wildlife sighted is in year 2023. Field interviews with sampled harvesters and chemical sprayers informed, they have been briefed on HCV during morning muster call.	
4.5.7.1	PPSB established Environmental Policy updated 01/08/2019 signed by Managing Director. The policy describe PPSB shall undertake implementation of zero burning in all oil palm cultivation activities. Briefing on Zero Burning carried out to workers on 08/01/2023. Field inspection at the replanting area, the old palms are fell, chipped and no burning activities for land clearing activities.	Yes
4.5.7.2	PPSB established Environmental Policy updated 01/08/2019 signed by Managing Director. The policy describe PPSB shall undertake implementation of zero burning in all oil palm cultivation activities. Field inspection at replanting area sighted there is no disease observed that required burning. Therefore, no special approval for open burning from relevant authorities.	Yes
4.5.7.3	PPSB established Sustainability Agriculture Policy dated 15/05/2019. The policy includes a statement on zero burning. Field inspection at replanting area sighted there is no disease observed that required burning. Therefore no applications for approval of controlled burning sighted.	Yes
4.5.7.4	Field inspection at the replanting area, the old palms are fell, chipped and no burning activities for land clearing activities.	Yes

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
4.6.1.1	PPSB established operational SOPs for all estate operation. Examples: 1. SOP for harvesting; 2. SOP for manuring; 3. SOP for chemical handling. There are SOP training has been carried out in year 2022; 1. Harvesting dated 18/03/2023; 2. Chemical spraying 18/03/2023; 3. Manuring on 18/03/2023.	Yes

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	Field interviews with sampled harvesters and chemical spayers informed they were briefed on SOP based on job task.	
4.6.1.2	PPSB adopt field handbook for immature oil palm volume 2 series number: ISBN 981-04-1433-1 as SOP guideline for planting within permitted levels on sloping land. The procedure describe: To prevent erosion, cover plant should be established on slopes as soon as possible after land clearing. Field inspection at PPSB estate sighted there no planting on slopes above 25° has been carried out. All palm are planted below than 25°.	Yes
4.6.1.3	PPSB established block marking includes block no, year planting and planting material. Example: Block 2, 87.65 Ha, Planted 2013.	Yes
4.6.2.1	PPSB established 5 years financial budget for year 2023 to 2027. The content of financial plan includes: 1. Financial projection; 2. Budget & projection operation cost; 3. Replanting plan.	Yes
4.6.2.2	PPSB establish replanting programme for 2023. Example: 2023 – 34.30 ha. No replanting for next 5 years.	Yes
4.6.2.3	PPSB established business management plan for 2023 until 2027. The plan includes: 1. Long term plan FFB production and Forecast 2023 – 2027; 2. FFB and CPO price forecast; 3. Cost of FFB sales.	Yes
4.6.2.4	PPSB monitors monthly on FFB production, income, expenses and price per ton / FFB. The estate has to submit a monthly analysis report, FFB actual vs budget report to the management. PPSB management monitor through monthly progress report for comparing budget and actual performance. Document review sighted PPSB has monitor the business management plan through summary of monthly expenditure and revenue.	Yes
4.6.3.1	PPSB managed and control purchase of products by receiving quotation	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	<p>from seller.</p> <p>Example:</p> <p>Documents review on quotation for purchasing of field equipment dated 02/06/2023 stated the proposed price with purchasing order issued based on the agreed price.</p>	
4.6.3.2	<p>PPSB purchases chemicals using a quotation and purchase order system.</p> <p>The payment is made based on terms and conditions stated in the quotation provided by the supplier and issuance of purchase order to acknowledge and confirmation of the price.</p> <p>Example:</p> <p>PPSB purchase order, delivery order and invoice of field equipment's dated 02/06/2023 is documented and maintained.</p> <p>Document review on payment voucher dated 09/06/2023 issued to supplier is paid in timely manner as mutually agreed by both parties.</p>	Yes
4.6.4.1	<p>PPSB engage FFB transporter for transporting FFB to the mills & replating contractor for felling and chipping activities.</p> <p>Document review on contract agreement, describe contractor shall observe and comply with MSPO principles requirements with regards to the replanting activities.</p> <p>MSPO briefing to replanting contractor has been carried out during stakeholders meeting dated 27/01/2023.</p>	Yes
4.6.4.2	<p>PPSB engage contractor replating contractor for felling and chipping activities with contract agreement duly agreed and signed by both parties dated on 07/09/2022.</p>	Yes
4.6.4.3	<p>PPSB engage contractor replating contractor for felling and chipping activities.</p> <p>The contract agreement describes that relevant sustainability certification body have the access to contractor or operation when required.</p>	Yes
4.6.4.4	<p>PPSB engage contractor replating contractor for felling and chipping activities.</p> <p>PPSB monitor the completion of the contractor's work via progress payment claim.</p>	Yes

Principle 7: Development of new planting		
Indicator	Summary of Assessment	Compliance

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

4.7.1.1	PPSB established planting statement for its estate. Review on the planting statement, no new planting at PPSB. The first planting is in year 1997 while 1st replanting is in 2018. Document review of replanting statement, there is replanting conducted in PPSB in year 2023. No new planting activities in the replanting area. Therefore, no assessment for high biodiversity value conducted.	Yes
4.7.1.2	PPSB planting statements is review. There is no new planting. The replanting in 2023 is 34.30 ha which less than 100 is ha. Therefore, no PPM required.	Yes
4.7.2.1	Field inspection at PPSB confirmed there is no peat land in the estate.	Yes
4.7.3.1	PPSB planting statements is review and there is no new plantings at PPSB. Therefore, no SEIA conducted.	Yes
4.7.3.2	PPSB planting statements is review and there is no new planting at PPSB. Therefore, no SEIA conducted.	Yes
4.7.3.3	PPSB planting statements is review and there is no new planting at PPSB. Therefore, no SEIA conducted.	Yes
4.7.3.4	There are no involvement of smallholder's scheme in PPSB certification. Therefore, not applicable.	Yes
4.7.4.1	PPSB planting statement is reviewed. There is no new planting. Therefore, no soil assessment report and soil map established.	Yes
4.7.4.2	PPSB planting statement is reviewed. There is no new planting. Therefore, no topography map established.	Yes
4.7.5.1	PPSB planting statement is reviewed. There is no new planting. Therefore, no topography map established and soil assessment report established.	Yes
4.7.5.2	PPSB planting statement is reviewed. There is no new planting. Therefore, no plan on fragile and marginal soil established.	Yes
4.7.5.3	PPSB planting statement is reviewed. There is no new planting. Therefore, no soil map established.	Yes
4.7.6.1	PPSB planting statement is reviewed. There is no new planting. Therefore, no FPIC conducted and communities affected.	Yes
4.7.6.2	PPSB planting statement is reviewed. There is no new planting. Therefore, no management plan established.	Yes
4.7.6.3	PPSB planting statement is reviewed. There is no new planting. Therefore, no management plan for monitoring and acceptable by the affected communities is established.	Yes
4.7.6.4	PPSB planting statement is reviewed. There is no new planting. Therefore, no compensation established.	Yes
4.7.6.5	PPSB planting statement is reviewed. There is no new planting. Therefore, no assessment of legal and recognized customary rights conducted.	Yes

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

4.7.6.6	PPSB planting statement is reviewed. There is no new planting. Therefore, no compensation plan established.	Yes
4.7.6.7	PPSB planting statement is reviewed. There is no new planting. Therefore, no compensation plan established.	Yes
4.7.6.8	PPSB planting statement is reviewed. There is no new planting. Therefore, no communities affected.	Yes

4.4. Use of Marks and/or Any Other References to Certification

The audit team leader has reviewed and confirmed that there are no usage of mark/logo in Permata Plantation Sdn. Bhd. operation or any on/off-products claim.

4.5. Status of Non-Conformities Previously Identified

	The stage 1 audit findings have been reviewed, in particular to assure appropriate corrective actions implemented to address the identified audit findings.
X	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have not been implemented effectively. The non-conformity will be re-raised.
	No non-conformity raised in previous audit.
<p><i>Note 1: If a minor non-conformity raised in last audit, is not closed out or repeated, the finding will be raised to a Major non-conformity.</i></p> <p><i>Note 2: All major and minor NCs raise in last audit are required to capture in this report together with the review of the non-compliance implementation.</i></p>	

4.6. Detail of Audit Findings in last audit

AUDIT OUTCOME		
During last Audit	1	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Non Conformity Number < 1 >	
Indicator # and Description:	4.4.4.2 (b) The occupational safety and health plan shall cover the following: b) The risks of all operations shall be assessed and documented (Major)
Location:	Office
Description of Finding / Objective Evidence:	

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Non Conformity Number < 1 >			
PPSB established HIRARC for estate operation updated 05/01/2022. However, the HIRARC does not cover on the following activities:			
1. Harvesting at terrace area / hilly area; 2. Power line cable; 3. Genset room; 4. Loading and unloading of fertilizers; 5. Office; 6. Chemical and fertilizer store.			
Classification	<input checked="" type="checkbox"/> Major	<input type="checkbox"/> Minor	
Raise by:	Ariff Bin Lokman	Date Raise:	17/06/2022
Deadline for implementation		16/08/2022	
Root Cause Analysis (by company):			
Omitted some operational risks that are not assessed and documented.			
Correction (by company):			
PPSB established HIRARC for estate operation updated 26/06/2022 has covered activities as follow;			
1) Harvesting at terrace area / hilly area; 2) Power line cable; 3) Genset room; 4) Loading and unloading of fertilizers; 5) Office; 6) Chemical and fertilizer store.			
Corrective / Preventive Action (by company)			
PPSB plan to monitor and review on the HIRARC yearly basis to ensure all operation will covered.			
Review of Correction & Corrective / Preventive Action			
Document review of the NC documents submission. PPCB has established HIRARC for estate operation updated 26/06/2022 has cover on the following activities:			
1) Harvesting at terrace area / hilly area; 2) Power line cable; 3) Genset room; 4) Loading and unloading of fertilizers; 5) Office; 6) Chemical and fertilizer store.			
The submission of documents are sufficient to close the nonconformity.			
Further verification will be reviewed during the next audit.			
Closed: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Site verification : <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Non Conformity Number < 1 >	
Name of Lead Auditor: Ariff Bin Lokman	Date of Closure: 28/06/2022
Review of Implementation	
PPSB updated HIRARC dated 08/07/2023. The updated HIRARC cover all estates activities, example power line cable, genset room and office. Thus, based on the documents review, the continuity of implementation is sufficient.	
Name of Lead Auditor: Mohamad Norhisham Bin Mohd Salleh	Date of Review: 27/07/2023

4.7. Detail of Onsite Audit Findings Identified during this audit

This section gives an overview of the non-conformities raised during this audit

ONSITE AUDIT OUTCOME		
During this onsite audit,	1	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Non Conformity Number < 1 >			
Indicator # and Description	4.4.5.3 Management shall ensure that employees' pay and conditions meet legal or industry minimum standards and as per agreed Collective Agreements. The living wage should be sufficient to meet basic needs and provide some discretionary income based on minimum wage. (MAJOR)		
Location:	Office		
Description of Finding / Objective Evidence:			
Document review on workers payslip for the month of March and June 2023 indicates payment are made not in accordance with Sabah Labour Ordinance 1950. The clause 108. (1) pg. 69 stated <i>The wages of employee shall be paid not later than seven days after the wage period in respect of which they are due.</i> Wage period are on monthly basis. Workers salary payment for the month of March and June 2023 are made on 10/04/2023 and 08/07/2023 respectively.			
Classification	<input checked="" type="checkbox"/> Major	<input type="checkbox"/> Minor	
Raise by:	Mohamad Norhisham Bin Mohd Salleh	Date Raise:	27/07/2023
Deadline for implementation			
Root Cause Analysis (by company):			
Insufficient staff and part of the time consecutive days off together with public holiday.			
Correction (by company):			
Payment to workers are made on 05/08/2023 to ensure estate practises in line with legal requirements.			

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Non Conformity Number < 1 >	
Corrective / Preventive Action (by company)	
Management ensure to pay the employee by 7 th each month.	
Review of Correction & Corrective / Preventive Action	
Review on objectives evidence indicates PPSB workers are paid on 05/08/2023 which is in line with Sabah Labour Ordinance 1950. Photos and thumb print of workers accepting payment has been reviewed.	
Based on evidence provided, the major non-conformity is consider closed. Review on continuity of implementation will be verify during next year audit.	
Closed: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Site verification : <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Name of Lead Auditor: Mohamad Norhisham Bin Mohd Salleh	Date of Closure: 09/08/2023
Review of Implementation	
Name of Lead Auditor / Auditor:	Date of Review:

Nr.	Indicator	Description	Location	Opportunity for Improvement
1.	4.2.2.3	List of stakeholders, records of all consultation and communication and records of action taken in response to input from stakeholders should be properly maintained.	Office	PPSB could improve the list of stakeholders list for governments agency.
2.	4.2.1.1	The management shall communicate the information requested by the relevant stakeholders in the appropriate languages and forms, except those limited by commercial confidentiality or disclosure that could result in negative environmental or social outcomes	Office	PPSB could improve the external stakeholder meeting agenda.
3.	4.6.4.3	The management shall accept MSPO approved auditors to verify assessments through a physical inspection if required.	Office	PPSB could improve the contract agreement statement for acceptant the approved MSPO auditors.

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

5. CONCLUSION

Permata Plantation Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct surveillance audit consisting of one estate according to MSPO 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

There is a Major NC raise and closed out successfully.

From the review of the standard operating procedures, relevant forms, work flow charts established and implemented; the subsequent background investigation and interviews conducted during this surveillance audit have provided TUV NORD Malaysia with sufficient evidence on the fulfilment of the applied standard Principles & Criteria.

In conclusion the certified unit has been established, implemented and continued to improve in managing the estates are in line with the Principles & Criteria of the applied standards of MS 2530-Part 3:2013 General principles for Oil Palm Plantations and Organised Smallholders.

Any audit is based on sampling within an organization's management system and therefore is not a guarantee of 100 % conformity with requirements.

As a result of this audit, the audit team confirms that

Total certified number of estate:	1	
Total certified production area:	209.23	Ha
Certified FFBs January to June 2023:	826.38	Mt
Project FFBs January to December 2023:	2,300.00	Mt

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

6. RECOMMENDATION

The audit team conduct a process-based audit focussing on significant aspects/risks and objectives required by the standard(s). The audit methods used are interviews, observations, sampling of activities, review of documentation and records.


The structure of the audit is in accordance with the audit plan included in this summary report as annex.

The audit team concludes that the organisation has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organisation's policies and objectives.

Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be

	Recommended for Certification
X	Recommended for Continuity of Certification
	Recommended for Suspension of Certification

Puchong, 18/08/2023



Mohamad Norhisham Bin Mohd Salleh
TUV NORD (Malaysia) Sdn Bhd
Audit Team Leader

Puchong, 30/08/2023



Ariff Lokman
TUV NORD (Malaysia) Sdn Bhd
Certifier / Approver

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.

Certifying Unit: Permata Plantation Sdn. Bhd,

Client Number: 92-092

Audit Type: ASA 04

Mode of Audit: Onsite

7. LIST OF STAKEHOLDERS

No stakeholders' consultation conducted in accordance to ACB – OPMC 4 Issue 2 dated 04/09/2020, Stakeholder Consultation Requirements Section 6: Consultation during audit, §6.1: During the stage 2 and recertification audit. Therefore, no stakeholders listed.

MSPO Certification Summary Report

Company Name: Permata Plantation Sdn. Bhd.
 Certifying Unit: Permata Plantation Sdn. Bhd.,
 Client Number: 92-092
 Audit Type: ASA 04
 Mode of Audit: Onsite

Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities / Audit Objectives

This report is sent by the certification body to the members of the audit team and the audit representative of the organisation. All documents (such as this report) regarding the certification procedure are treated confidentially by the audit team and the certification body. This audit report remains the property of the certification body.

An audit is a procedure based on the principle of random sampling and cannot cover each detail of the management system. Therefore nonconformities of weaknesses may still exist which were not expressly mentioned by the auditors in the final meeting or in the audit report.

The responsibility for continuous effective operation of the management system always rests solely with the audited and certified organisation.

Salvo clause:

The audit report will be left to the organisation at the end of the audit - subject to approval by the certification body. The independent release process may cause modifications or additions. In these cases a modified revision will be sent to the audited organisation.

The objective (goal) of the audit is to establish compliance of the management system of the aforementioned organization with the requirements of the aforementioned standard in order to achieve or maintain certification through an independent and accredited certification body. Identification of possibilities to improve the management system can also be a component of the audit and is considered simply to be an enhancement; it does not constitute consultancy or advice with regard to the management system.

Annex / Enclosures

Annex /
corresponding audit documentation

- ☒ P&C Audit Report / Checklists
☐ Audit Plan