

MSPO CERTIFICATION SUMMARY REPORT

AUMKAR PLANTATIONS SDN. BHD.

SURVEILLANCE 04

Onsite Audit Date: 19/06/2023 – 23/06/2023

TUV NORD (Malaysia) Sdn Bhd
No. 9F-1A, 9th Floor, Tower 2 @ PFCC
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Company Name: Aumkar Plantations Sdn. Bhd.
 Certifying Unit: Aumkar Plantations Sdn. Bhd.
 Client Number: 92-088
 Audit Type: ASA 04
 Mode of Audit: Onsite

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Abbreviations

CHRA	Chemical Health Risk Assessment
CPO	Crude Palm Oil
CSR	Corporate Social Responsibility
DOE	Department of Environment
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
FFB	Fresh Fruit Bunch
GAP	Good Agricultural Practice
GPS	Global Positioning System
ISCC	International Sustainability & Carbon Certification
ISO	International Standard Organisation
MSPO	Malaysia Sustainable Palm Oil
NC	Non Conformity
OSH	Occupational Safety and Health
P&C	Principle and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable Sustainable Palm Oil
RTE	Rare, Threatened and Endangered Species
SA8000	Social Accountability 8000
SIA	Social Impact Assessment
SOP	Standard Operating Procedure
WHO	World Health Organization
MPOB	Malaysian Palm Oil Board
MPOCC	Malaysia Palm Oil Certification Council
SDS	Safety Data Sheet

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1. INTRODUCTION

Aumkar Plantations Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct surveillance audit for its oil palm estates according to MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

1.1. Objective

The objective of this surveillance audit is to assess the estates by an independent certification body with the aim for compliance of the standard.

1.2. Scope

The assessment is based on the documentation established by the Aumkar Plantations Sdn. Bhd.

The supporting documents are provided to the audit team as well as information received by means of interviews and background investigation.

The documents and information are reviewed against the requirements and criteria based on MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

TUV NORD Malaysia has employed a risk-based approach in the audit, focusing on the identification of significant risks and reliability of the assessment and reporting.

The following references are used as part of the assessment; the compliance of the requirements out of the guidelines applied was checked.

1. Malaysian Sustainable Palm Oil Part 3: General Principles for Oil Palm Plantations and Organised Smallholders audit guidance;
2. Palm Oil Supply Chain Traceability Requirements

1.3. Appointment and qualification of team members

The audit team appointed consists of one team leader and four team members. The audit team members contributed to the review of documents, the assessment of the project activity and preparation of the report.

Qualification of the Lead Auditor: Mohamad Norhisham Bin Mohd Salleh

Requirement	Qualifications
Post-secondary education, college or university diploma / degree in one of the following	Graduate in Applied Science (Major In Agrobiology).
i) Agriculture;	
ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,);	
iii) Engineering, Process Technology;	
iv) Energy Management, Quality Management;	
v) Social Sciences and/or Anthropology;	
vi) Business Management; or	
vii) Other relevant related fields	

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Requirement	Qualifications
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	5 years working experience in oil palm plantations.
Successfully completed MPOCC endorsed lead auditor trainings	Successfully completed MS2530 series of standards for Lead Auditors.
Conducted at least three (3) MSPO or equivalent sustainability certification audits as Lead Auditor-in-training with a minimum of fifteen (15) man-days under the supervision of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes within the last two (2) years.	Qualified and appointed as lead auditor for MSPO scheme.
Field working experience in the palm oil sector or demonstrable equivalent	5 years working experience in oil palm plantations.
Good Agricultural Practices (GAP) and Integrated Pest Management (IPM), pesticide and fertilizer use	5 years working experience in oil palm plantations.
Successfully completed Quality Management Systems (QMS) ISO9001 standard	Successfully completed ISO 9001:2015 Lead Auditor course.
Health and safety auditing on the estate processes and activities Or Successfully completed Occupational, Health & Safety Management System ISO 45001 standard	Successfully completed ISO 45001:2018 Lead Auditor course.
Workers welfare and social auditing experience or Successfully attended SA8000 or related social or ethical accountability codes	Successfully completed Basic SA 8000 training.
Environmental and ecological auditing or experience with organic agriculture. or Successfully completed Environmental Management Systems ISO 14001 standard	Successfully completed IMS ISO 14001:2015 Lead auditor course.
Attended High Conversation Value Assessment training	Attended and completed the HCV-HCS for producer online course.
Able to communicate in Bahasa Malaysia, English and / or any other local language.	Able to communicate in Bahasa Malaysia and English.

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Qualification of Team Members

Requirement	Name of Assessor	Qualification	Compliance
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or vii) Other relevant related fields	Khairul Anwar Bin Ismail	Graduate in Agricultural Science	Yes
	Navin Baskram	Bachelor in Biotechnology and Master in Environmental Management.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Graduate in Plantation Industry and Management.	Yes
	Ariff Bin Lokman	Graduate in Plantation Industry and Management	Yes
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	Khairul Anwar Bin Ismail	5 years working experience in oil palm plantations	Yes
	Navin Baskram	Four (4) years working experience in oil palm plantation and two (2) years' experience as sustainability executive and Internal Auditor. Two (2) years' experience in RSPO accreditation for RSPO scheme accreditation body.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	7 years working experience in oil palm plantation.	Yes
	Ariff Bin Lokman	7 years working experience in oil palm plantation	Yes
Successfully completed MPOCC endorsed lead auditor trainings	Khairul Anwar Bin Ismail	Successfully completed MS2530 series of standards for Lead Auditors.	Yes
	Navin Baskram	Successfully completed MS2530 series of standards for Lead Auditors.	Yes

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Requirement	Name of Assessor	Qualification	Compliance
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed MS2530 series of standards for Lead Auditors.	Yes
	Ariff Bin Lokman	Successfully completed MS2530 series of standards for Lead Auditors.	Yes
Conducted a minimum six (6) on-site audits for a total of at least 20 man-days of audit experience as an auditor-in-training under the direction and guidance of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes.	Khairul Anwar Bin Ismail	Qualified as auditor based on audit log.	Yes
	Navin Baskram	Qualified as auditor based on audit log.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Qualified as auditor based on audit log.	Yes
	Ariff Bin Lokman	Qualified as auditor based on audit log.	Yes
Field working experience in the palm oil sector or demonstrable equivalent	Khairul Anwar Bin Ismail	5 years working experience in oil palm plantations	Yes
	Navin Baskram	Four (4) years working experience in oil palm plantation and two (2) years' experience as sustainability executive and Internal Auditor. Two (2) years' experience in RSPO accreditation for RSPO scheme accreditation body.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	7 years working experience in oil palm plantations.	Yes
	Ariff Bin Lokman	7 years working experience in oil palm plantations.	Yes
Good Agricultural Practices (GAP) and Integrated Pest Management (IPM), pesticide and fertilizer use	Khairul Anwar Bin Ismail		Yes
	Navin Baskram	Four (4) years working experience in oil palm plantation and two (2) years' experience as sustainability executive and Internal Auditor. Two (2) years'	Yes

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Requirement	Name of Assessor	Qualification	Compliance
		experience in RSPO accreditation for RSPO scheme accreditation body.	
	Muhammad Khairul Anuar Bin Azizul Hasan	7 years working experience in oil palm plantations.	Yes
	Ariff Bin Lokman	7 years working experience in oil palm plantations.	Yes
Successfully completed Quality Management Systems (QMS) ISO9001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Navin Baskram	Successfully completed IMS ISO 9001, 14001, 45001 Lead Auditor course.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Ariff Bin Lokman	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
Health and safety auditing of the estate processes and activities Or Successfully completed Occupational, Health & Safety Management System ISO 45001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Navin Baskram	Successfully completed IMS ISO 9001, 14001, 45001 Lead Auditor course.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Ariff Bin Lokman	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
Workers welfare and social auditing experience or	Khairul Anwar Bin Ismail	Successfully completed SA 8000 Basic training.	Yes
	Navin Baskram	Successfully completed SA 8000 Basic training	Yes

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Requirement	Name of Assessor	Qualification	Compliance
Successfully attended SA8000 or related social or ethical accountability codes	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed SA 8000 Basic training.	Yes
	Ariff Bin Lokman	Successfully completed SA 8000 Basic training	Yes
Environmental and ecological auditing or experience with organic agriculture. or Successfully completed Environmental Management Systems ISO 14001 standard	Khairul Anwar Bin Ismail	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Navin Baskram	Successfully completed IMS ISO 9001, 14001, 45001 Lead Auditor course.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
	Ariff Bin Lokman	Successfully completed IMS ISO 9001, ISO 14001 and ISO 45001 LA course.	Yes
Completed High Conversation Value assessment	Khairul Anwar Bin Ismail	Attended and completed the HCV-HCS for producers' course.	Yes
	Navin Baskram	Attended and completed the HCV-HCS for producers' course.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Attended and completed the HCV-HCS for producers' course.	Yes
	Ariff Bin Lokman	Attended and completed the HCV-HCS for producers' course.	Yes
Able to communicate in Bahasa Malaysia, English and / or any other local language.	Khairul Anwar Bin Ismail	The auditor is able to communicate in Bahasa Melayu and English.	Yes
	Navin Baskram	The auditor is able to communicate in Bahasa Melayu and English.	Yes

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Requirement	Name of Assessor	Qualification	Compliance
	Muhammad Khairul Anuar Bin Azizul Hasan	The auditor is able to communicate in Bahasa Melayu and English.	Yes
	Ariff Bin Lokman	The auditor is able to communicate in Bahasa Melayu and English.	Yes

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2. METHODOLOGY

The audit approach consists of the following steps:

- Appointment of team members;
- Contact client for relevant documentation according to the applicable MSPO standards;
- Audit planning;
- Background investigation, desk review of submitted documents;
- Assessment, inspections, interviews operational personnel, workers,; review of documentation;
- Reporting
- Resolution of non-conformance (NC) (if any)
- Draft audit reporting
- Technical review
- Final audit reporting
- Final approval, certification decision and issuance of certificate.

Surveillance Audit:

The Surveillance audit conducted onsite on 19/06/2023 – 23/06/2023 covering the following activities but not limited to below:

Onsite:

- Background investigation
- Review of documentation established but not limited to below
 - Policies
 - Estate(s) map(s)
 - Land title(s)
 - Standard Operating Procedures;
 - Work Flow Charts;
 - Management Plans;
 - Operating licenses and approvals;
 - Operating records;
 - Training records;
 - Applicable Legislation Documents
- Onsite visit, observations and inspection of estate(s) facilities and field activities;
- Interview operation personnel and field workers for understanding of work assigned;
- Reviewed revised and updated documentation established and implemented;

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- Reports established;
- Work plans established;
- Review and closed out of non-conformance raised during in last audit;
- Assessment reporting;

On-site Assessment

The audit of the estates are conducted according to the MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

The methodology for collection of objective evidence by means of physical site inspections, observation of tasks and processes, interview workers and operation personnel, review of documentation and data. Checklists and questionnaires were used to guide the collection of information.

Table 2-1: Estates Selected

Name of Estates	Coordinates
Kalumpang Estate	4. 4278041; 118. 2057958
Aumpalm Estate	5.43805556; 118.03055556
Sungai Tingkayu & Aumnamaha Estate	5.26722222; 118.51777778
Aumexpress Estate	5.22472222, 118.52638889

Non-conformance:

On the basis of the desk review, evidences presented during the audits as well as from the onsite visits non-conformance (NC) Major, Minor and Opportunity for Improvement (OFI) may be raised during the audit.

Major non-conformance shall be addressed and responded with 60 days from closing date of audit. For minor non-conformances raised and action plan to be submitted within 30 days from closing date of audit for review and acceptance. Implementation of Minor NC will be review and verify in the next audit.

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3. ORGANISATION INFORMATION

The certified estates are owned by Aumkar Plantations Sdn. Bhd. located at KM 37, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak, Sabah, Malaysia.

The details of the estates as below:

Name of Estate	Location	Coordinates
Tingkayu Estate	KM 37, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.25333333; 118.45055556
Bandau Estate	KM 37, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.25333333; 118.45055556
Aumsawit & Aumshivam Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.33083333; 118.58444444
Aumpalm Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.43805556; 118.03055556
Aumexpress Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.12305556; 118.50500000
Aumsubha Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.32166667; 118.52222222
Sg. Tingkayu & Aumnamaha Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.26722222; 118.51777778
Sapang & Lahunai Estate	Jalan Kg. Gading – Gading Kunak, Semporna Highway, 91300 Sabah.	5.03500000; 118.59361111
Kalumpang Estate	Batu 40, Jalan Tawau - Semporna, 91207 Sabah.	4.4278041; 118.2057958
Aumgreen Estate	KM 33, Jalan Tingkayu, Kunak – Lahad Datu Highway, 91207 Kunak Sabah	5.22472222, 118.52638889

3.1. Production volume

Name of Estates	Area (Ha)		Projected FFB Production (mt) (June 2023 to December 2023)
	Total*	Production**	
Tingkayu Estate	1,851.99	1,550.23	10,415
Bandau Estate	498.91	459.17	6,120
Aumsawit & Aumshivam Estate	2,037.60	1,931.35	21,840
Aumpalm Estate	830.74	737.62	8,200
Aumexpress Estate	380.43	364.00	3,850
Aumsubha Estate	393.70	332.96	2,115
Sg. Tingkayu & Aumnamaha Estate	849.96	777.29	6,590

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Sapang & Lahunai Estate	1,098.03	1,094.03	11,500
Kalumpang Estate	607.43	603.73	7,700
Aumgreen Estate	404.40	350.16	3,700.00
Total	8,953.19	8,200.54	82,030

**includes productive and non-productive area (infrastructures, conservation, HCV, housing & office compound use, set aside area etc.)*

***Immature + Mature Area*

3.2. Planting Program for Each Estate

Year / Estate	Tingkayu	Bandau	Aumsawit & Aumshivam	Aumpalm	Aumexpress	Aumsu bha	Sg.Tingkayu & Aumnamaha	Sapang & Lahunai	Kalumpang	Aumgreen
1995	-	-	-	-	83.02	-	-	44.70	-	-
1996	401.22	-	-	17.65	-	-	-	60.04	-	-
1997	158.19	-	22.92	49.01	-	124.15	71.30	18.10	-	-
1998	-	-	-	8.44	-	-	-	405.66	-	-
1999	-	49.05	-	66.66	-	-	-	-	-	-
2000	77.24	-	63.22	-	-	-	-	32.52	-	-
2001	22.08	-	80.24	160.27	-	-	64.90	-	-	-
2002	80.64	-	-	-	-	-	48.00	2.94	-	32.31
2003	65.19	-	150.76	-	-	-	63.90	-	-	-
2004	7.94	-	76.49	-	101.95	-	82.40	27.61	-	61.11
2005	106.04	-	674.54	431.01	82.11	-	43.80	27.78	-	-
2006	27.81	372.54	286.52	-	-	-	167.19	14.21	-	-
2007	-	-	93.95	-	-	-	-	-	-	-
2008	65.85	-	-	-	96.92	-	-	-	-	67.57
2009	18.26	-	23.97	2.86	-	-	-	-	197.24	78.42
2010	7.44	-	-	-	-	-	-	43.13	-	-
2011	-	-	-	-	-	-	-	14.18	-	-
2012	-	-	-	-	-	-	-	-	-	102.73
2014	-	-	-	-	-	-	-	-	244.29	-
2015	17.50	17.35	-	1.72	-	-	-	-	-	-
2016	-	-	-	-	-	-	90.40	41.60	60.00	-
2017	-	-	-	-	-	8.26	-	24.30	-	-
2018	-	-	-	-	-	-	-	166.90	-	-
2019	9.76	-	357.14	-	-	-	-	-	-	-
Total Mature	1,065.16	438.94	1,829.75	737.62	364.00	132.41	631.89	923.67	501.53	342.14
2020	174.75	-	-	-	-	110.84	99.53	52.17	-	8.02

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2021	193.13	20.23		-	-	89.71	-	85.93	-	-
2022	117.19	-	101.60	-	-	-	45.87	-	102.20	-
2023	-	-	-	-	-	-	-	32.26	-	-
Total Immat ure	485.07	20.23	101.60	-	-	200.55	145.40	170.36	102.20	8.02
Total	1,550.23	459.17	1,931.35	737.62	364.00	332.96	777.29	1,094.03	603.73	350.16

3.3. Replanting program for each estate

Estates	Year of planting					Total area to be replanted (ha)
	2023	2024	2025	2026	2027	
Tingkayu	182.64	298.02	154.19	-	-	634.85
Bandau	-	-	49.05	-	-	49.05
Aumsawit & Aumshivam	-	-	69.95	96.43	-	166.38
Aumpalm	75.10	-	66.66	-	-	141.76
Aumexpress	83.02	-	-	-	-	83.02
Aumsubha	-	124.15	-	-	-	124.15
Sg. Tingkayu & Aumnamaha	71.30	-	-	-	-	71.30
Sapang & Lahunai	32.26	-	-	-	-	32.26
Kalumpang	-	-	-	-	-	-
Aumgreen	-	-	-	-	-	-
TOTAL	444.32	422.17	339.85	96.43	-	1,302.77

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3.4. Maps of Estates Location

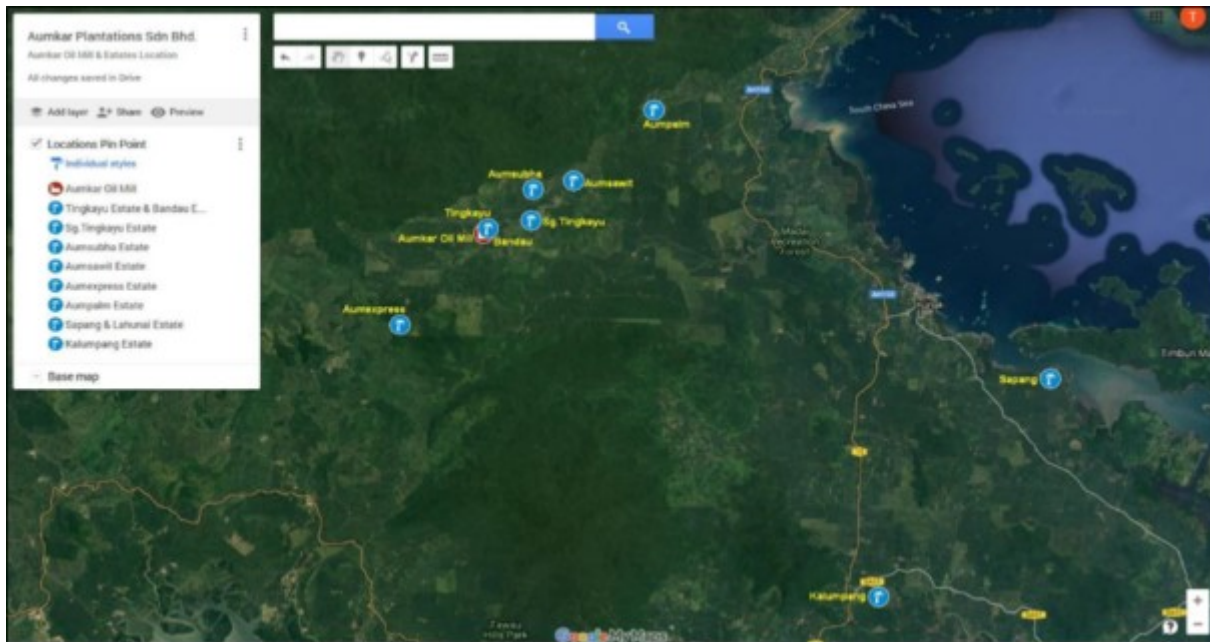
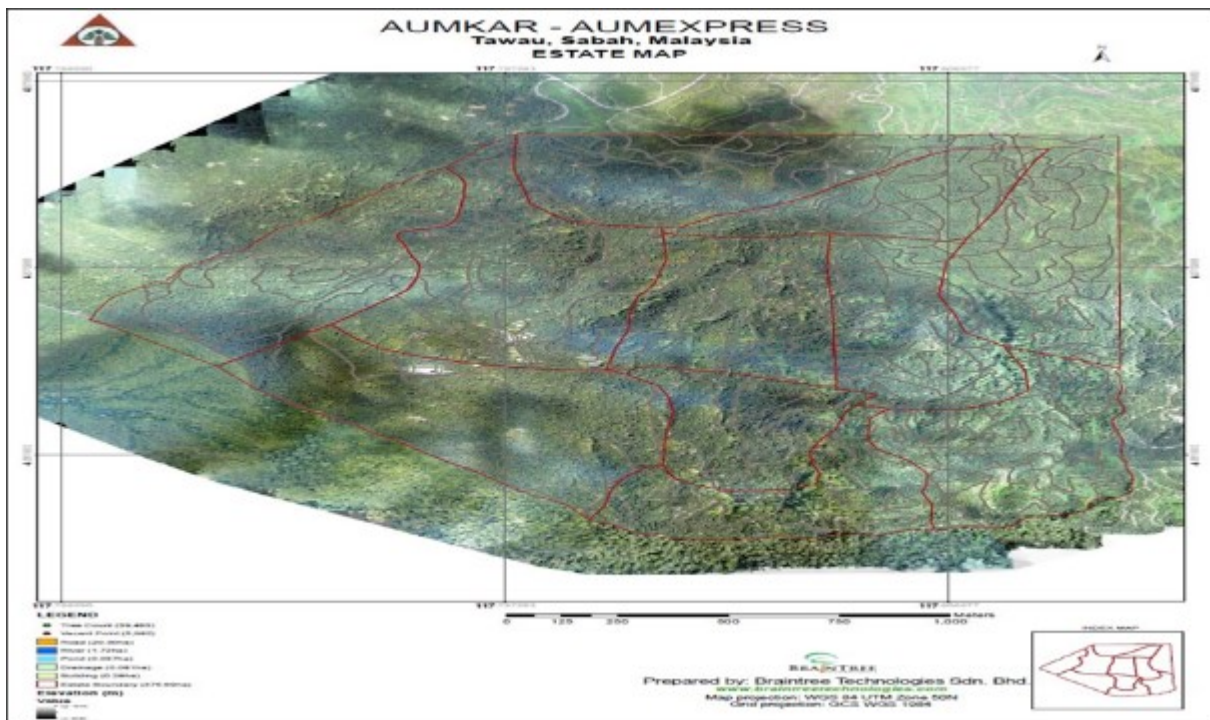


Figure 1: Aumkar Plantation's estates location.



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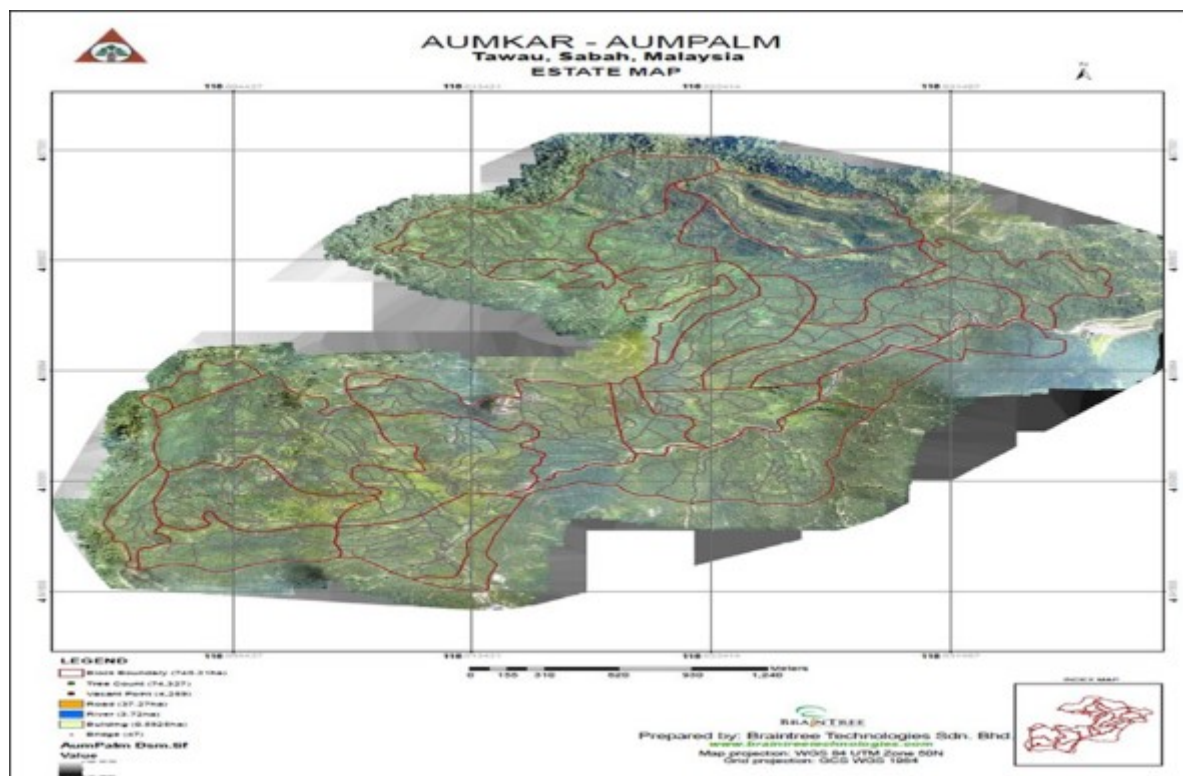


Figure 3: Aumpalm Estate

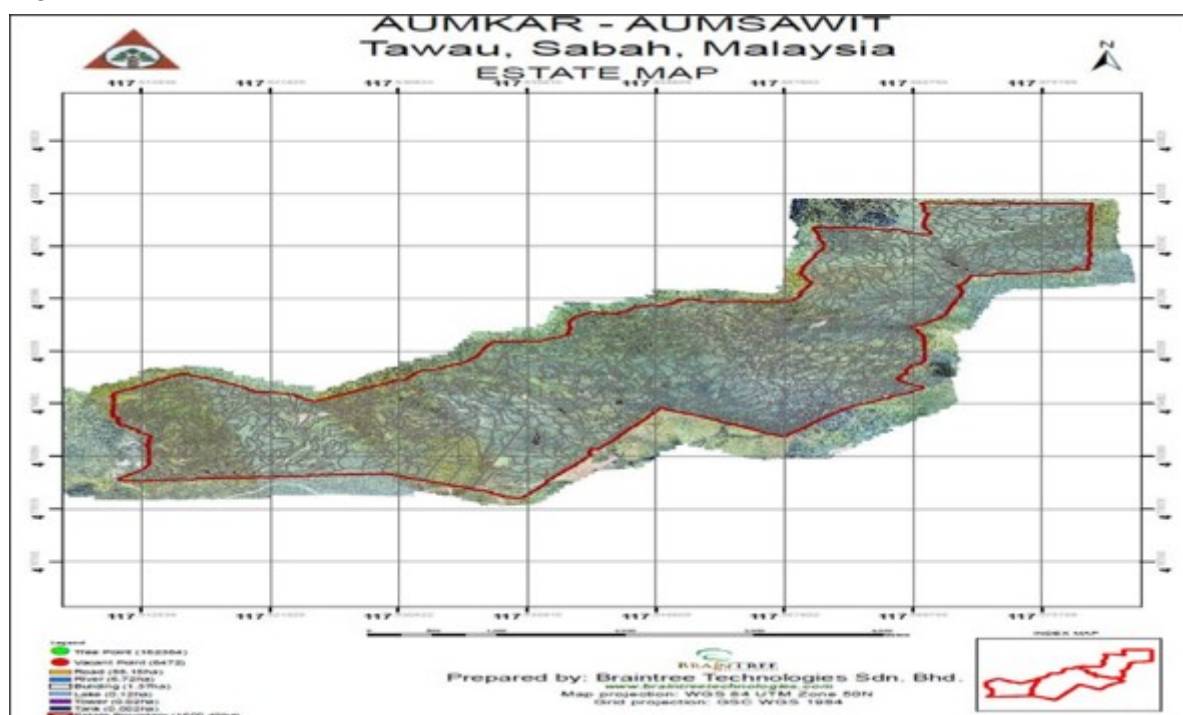


Figure 4: Aumsawit Estate

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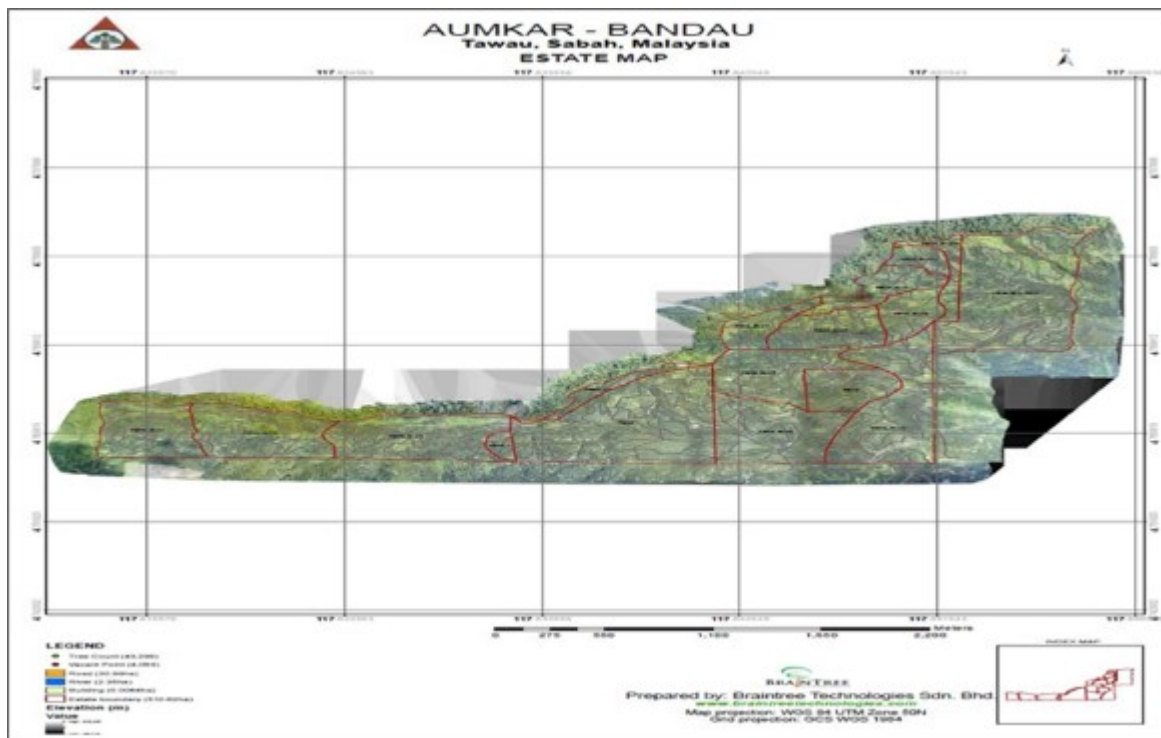


Figure 5: Bandau Estate

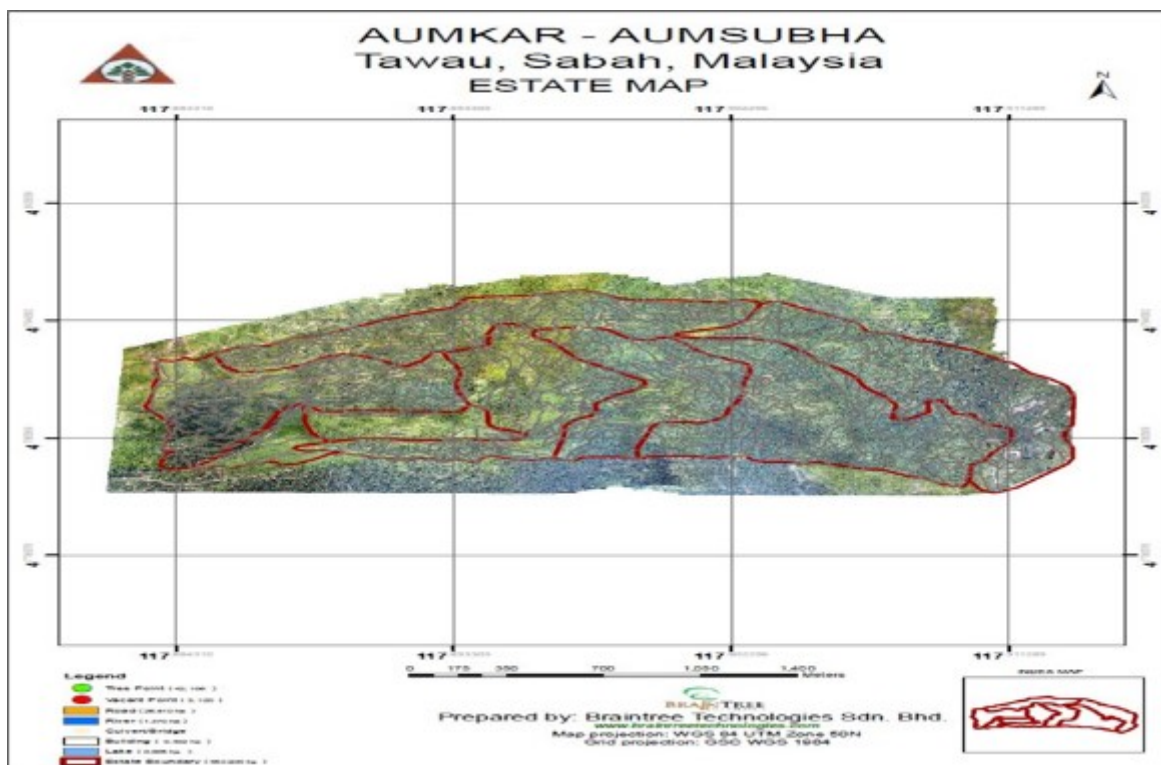


Figure 6: Aumsubha Estate

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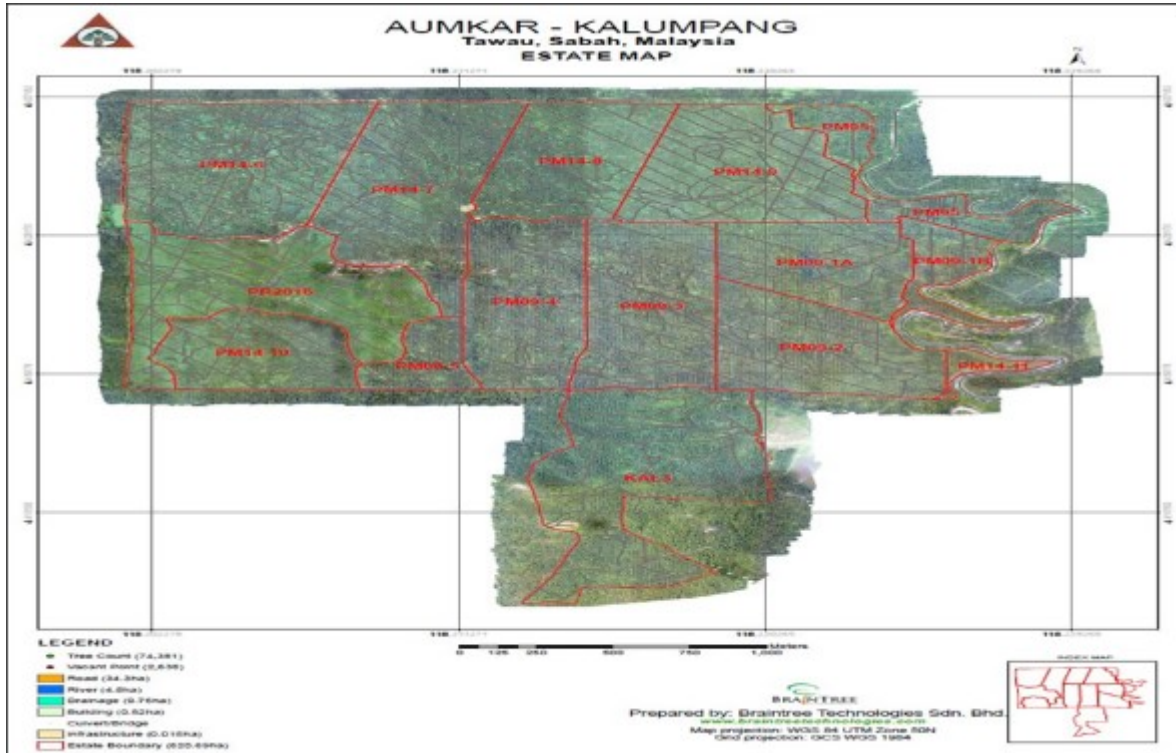


Figure 7: Kalumpang Estate

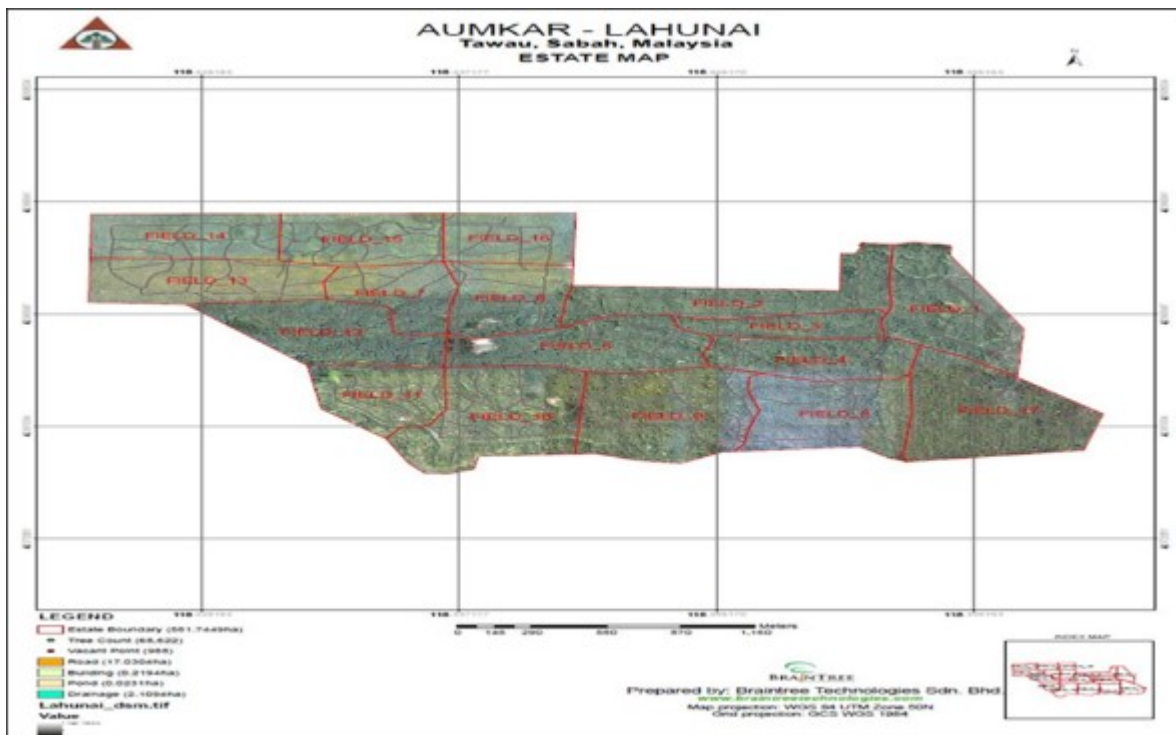


Figure 8a: Sapang & Lahunai Estate

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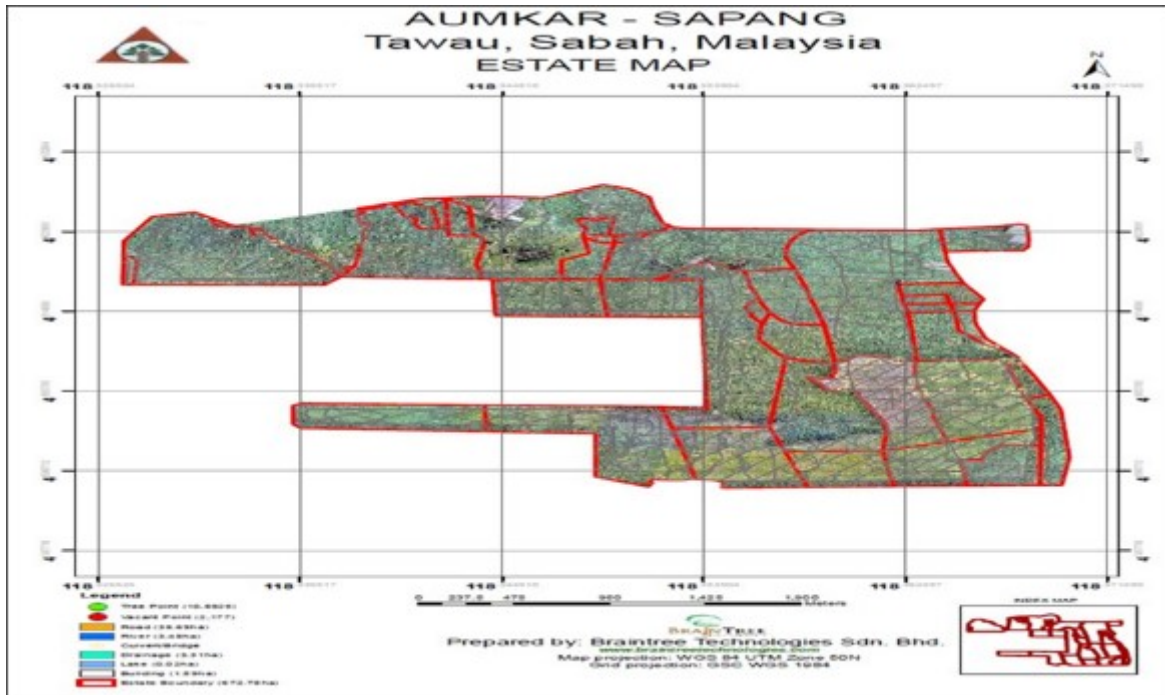


Figure 8b: Sapang & Lahunai Estate

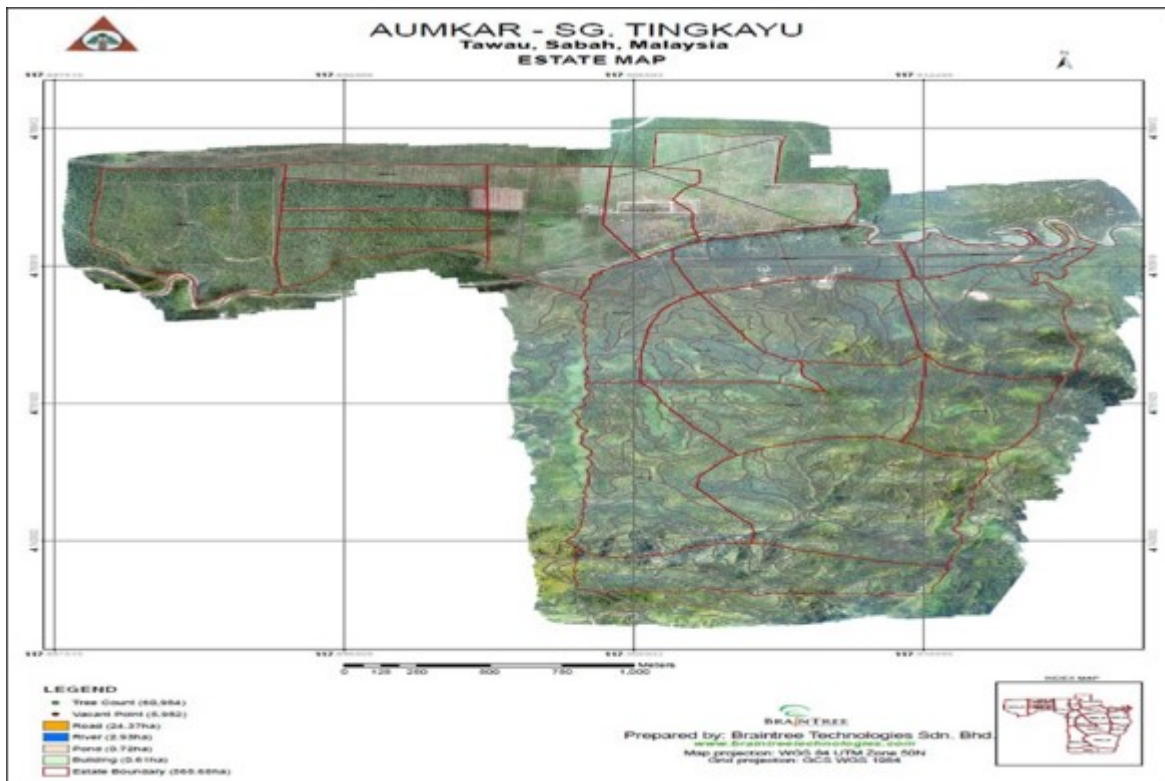


Figure 9: Sungai Tingkayu Estate

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Certifying Unit: Aumkar Plantations Sdn. Bhd.

Client Number: 92-088

Audit Type: ASA 04

Mode of Audit: Onsite

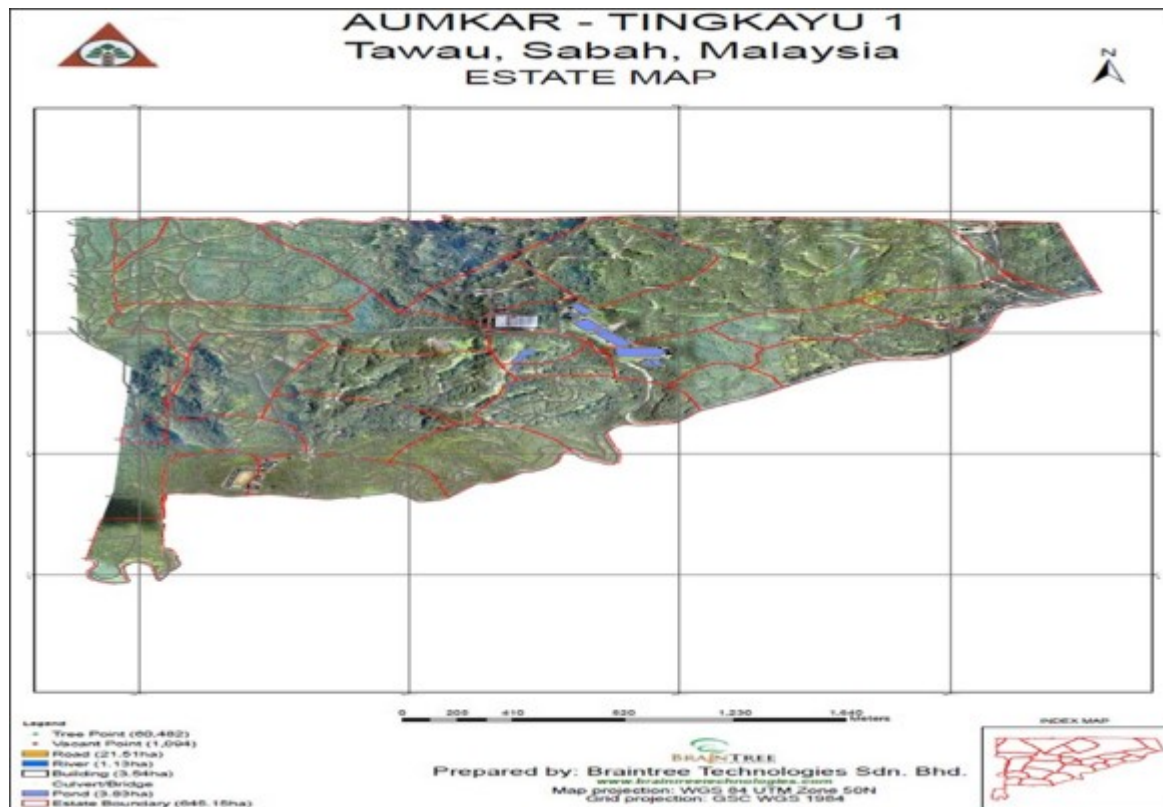


Figure 10: Tingkayu Estate.

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Certifying Unit: Aumkar Plantations Sdn. Bhd.
Client Number: 92-088
Audit Type: ASA 04
Mode of Audit: Onsite

4. CERTIFICATION ASSESSMENT

4.1. ASA 04

The objective of the audit is to assess the activities of the estates are in compliance with MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

4.1.1. Surveillance:

The Onsite surveillance audit is conducted on 19/06/2023 to 23/06/2023 covering 4 selected estates – Kalumpang Estate, Aumpalm Estate, Sg Tingkayu & Aumnamaha Estate and Aumexpress Estate.

During the last surveillance audit, there are one Major, 0 Minor, 3 Opportunities for Improvement (OFI) are raised.

During this surveillance 04 audit, the major and minor non-compliance raised in the last audit, the audit team has review by means of physical inspection of estate(s), conduct interviews, review and verify documents that are established, corrected and implemented appropriately by the estate management. The corrective measures implemented could be verified as appropriate.

The major non-conformances raise during the last surveillance 03 audit are closed out by means of documents review that are establish, revised and implemented appropriately and preventive actions taken.

For details of the assessment, refer summary of assessment for each indicator in section 4.3 of this report.

The audit findings if any, raised in this audit refer to Section 4.6 of this report.

4.2. Stakeholders' Consultation

According to ACB – OPMC 4 Issue 2 dated 04/09/2020, Stakeholder Consultation Requirements Section 6: Consultation during audit, §6.1: During the stage 2 and recertification audit of the management unit, the CB shall carry out stakeholder consultation to ensure continued compliance with the requirements of the certification standards. However, stakeholders' consultation during surveillance audit may be limited to those stakeholders who have raised concerns, complaints or disputes prior to the audit.

Therefore, there is no physical stakeholders consultation conducted for this surveillance audit.

TUV NORD (Malaysia) Sdn. Bhd., has published the audit notification on 23/05/2023 to announce the audit of the certified unit. As at audit date on 19/06/2023 there are no comments received.

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4.3. Summary of Assessment

Principle and Criteria Assessment Summary
<p>The assessment team conduct a thorough assessment of each principle and criteria. Over the 5 years' period of the certificate cycle, there will be 4 annual surveillance audits with all criterions will be assessed. Evidences are sought for conformity with the MSPO 2530-3. The summary of the assessment as below, where the "Findings/Comments" column reflects the findings in accordance with each indicator or evidences and when non conformity is found. Summary of the non-conformity can be found below in section 4.6.</p>

Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
4.1.1.1	<p>Aumkar Plantations Sdn Bhd (APSB) established MSPO policy updated on 18/02/2020 signed by the company director and available in Bahasa Malaysia & English.</p> <p>The policy states that as a responsible and sustainable palm oil producer the company is committed to sustainable development and continuous improvement.</p> <p>The MSPO policy is displayed at estates notice boards.</p> <p>Briefing on the policy conducted by the individual estate:</p> <ol style="list-style-type: none"> 1. Kalumpang Estate dated 13/04/2023; 2. Aumpalm Estate dated 02/06/2023; 3. Sungai Tingkayu Estate 02/06/2023. 4. Aumexpress Estate dated 01/06/2023. <p>Field interviews with sampled sprayers and harvesters informed, they had been briefed on MSPO policy.</p>	Yes
4.1.1.2	<p>APSB established MSPO policy includes a statement on commitment to continuous improvement.</p> <p>APSB is committed to sustainability development and continuous improvement on estates and milling operation through MSPO standard.</p>	Yes
4.1.2.1	<p>APSB established Standard operating procedure for Sustainability Internal Audit with documents number SIA-APSB/01, revision date: 22/02/2022. The frequency for internal audits is a yearly basis.</p> <p>The latest internal audit was conducted for Kalumpang Estate dated 13/03/2023.</p> <p>Document review on the internal audit report includes the compliance and non-compliance section. Three OFIs raised for Kalumpang Estate.</p> <p>The latest internal audit was conducted for Aumpalm Estate dated 09/02/2023.</p> <p>Latest internal audit for Aumpalm estate conducted on 09/02/2023 with 3 major, 1 minor and 3 OFIs.</p> <p>Example NC: 4.5.6.2;</p>	Yes

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Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	<p>Findings: There are sign of spraying at the boundary ;</p> <p>Corrective action record (CAR) established to closed the non-conformity.</p> <p>Corrective action:</p> <ol style="list-style-type: none"> To brief frequently about MSPO To monitor and remind workers during spraying activities. <p>Training on chemical spraying conducted on 20/03/2023. Non-conformity was closed on 13/03/2023.</p> <p>Latest internal audit for Sg Tingkayu Estate conducted on 16/02/2023 with 1 major, 3 minors and six OFIs.</p>	
4.1.2.2	<p>APSB carry out internal audit once a year as stated in Standard operating procedure for Sustainability Internal Audit with documents number SIA-APSB/01, revision date: 22/02/2022.</p> <p>The latest internal audit was conducted for Kalumpang Estate dated 13/03/2023. There are 3 OFIs raised for estate.</p> <p>The latest internal audit was conducted for Aumpalm Estate dated 09/02/2023. There are 3 major, 1 minor and 3 OFIs raised for estate.</p> <p>Latest internal audit for Sg Tingkayu Estate conducted on 16/02/2023 with 1 major, 3 minors and six OFIs.</p> <p>The latest internal audit for Aumexpress Estate conducted on 09/03/2023. There are 3 OFIs raised for the estate.</p>	Yes
4.1.2.3	<p>Result of the estates internal audit are presented during the management review meeting.</p> <p>Group management review meeting for all estate conducted on 29/03/2023.</p> <p>Internal audit results for Kalumpang Estate, Aumpalm Estate, Sg Tingkayu Estate and Aumexpress Estates were presented during the management review meeting held on 29/03/2023.</p>	Yes
4.1.3.1	<p>APSB carry out management review meeting once a year after the internal audit.</p> <p>Agenda of the meeting includes:</p> <ol style="list-style-type: none"> Status of action from previous Management Review; Previous external audit finding and solutions; Internal Audit Findings; Communication with stakeholders 2023 Information on the organization's performance (2021 vs 2022); Improvement plan as at 29th March 2023; Opportunity for Improvement (CIP); Closing note. 	Yes

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Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
4.1.4.1	<p>Aumkar plantation Sdn. Bhd. Established Group Continuous Improvement Plan dated 01/03/2023.</p> <p>CP established includes topics such as:</p> <ul style="list-style-type: none"> • Pesticide • Environment • Water reduction • Pollution and emission • Social Impacts • Health and Safety issue • Legal Compliance and transparency 	Yes
4.1.4.2	<p>APSB established the SOP and Flowchart of New Project, Information, Techniques, Equipment, Resources ETC with document no.: SOP/PROJECTLIST/NO.0, dated 10/05/2021 as a system to improve practices in line with new information and techniques or new industry standards and technology.</p> <p>Example:</p> <p>Kalumpang estate purchasing land surf and FFB grabber for FFB collection, sighted invoice purchase dated 20/05/2023.</p> <p>There are no new technology application for other sampled estates.</p>	Yes
4.1.4.3	<p>APSB established the SOP and Flowchart of New Project, Information, Techniques, Equipment, Resources ETC with document no.: SOP/PROJECTLIST/NO.0, dated 10/05/2021, The flowchart indicates necessary resources including budget and training will be provided if applicable, available and feasible for adoption.</p> <p>Example:</p> <ul style="list-style-type: none"> • Training on land surf conducted on 17/04/2023. • Training for grabber conducted on 31/05/2023. 	Yes

Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.1.1	<p>APSB established stakeholders consultation SOP dated 01/10/2018.</p> <p>The SOP describes:</p> <ul style="list-style-type: none"> • Identification of stakeholders; • Formal community meeting; • Worker consultation. <p>The list of stakeholders of APSB includes government agencies, neighboring estates, NGOs, suppliers, local villages, internal stakeholders and suppliers dated 31/01/2023.</p>	Yes

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Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
	<p>The centralized external stakeholders meeting conducted on 17/01/2023 includes the topics of MSPO briefing for all principles, company policies, social, complaint and grievance SOP, transparency or information request flow and environment and reserve forest.</p> <p>The internal stakeholders meeting conducted for all sampled estate.</p> <p>Example: Sungai Tingkayu Estate stakeholders meeting conducted on 22/02/2023.</p>	
4.2.1.2	<p>APSB established list of publicly available documents updated on 01/08/2021.</p> <p>Example of documents:</p> <p>Non-confidential:</p> <ul style="list-style-type: none"> Company policies; SOPs. <p>Confidential:</p> <ul style="list-style-type: none"> Financial Report; Pay slips. <p>Reviewed information request record, no information request by stakeholders.</p>	Yes
4.2.2.1	<p>APSB established stakeholders consultation SOP dated 01/10/2018.</p> <p>The SOP describes:</p> <ul style="list-style-type: none"> Identification of stakeholders; Formal community meeting; Worker consultation. <p>The external stakeholders meeting conducted on 17/01/2023 includes the topics of MSPO briefing for all principles, company policies, social, complaint and grievance SOP, transparency or information request flow and environment and reserve forest.</p>	Yes
4.2.2.2	<p>APSB appointed estate manager or estate assistant manager as person in charge for handling communication and consultation with stakeholders.</p> <p>Example:</p> <ol style="list-style-type: none"> Kalumpang estate manager appointed dated 07/01/2021. Sungai Tingkayu estate assistant manager appointed dated 13/02/2023. 	Yes
4.2.2.3	<p>APSB established list of stakeholders dated 28/05/2021 and latest updated on 01/04/2023.</p> <p>Consultation & Communication flowchart states the timeframe to attend any grievance or complaint received is within 30 working days.</p>	Yes

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Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
	<p>List of external stakeholders had includes the government agencies, supplier, NGO's and others.</p> <p>Field interviews with harvesters, sprayers and manures informed issues highlighted during the internal stakeholder meetings has been addressed.</p> <p>Reviewed consultation & communication record, no information request by stakeholders.</p>	
4.2.3.1	<p>APSB has established SOP on traceability of crop production and delivery updated 10/05/2022.</p> <p>Objective of SOP is to ensure the handling of outgoing FFB area carried out in the proper manner to ensure traceability and to meet sustainability requirement.</p> <p>The SOP describes;</p> <ul style="list-style-type: none"> Ability to track all stages of the product from source to finished goods; To understand FFB suppliers' practices in producing, procuring and handling of FFB. <p>APSB use FFB Delivery note while transporting FFBs from estate to mills.</p> <p>Review in the delivery note includes Delivery Note (DN) number, mill name, date, block number, vehicles number, driver name and acknowledgement.</p> <p>Example:</p> <p><u>Sungai Tingkayu estate:</u></p> <p>Example of DO from estate: Sungai Tingkayu Estate</p> <p>DO number: 117115</p> <p>Date: 22/11/2022</p> <p>Block Number: 02 & 03</p> <p>MSPO cert no: 04 300 92 088</p> <p>Product: FFB</p> <p>Estate Name: Sungai Tingkayu Estate</p> <p>Signed by estate manager.</p> <p>Example of weighbridge ticket from mill:</p> <p>Mill Name: Aumkar Oil Mill</p> <p>WB ticket no: P00281750</p> <p>Dated: 22/11/2022</p> <p>From: Aumkar Plantations Sdn Bhd</p> <p>Net Weight: 8,180 kg</p>	Yes

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Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.3.2	<p>APSB conducts regular inspections of traceability system during internal audit and monthly check by the estate manager using traceability inspection checklist.</p> <p>The internal audit conducted with no findings raised on traceability.</p> <p>Example of internal audit conducted:</p> <ul style="list-style-type: none"> • Kalumpang Estate dated 13/03/2023; • Aumpalm Estate dated 31/03/2023; • Aumexpress estate dated 09/03/2023; • Sungai Tingkayu estate dated 16/02/2023; <p>Example of traceability inspection checklist dated 03/04/2023, 10/06/2023, 31/05/2023 for Aumexpress estate, Sungai Tingkayu estate & Kalumpang Estate respectively reviewed.</p>	Yes
4.2.3.3	<p>APSB appointed estate manager or estate assistant manager as person in charge for handling communication and consultation with stakeholders.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. Kalumpang estate manager appointed dated 07/01/2021. 2. Sungai Tingkayu estate assistant manager appointed dated 13/02/2023. 	Yes
4.2.3.4	<p>APSB documented and maintained records of sales and FFB delivery to the mill.</p> <p>Review records of sales and delivery of FFBs documented for November 2022, March 2023 & May 2023.</p> <p>Reviewed and verified traceability documents includes FFB log sheet, delivery note and weighbridge ticket.</p> <p>Reviewed the sampled delivery records from year 2020 till 2022 are well maintained.</p>	Yes

Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.1.1	<p>APSB established list of legal and other requirement register updated 01/06/2022.</p> <p>Example:</p> <ul style="list-style-type: none"> • Malaysia Palm Oil Board Act 1998; • Sabah Labour Ordinance Cap 67; • Occupational Safety & Health (Amendment) Act A1648. <p>List of permits and licenses is established for sampled estates.</p> <p>Permits and licenses are reviewed.</p>	Yes

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Audit Type: ASA 04

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Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
	<p>Example:</p> <ul style="list-style-type: none"> MPOB License for Kalumpang estate validity period 01/09/2022 – 31/08/2023; Penggajian pekerja for Aumpalm estate validity period 24/03/2023 – 23/03/2024; Trading license for Sg. Tingkayu estate validity 06/04/2023 – 31/12/2023; 	
4.3.1.2	<p>APSB established list of legal and other requirement register updated 01/06/2022. The register includes the related act and regulation applicable to estates.</p> <p>Example:</p> <ul style="list-style-type: none"> Land ordinance (Sabah cap 68); Malaysia Palm Oil Board Act 1998; Akta kawalan bekalan Act 1961; Minimum Wages Order 2022. 	Yes
4.3.1.3	<p>APSB established list of legal and other requirement register updated 01/06/2022.</p> <p>Example:</p> <ul style="list-style-type: none"> Minimum Wages Order 2022; Occupational Safety and Health (Amendment) Act A1648. 	Yes
4.3.1.4	<p>APSB appointed Estate Manager as person in charge for regulatory liaison include updating the list of law.</p> <p>Example:</p> <p>Kalumpang estate:</p> <p>APSB appointed Kalumpang Estate Manager dated 07/01/2021.</p> <p>Aumpalm estate:</p> <p>APSB appointed Aumpalm Estate Manager dated 06/01/2023.</p> <p>Sg.Tingkayu estate:</p> <p>APSB appointed Sg Tingkayu Estate Assistant Manager dated 13/02/2023.</p> <p>Aumexpress estate:</p> <p>APSB appointed Aumexpress Estate Assistant Incharge dated 06/01/2023.</p>	Yes
4.3.2.1	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land and Survey Office.</p> <p>Example:</p>	Yes

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Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
	<p>Kalumpang Estate:</p> <p>Document review on the sublease agreement of native land titles. The native titles are sub-leased by APSB from the owners and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office.</p> <p>Example: Sublease agreement dated 06/09/1995 stated sublease tenure is 99 years and for cultivation of oil palm or an agricultural crop of economic value.</p> <p>There is no diminish the land use rights of other users.</p>	
4.3.2.2	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land & Survey Office with 99 years tenure and for cultivation of oil palm or an agricultural crop of economic value.</p> <p>The country lease and provisional lease land titles for APSB include estate name as ownership evidence.</p> <p>Document review verified, copy of land titles are available at respective estates.</p> <p>Reviewed the sublease agreement of native land titles which sub-leased by APSB from the owners and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office include lease of tenure.</p> <p>Example: Sublease agreement dated 06/09/1995 stated sublease tenure is 99 years.</p>	Yes
4.3.2.3	<p>APSB country lease and provisional lease land titles and subleased native land titles reviewed include map consist of title number, size in acre and boundary stone numbers.</p> <p>Example:</p> <p>Aumkar Express Estate:</p> <p>Field visit to estates boundary at block 01, GPS coordinate N 4°39'41", E 117°48'37" observed the boundary markers are marked with wooden stick of red and white colour.</p>	Yes
4.3.2.4	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land and Survey Office.</p> <p>Example:</p> <p>Aumpalm Estate:</p> <p>Reviewed the sublease agreement of native land titles which sub-leased by APSB from the owners and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office include lease of tenure.</p> <p>There is no objection or dispute found during document verification.</p>	Yes

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Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.3.1	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land and Survey Office. The land titles is leased for 99 years leased period.</p> <p>Example:</p> <p>Sg Tingkayu Estate:</p> <p>Reviewed the sublease agreement of native land titles which sub-leased by APSB from the owners and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office.</p> <p>The terms of the lands are for cultivation of oil palm or cultivation of an agricultural crop of economic value.</p> <p>There is no customary right land owned by APSB.</p>	Yes
4.3.3.2	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land and Survey Office.</p> <p>Example:</p> <p>Kalumpang Estate:</p> <p>Reviewed the sublease agreement of native land titles which sub-leased by APSB from the owner and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office include maps and boundary stone number.</p> <p>There is no customary right land owned by APSB. Therefore, no maps established.</p>	Yes
4.3.3.3	<p>APSB land titles are country lease and provisional lease issued by Sabah States Land and Survey Office.</p> <p>Example:</p> <p>Kalumpang Estate:</p> <p>Reviewed the sublease agreement of native land titles which sub-leased by APSB from the owner and signed by both parties. The native land titles are issued by Sabah States Land and Survey Office include maps.</p> <p>Example: Sublease agreement dated 06/09/1995 stated sublease tenure is 99 years.</p> <p>There is no customary land owned by APSB. Therefore, no FPIC required.</p>	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.1.1	<p>Aumkar Plantations Sdn. Bhd. (APSB) established Social Impact Assessment (SIA) report for all the estates which include survey from internal and external stakeholders.</p> <p>The SIA report includes both positive and negative impacts.</p> <p>Interview with management indicates SIA will be reviewed once a year or if there are any changes.</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Example:</p> <p>Kalumpang Estate:</p> <p>Positive impact</p> <p>Activity: Workers from different ethnics;</p> <p>Social aspects: Sharing of customs and practices;</p> <p>Control plan:</p> <p>The company organized events where all the ethnics are free to demonstrate their practices;</p> <p>Responsibility: Manager.</p> <p>Negative impact:</p> <p>Issue: Alcoholism;</p> <p>Social aspects: Workers may create public nuisance;</p> <p>Control plan: Prohibits workers from drinking, selling and buying alcohol beverages;</p> <p>Responsibility: Manager.</p> <p>Review on complaints records and interviews with sampled harvesters informed no issues related to ethnics within the estates.</p>	
4.4.2.1	<p>APSB established SOP for Suggestion, Complaint & Grievance dated 05/01/2022.</p> <p>The SOP describes internal and external grievance issue to be address within 10 days. If the complaint not able to be resolved will submit to manager to be resolved within 10 days.</p> <p>The procedure include the complaint flowchart and displayed at the notice boards.</p> <p>Person in charge to resolve complaint is field conductor, assistant manager and estate manager.</p> <p>Field interviews with sampled harvesters informed they understand the process to make complaint or grievance.</p>	Yes
4.4.2.2	<p>APSB established SOP for Suggestion, Complaint & Grievance dated 05/02/2022.</p> <p>The SOP describes internal and external stakeholder can grievance form or complaint in writing internal and external grievance issue to be address within 10 days. If the complaint not able to be resolved will submit to manager to be resolved within 10 days.</p> <p>Review on complaint records, verified no complaint lodged from 2021 till the audit date.</p>	Yes
4.4.2.3	<p>APSB established complaints form as document to records any complaints lodged.</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	The complaint and grievance form is available next to the office complaint box that can be access by all workers.	
4.4.2.4	<p>APSB displayed the complaint flowchart at the estate notice boards.</p> <p>APSB conducted the complaint and grievance training to workers.</p> <p>Example:</p> <ul style="list-style-type: none"> Briefing for Kalumpang Estate carried out dated 13/04/2023; Briefing for Aumpalm Estate carried out dated 29/05/2023. Briefing for Sg. Tingkayu Estate carried out dated 02/05/2023. <p>Briefing for Aumexpress Estate carried out dated 22/05/2023.</p>	Yes
4.4.2.5	<p>Review on complaint records, no complaint lodged from 2021 till the audit date.</p> <p>The complaint logbook from year 2021 till the audit date is kept in the estate office.</p>	Yes
4.4.3.1	<p>APSB has contribute to local development to all estates.</p> <p>Example of contribution made to 4 sampled estates:</p> <ul style="list-style-type: none"> Hiring local workers working in the estates; Road maintenance for the use of workers and local communities; Provided facilities such as shop lots for local to rent and sell their products. 	Yes
4.4.4.1	<p>APSB established Safety and Health policy dated 02/07/2018 signed by Company Director.</p> <p>Safety and Health policy displayed at estates notice boards.</p> <p>All estates carried out the Safety and Health policy briefing to workers.</p> <p>Example:</p> <ul style="list-style-type: none"> Policy briefing for Kalumpang estate carried out dated 13/04/2023; Policy briefing for Aumpalm estate carried out dated 02/06/2023. Policy briefing for Sg. Tingkayu estate carried out dated 02/06/2023. Policy briefing for Aumexpress estate carried out dated 01/06/2023. 	Yes
4.4.4.2	<p>a</p> <p>APSB established Safety and Health policy dated 02/07/2018 signed by Company Director.</p> <p>Safety and Health policy displayed at estates notice boards.</p> <p>All estates carried out the Safety and Health policy briefing to workers.</p> <p>Example:</p> <p>Policy briefing for Kalumpang estate carried out dated 13/04/2023;</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Policy briefing for Aumpalm estate carried out dated 02/06/2023.</p> <p>Policy briefing for Sg. Tingkayu estate carried out dated 06/06/2023.</p> <p>Field Interviews with sampled harvesters informed they had been briefed on the Safety and Health policy.</p>	
b	<p>APSB established HIRARC includes all relevant activities.</p> <p>The HIRARC covers all relevant work activities include potential hazards, potential risks, effects, current controls, severity, likelihood, risk evaluation and additional control.</p> <p>Activity: Travelling in the field;</p> <p>Hazard: Hit by other vehicles, slip or fall;</p> <p>Risk: Body injury, fatality or body pain;</p> <p>Existing control: Safety training, good road and safety signage;</p> <p>Severity: 4;</p> <p>Probability: 2;</p> <p>Risk: Moderate;</p> <p>Additional control: Continue existing control;</p> <p>Review the Loading Ramp training dated 20/06/2023.</p> <p>HIRARC updated on 20/06/2023 based on the last assessment.</p>	Yes
c i	<p>Safe work practise training carried out at each of respective estates.</p> <p>Example:</p> <p>Kalumpang estate</p> <ul style="list-style-type: none"> Premix chemical training dated 15/06/2023; <p>Aumpalm estate</p> <ul style="list-style-type: none"> SOP FFB loaders, Ramp and PPE for Aumpalm Estate carried out on 13/03/2023. SOP Chemical Handling has been carried out dated 27/05/2023; 	Yes
c ii	<p>SDSs for chemicals, fertilizers and lubricants are available at estate office and storage facilities of chemicals, fertilizers, lubricants and workshop.</p> <p>Example:</p> <ul style="list-style-type: none"> Metron 20 dated 21/01/2021; Supremo 41.0 dated 21/03/2019; Monex HC, Sornic HC 23/07/2022. 	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.
 Certifying Unit: Aumkar Plantations Sdn. Bhd.
 Client Number: 92-088
 Audit Type: ASA 04
 Mode of Audit: Onsite

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	Site inspection at estates chemical and fertilizer store, all chemicals and fertilizers are attached with original label packaging and stored in proper storage facilities with sufficient ventilations.	
d	<p>APSB established SOP for PPE dated 01/10/2018.</p> <p>The SOP describes the steps using PPE before, during and after work.</p> <p>Every workers are provided with proper PPEs for the assigned task according to HIRARC and CHRA. This have further crosscheck with PPE issuance record and interviewed with workers.</p> <p>APSB carried out CHRA for all estates dated 04/04/2019.</p> <p>Example:</p> <p>Aumpalm Estate</p> <p>Harvester PPE issuance dated 04/04/2023 include helmet and rubber boots.</p>	Yes
e	<p>APSB established Chemical Handling procedure dated 01/10/2019.</p> <p>The procedure describes the type of PPE use by estate workers, type and class of chemicals, safety precaution on handling chemicals and related laws and regulations related to chemical handling.</p> <p>Onsite visit at chemical store, all chemical products are store in original label and packaging. Liquid chemical placed in tray below and powder chemical placed on top.</p>	Yes
f	<p>APSB appoint a Safety and Health Officer in charge for all estates safety and health matters.</p> <p>Each of the estate has their own safety and health representative will report and update safety and health matters to Safety Officer as follows;</p> <ul style="list-style-type: none"> Kalumpang Estate has appointed Safety and health PIC dated 07/01/2021; Aumpalm Estate has appointed Safety and health PIC dated 15/01/2022; Sg. Tingkayu Estate has appointed Safety and health PIC dated 13/02/2023; Aumexpress Estate has appointed Safety and health PIC dated 06/01/2023. 	Yes
g	<p>APSB conduct OSH meeting quarterly attended by estate management and workers.</p> <p>The meeting minutes include the previous meeting review, safety and health report, incident or accident cases, first aid box</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>inspection, workplace inspection, updated on training carried out, incoming safety training, and other safety and health issue.</p> <p>Example of safety and health meeting:</p> <p>Kalumpang Estate latest meeting carried out on 16/06/2023 and previous meeting carried out on 27/03/2023.</p> <p>Aumpalm estate latest meeting carried out 15/06/2023 and previous meeting carried out on 15/03/2023.</p> <p>Sg. Tingkayu estate latest meeting carried out 06/06/2023 and previous meeting carried out on 10/03/2023.</p> <p>Aumexpress estate latest meeting carried out 21/03/2023. Latest meeting will be held end of June 2023</p>	
h	<p>APSB established ERP dated 06/05/2021 includes flood, fire, chemicals and oil spillage.</p> <p>APSB established flowchart of Emergency Preparedness and Plan for Diseases Control (COVID-19) dated 01/04/2020.</p> <p>Fire drill training carried out to workers on 30/05/2023 for Kalumpang Estate.</p> <p>Training SOP working near the electricity cable has been carried out for Sg Tingkayu estate dated 10/03/2023.</p> <p>Field interviews with sampled harvesters informed they had been briefed on the emergency gathering point and attended training such as fire drill.</p>	Yes
i	<p>First aid training carried out by the APSB estates by first aider or dresser.</p> <p>Example:</p> <p>Centralise First aid training carried out at Tingkayu Estate for all estate dated 08/09/2022.</p> <p>Onsite visit at all sampled estate operation site such as chemical store, workshop and field operation, sighted the first aid kits are available.</p>	Yes
j	<p>APSB established SOP for accident reporting and investigation dated 01/10/2018.</p> <p>Objective of the SOP is to ensure the practices a common incident investigation procedure to determine their cause so they can be corrected and prevent such recurrence.</p> <p>APSB established accident form & incident investigation report.</p> <p>Example:</p> <p>Sg. Tingkayu estate</p> <p>JKKP 8 reported for year 2022 submission by APSB on 25/01/2023. Review all JKKP 8 records show no accident reported in year 2022.</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions			
Indicator	Summary of Assessment		Compliance
	Aumexpress estate JKKP 8 reported for year 2022 submission by APSB for Sg. Tingkayu estate on 26/01/2023. Review all JKKP 8 records show no accident reported in year 2022.		
4.4.5.1	APSB established Social and Human Rights Policy signed by Company Director dated 18/02/2020. The policy includes elements related to good social practices. The policy describes: To ensure employment agreement working environment are fair to workers. Example: Kalumpang Estate Policy briefing has been carried out on 13/04/2023. Aumpalm Estate Policy briefing has been carried out on 03/04/2023. Sg. Tingkayu Estate Policy briefing has been carried out on 06/06/2023. Aumexpress Estate Policy briefing has been carried out on 02/05/2023. Field interviews with sampled harvesters and general workers informed they understand the policy.		Yes
4.4.5.2	APSB established Equal Opportunity Policy signed by Company Director dated 02/07/2018. The company states on commitment: <ul style="list-style-type: none"> To treat everyone equally irrespective of sex, marital status, age, race, national origin, religion and political benefits; To ensure the workplace is free from discrimination, harassment, and the relevant guidelines with regards to the resolution of complaints are followed. Review on complaint records and issues raised during GCC meeting at all sampled estates, no complaint related discrimination issues. Field interviews with sampled harvesters and general workers confirmed no discriminatory issues against them occurs in APSB estates.		Yes
4.4.5.3	APSB established contract agreement for workers in accordance to Sabah Labour Ordinance and Minimum Wages Order 2022. Workers are paid either daily rate or piece rate. The contract agreement are signed by workers and management. APSB established list of piece rate implemented for piece rated work.		Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	Field interviews with sampled harvesters and general workers informed they are briefed on the list of piece rate and daily rate and mutually agreed with the rate pay. The pay slip indicates the workers' pay in accordance with Minimum Wage Order and agreed piece rate.	
4.4.5.4	APSB monitor contractor's worker wage through payslip provided. Example: Sg.Tingkayu Estate; <ul style="list-style-type: none"> Worker's name: Worker A; Contractor: Green Hardware; Payment month: May 2023; Amount payment: RM1,500.00. Kalumpang estate – no contractors. Aumpalm estate – no contractors. Aumexpress estate – no contractors.	Yes
4.4.5.5	APSB established list of workers that contain name, employees no, passport no, race, gender, date of birth, date of joined, type of job, wage and nationality.	Yes
4.4.5.6	APSB established contract agreement with latest updated on 01/05/2023 for every workers recruited. The management provide fair contract that has been signed by both parties. The workers were briefed on the working contract terms and conditions, wage, type of work and copy is provided. Field interviews with sampled harvesters informed they are briefed on the contract terms and conditions and received a copy signed version.	Yes
4.4.5.7	APSB established 'Estate working hours' and displayed at estate notice boards. The working hours for estate started 6:30am to 2:00pm with rest time of around 10:30am to 11:00am. Workers time recording via morning muster check roll. Working hours and overtime stated in working contract. Overtime rate according to Sabah labour ordinance requirements of 1.5 time's hourly rate for normal overtime and 2.0 times hourly rate for rest day and public holidays. Field interviews with sampled harvesters they understand on the working hours.	Yes
4.4.5.8	APSB established 'Estate working hours' and displayed at notice boards.	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Working hours: 6:30 am till 2:00 pm; Breaks: 10:30 am – 11:00 am. The overtime is based on mutually agreed. Field interviews sampled harvesters inform they understand overtime rate and informed no OT practices in APSB.</p>	
4.4.5.9	<p>APSB provide individual payslips to all workers at each estates to documenting wage for the month and details of work and payment. Workers are paid either piece rate or daily rate. The pay slip indicates the workers' pay in line with Minimum Wage Order 2022 and as per piece rate agreed. Example: Kalumpang estate, Aumpalm estate, Sg Tingkayu estate and Aumexpress estate workers wage are above the minimum wage order 2022.</p>	Yes
4.4.5.10	<p>APSB provides benefits such as housing, medical, water supply, electricity, school for foreign children, religious worship place, childcare center, sport amenities and contribution for festive season.</p>	Yes
4.4.5.11	<p>APSB workers housing adopts the plantation industry best practices for living quarters. Site visits to workers housing at sampled estates and interviews with sampled general workers confirmed the living quarters includes with basic amenities.</p>	Yes
4.4.5.12	<p>APSB established Harassment policy signed by Company Director dated 02/07/2018. The policy states</p> <ul style="list-style-type: none"> Type of harassment on workplace; Company commitments and action taken on harassment complaints. <p>Example:</p> <ul style="list-style-type: none"> Policy briefing carried out on 13/04/2023 for Kalumpang estate. Policy briefing carried out on 17/05/2023 for Aumpalm estate. Policy briefing carried out on 06/06/2023 for Sg. Tingkayu estate. Policy briefing carried out on 19/05/2023 for Aumexpress estate. <p>There are no complaints related to sexual harassment. Field interviews with sampled harvesters informed they understand the policy requirement and no harassment allowed at workplace.</p>	Yes
4.4.5.13	<p>APSB established Social and Human Right policy signed by Company Director dated 02/07/2018. The policy states:</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> Respect the union rights and freedom to join union; Respect the right to live free from discriminations. <p>Example: Policy briefing carried out on 13/04/2023 for Kalumpang estate. The workers meeting was conducted on estate level once a year attended by workers and estate management. GCC meeting conducted on 17/10/2022 for Kalumpang Estate includes discussion on trade union. Field interviews with sampled harvesters and chemical sprayers inform they have no interest to form or join any union.</p>	
4.4.5.14	<p>Social and Human Right policy established and signed by Company Director dated 02/07/2018.</p> <p>The policy describes: 'Will not hire underage person as employee'.</p> <p>List of workers are reviewed and there are no underage workers employed by estates.</p> <p>Field interviews with sampled harvester informed they joined estate when they are at age of 18 years old and above.</p> <p>Field inspection at all sampled estates sighted there is no underage workers been hired.</p>	Yes
4.4.6.1	<p>APSB established training program for year 2023.</p> <p>Example of training conducted: Kalumpang Estate</p> <ol style="list-style-type: none"> SOP spraying has been carried out on 10/02/2023; SOP scheduled waste has been carried out dated 27/05/2023; Fire extinguisher has been carried out dated 30/05/2023; <p>Sg Tingkayu estate</p> <ol style="list-style-type: none"> SOP buffer zone has been carried out on 16/05/2023; SOP Chemical Handling has been carried out dated 27/05/2023; PPE has been carried out dated 12/01/2023. 	Yes
4.4.6.2	<p>APSB established Training matrix the allocation of training to workers based on type of jobs.</p> <p>Example: Centralize training matrix for all estates year 2023; Job description: Harvester. Training required:</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	1. Company policies; 2. SOP harvesting; 3. Safety committee; 4. First aid training. 5. Fire extinguisher	
4.4.6.3	APSB established Training Programme for 2023 and update annually. Example of training listed: Kalumpang Estate 1. SOP Harvester in July 2023; 2. SOP Manuring in July 2023; 3. Recycle and open burning training in Aug 2023. Sg Tingkayu estate 1. Company policy in July 2023; 2. SOP scheduled wastes in September 2023; 3. PPE training in July 2023.	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.1.1	APSB updated Environmental Protection Policy dated 18/02/2020 signed by Company Director. The policy is in accordance with Environmental Quality Act 1974 and describes as follows: i. Complying with relevant legislative requirements; ii. Promoting continuous improvement on environment standard; iii. Ensuring proper preservation and protect natural habitat and conserve natural resources. APSB updated Group environmental impact assessment, management action plans and continuous improvement plans (EAIA) as follows: i. Sungai Tingkayu Estate: Document no.: SGTK/EIA/No.2/2023, date: 30/5/21; ii. Kalumpang Estate: Document no.: APSB-EAIA-01, date: 14/04/2022; iii. Aumpalm Estate: Document no.: AP/EAIA/No.2/2023, date: 08/05/2023; iv. Aumexpress Estate: Document no. AE/EIA/No.2/2023, date: 10/01/2023.	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>The EAIA includes identification of source of waste/ pollutants, potential environmental impacts, mitigating negatives and promoting positives, action plans, monitoring and continuous improvement programme.</p> <p>Example:</p> <ul style="list-style-type: none"> i. Environmental policy training conducted on 06/06/2023 to the staffs and workers at Sungai Tingkayu Estate; ii. Environmental policy briefing conducted on 06/01/2023 to the staffs and workers at Aumpalm Estate; iii. Environmental policy breifing conducted on 26/04/2023 to the staffs and workers at Aumexpress Estate; <p>Environmental policy training conducted on 13/04/2023 to the staffs and workers at Kalumpang Estate.</p>	
4.5.1.2	<p>a APSB updated Environmental Protection Policy dated 18/02/2020 signed by Company Director.</p> <p>The policy describes to comply with relevant legislative requirement and ensuring proper preservation, protect natural habitat, and conserve natural resources.</p>	Yes
	<p>b APSB updated Group environmental impact assessment, management action plans and continuous improvement plans (GEIA) include identification of aspects and impacts of all operations.</p> <p>Example aspect and impact stated in the assessment:</p> <p>Activity: Domestic Waste Management;</p> <p>Aspect: Domestic waste from housing and estate activities;</p> <p>Impact: Land pollution;</p> <p>Type of impact: Negative impact;</p> <p>Risk score: 6;</p> <p>Significance: Non-significant.</p>	Yes
4.5.1.3	<p>APSB updated Group environmental impact assessment, management action plans and continuous improvement plans (GEIA) include negative and positive impacts of each activity is assesses.</p> <p>1) Example of negative impact:</p> <p>Activity: Domestic Waste Management;</p> <p>Aspect: Domestic waste from housing and estate activities;</p> <p>Impact: Land pollution;</p> <p>Type of impact: Negative impact;</p> <p>Risk score: 6;</p> <p>Significance: Non-significance;</p> <p>Current mitigation control: Collect all domestic waste at collection centre</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>without segregation;</p> <p>Mitigation control plan: Do the segregation of waste type that sent to landfill site or re-cycle.</p> <p>Responsibility: Assistant Manager and field supervisor.</p> <p>Field visit to estate's landfills observed the area is fenced and marked together with opening and closing date.</p> <p>Example:</p> <p>Kalumpang Estate</p> <p>Location of landfill: Block 2 year of planting 2016.</p> <p>Aumpalm Estate</p> <p>Location of landfill: Block 5 year of panting 2001.</p> <p>Landfills well maintained.</p> <p>2) Example of positive impact:</p> <p>Activity: Harvesting;</p> <p>Aspect: Stacking the frond piles low and wide on flats and undulating areas. Placing pruned fronds along contour on the slopes;</p> <p>Impact: Stacking the fronds low and wide will help to suppress weed growth, prevent surface erosion, conserve soil moisture and distribute organic carbon more evenly in the palm interrows. All these will help to stimulate and promote the growth of strong and healthy palm feeder roots for efficient water and nutrient uptakes. Placing pruned fronds along the contour can help to reduce the speed of water flowing down the terraces and hill slopes thus minimizing soil erosion;</p> <p>Type of impact: Positive impact;</p> <p>Risk score: 6;</p> <p>Significance: Non-significance;</p> <p>Current mitigation control: These are standard field practices that have long been practiced to achieve the effects mentioned;</p> <p>Mitigation control plan: Continue the current practice.</p> <p>Responsibility: Assistant Manager and field supervisor.</p> <p>During field visits at all sampled estate blocks, observed fronds are stacked according to company procedure.</p>	
4.5.1.4	<p>ASPB reviewed Continual Improvement Plan, document no.: APSB/GMP/CIP/Rev.03 on 01/03/2023 include a programme to promote the positive impacts.</p> <p>The CIP describe:</p> <p>Topic: Environment;</p> <p>Improvement action: Awareness training on all RTE species to employees and internal stakeholders;</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Expected outcomes: Enhance awareness on protected species; Timeframe: Ongoing; Review and monitor: Training records; PIC: Estate Manager/ Assistant Manager In Charge; Status at review date: Patrolling carried out periodically. Field interviews with sampled harvesters and general workers informed they are aware of wildlife protection. Example: Sungai Tingkayu Estate Wildlife protection briefing conducted on 02/02/2023 during muster call. Aumpalm Estate Forest reserve and buffer zone training conducted on 19/05/2023 and 17/03/2023 for workers. Kalumpang Estate Wildlife protection training conducted on 06/06/2023 for workers. Aumexpress Estate Wildlife protection briefing conducted on 20/01/2023 during muster call.</p>	
4.5.1.5	<p>APSB established training programme year 2023 include the environmental. Example:</p> <ol style="list-style-type: none"> Sungai Tingkayu Estate training programme for year 2023 established on 04/01/2023; Aumpalm Estate training programme for year 2023 established on 02/01/2023; Kalumpang Estate training programme for year 2023 established on 02/01/2023; Aumexpress Estate training programme for year 2023 established on 02/01/2023. 	Yes
4.5.1.6	<p>APSB conducted environmental meeting on quarterly basis. Latest meetings conducted as below:</p> <ol style="list-style-type: none"> Sungai Tingkayu Estate: 06/06/2023; Aumpalm Estate: 15/06/2023; Kalumpang Estate: 16/06/2023; Aumexpress Estate: 21/03/2023. <p>Agenda discuss as follow:</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services																	
Indicator	Summary of Assessment	Compliance															
	i. Confirming on previous meeting; ii. Monitoring on environment matters such as buffer zone and wildlife, oil trap maintenance, recycling and open burning; iii. Update on briefing to be conducted and have been conducted; Any other business (AOB)																
4.5.2.1	<p>APSB established budget and actual diesel monitoring records for financial 2019, 2020, 2021, 2022 and 2023.</p> <p>Baseline established using data from diesel usage and FFB produced from Financial year 2017 and 2018.</p> <p>Example:</p> <p>Sungai Tingkayu Estate</p> <p>Baseline value determined is 9.38 Lit/ton FFB. Actual usage in 2022 is 7.3994 Lit/ton FFB. Diesel consumption slightly increased as compared to the baseline for year 2022. This is due additional purchase of vehicles.</p> <p>Aumexpress Estate</p> <p>Baseline value determined is 31.06 Lit/ton FFB. Actual usage in 2022 is 11.035 Lit/ton FFB. Diesel consumption lower compared to the baseline. This is due reduction in use of vehicles.</p> <p>APSB established monthly diesel usage report to monitor diesel usage for heavy machineries and vehicles.</p> <p>Reports for all sampled estates for the month of January, February, March and April 2023 maintained and reviewed.</p>	Yes															
4.5.2.2	<p>APSB established budget for fuel consumption from January to May 2023.</p> <p>Example:</p> <table border="1"> <thead> <tr> <th>Estate</th><th>Diesel Usage (Budget)</th><th>Diesel Usage (Actual)</th></tr> </thead> <tbody> <tr> <td>Kalumpang</td><td>20,358.33</td><td>19,657</td></tr> <tr> <td>Aumpalm</td><td>199,711.67</td><td>130,520.67</td></tr> <tr> <td>Sungai Tingkayu</td><td>66,041.67</td><td>58,673</td></tr> <tr> <td>Aumexpress</td><td>87,588</td><td>26,218</td></tr> </tbody> </table> <p>Actual diesel usage is lesser compared to budget for all estates mainly due to deferment of replanting programme and reduction in vehicle usage for certain estates.</p>	Estate	Diesel Usage (Budget)	Diesel Usage (Actual)	Kalumpang	20,358.33	19,657	Aumpalm	199,711.67	130,520.67	Sungai Tingkayu	66,041.67	58,673	Aumexpress	87,588	26,218	Yes
Estate	Diesel Usage (Budget)	Diesel Usage (Actual)															
Kalumpang	20,358.33	19,657															
Aumpalm	199,711.67	130,520.67															
Sungai Tingkayu	66,041.67	58,673															
Aumexpress	87,588	26,218															
4.5.2.3	<p>APSB used renewable energy by using biogas supplied by Aumkar Oil Mill for power electricity to Sungai Tingkayu and Aumpalm Estate.</p> <p>Kalumpang Estate uses compressed natural gas from Aumkar Oil Mill biogas plant for FFB transporting lorry.</p> <p>No renewable energy used at and Aumexpress Estate</p>	Yes															

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.3.1	<p>APSB establish Group Waste Management Plan (GWSMP) with latest review on 03/03/2023. The GWSMP include the identification of waste products and polluting activities.</p> <p>Example:</p> <p>Type of waste: Pruned Oil Palms;</p> <p>Source: Harvesting.</p>	Yes
4.5.3.2	<p>a APSB establish Group Waste Management Plan (GWSMP) with latest review on 03/03/2023. The GWSMP include the identification of waste products and polluting activities.</p> <p>Example:</p> <p>Type of waste: Pruned Oil Palms;</p> <p>Source: Harvesting;</p> <p>Monitoring: Pruning programme should be twice a year to avoid huge number of pruned fronds.</p>	Yes
	<p>b APSB establish Group waste management plan (GWSMP) with latest review on 03/03/2023. The GWSMP include identification on the potential waste that could be reduce, reuse and recycle.</p> <p>Example:</p> <ul style="list-style-type: none"> i. Empty fertilizer bag reused for rubbish collection; ii. Empty chemical containers reused for premix chemical activities; <p>Pruned fronds stacked applied for soil nutrition enhancement.</p>	Yes
4.5.3.3	<p>APSB established SOP scheduled wastes management, document number: SOP/SW/Rev.2 dated 01/10/2018 include safe handling of scheduled waste, storage and disposal of scheduled waste.</p> <p>The SOP describes:</p> <ul style="list-style-type: none"> i. No reuse of empty chemical containers except for flower pot as waste collector bin; ii. Empty chemical containers stored at scheduled waste store and disposed by authorized scheduled waste collector; iii. Triple rinsing for all used empty chemical containers before stored at scheduled waste store. <p>Aumpalm & Sungai Tingkayu Estate established scheduled waste inventory to monitored scheduled waste disposal on monthly basis. Latest SW 305 disposal recorded on 09/06/2023 for Sungai Tingkayu and 04/03/2023 for Aumpalm Estate.</p> <p>Kalumpang and Aumexpress Estate spent lubricant oil collected by the service provider.</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	APSB estates permitted to send their scheduled waste to Aumkar Oil Mill for disposal purposes. Reviewed letter from DOE (No rujukan: JAS.SHQ.600-3/3/16 (3)) dated 30/06/2022.	
4.5.3.4	<p>APSB established SOP scheduled wastes management, document number: SOP/SW/Rev.2 dated 01/10/2018 include managing of empty chemical container.</p> <p>The SOP describes:</p> <ul style="list-style-type: none"> i. Rinsed water reused for spraying activities; ii. Empty chemical container being labelled, stored and disposed by authorized collector; iii. Disposal in accordance with pesticides act 1974 (Act 149) or any relevant local regulation. <p>Empty pesticide containers are triple rinsed and reused for chemical premixing.</p> <p>During site visit, observed empty chemical containers were marked "X" in red.</p>	Yes
4.5.3.5	<p>APSB dispose their domestic waste generate from workers' housing and office compound to nearby landfill.</p> <p>Field visit to estate's landfills observed the area is fenced and marked together with opening and closing date.</p> <p>Example:</p> <p>Kalumpang Estate</p> <p>Location of landfill: Block 2 year of planting 2016.</p> <p>Aumpalm Estate</p> <p>Location of landfill: Block 5 year of panting 2001.</p>	Yes
4.5.4.1	<p>APSB established Group Environmental Impact Assessment, management action plans and continuous improvement plans year 2023 includes solids, liquids and gaseous wastes.</p> <p>Example 1:</p> <p>Type of pollutant: Methane Gas (CH₄) emission;</p> <p>Activity: Landfill;</p> <p>Example 2:</p> <p>Type of pollutant: Nitrogen Dioxide (NO₂);</p> <p>Activity: Fertilizer application.</p>	Yes
4.5.4.2	<p>APSB established Group Environmental Impact Assessment, management action plans and continuous improvement plans year 2023 includes solids, liquids and gaseous wastes.</p> <p>Example 1:</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Type of pollutant: Methane Gas (CH₄) emission; Activity: Landfill; Plans and monitoring programme: Monthly inspection of dumpsite by staff or the Environmental Liaison Officer. This is to check if disposal of domestic waste done according to the standard procedure. Field visit to estate's landfills observed the area is fenced and marked together with opening and closing date. 1) Example: Kalumpang Estate Location of landfill: Block 2 year of planting 2016. Aumpalm Estate Location of landfill: Block 5 year of panting 2001. 2) Example: Type of pollutant: Nitrogen Dioxide (NO₂); Activity: Fertilizer application; Plans and monitoring programme: To follow dosage according to fertilizer recommendation provided by Agronomists or Plantation Advisor. Reviewed fertilizer application records for sampled estates, fertilizers applied according to dosage recommended by agronomist.</p>	
4.5.5.1	<p>a</p> <p>APSB reviewed Group Water Management Plan dated 28/02/2023 include the assessment of water usage and source of supply. Water Usage: Domestic use Kalumpang Estate Water source: Water catchment pond. Sungai Tingkayu Estate Water source: Rainwater and upstream hill water. Aumpalm Estates Water source: Rainwater and upstream hill water. Aumexpress Estate Water source: Rainwater and upstream hill water.</p>	Yes
	<p>b</p> <p>APSB conducted water analysis to monitor incoming and outgoing water from stream and river on yearly basis by external 3rd party accredited laboratory. Water quality monitoring carried out on annual basis. Example:</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Kalumpang Estate water test report dated 27/06/2022 reviewed. All parameters are within specific parameters. Next plan for water sampling is in the fourth week of June 2023.</p> <p>Aumpalm Estate water test report dated 06/07/2022 reviewed. All parameters are within specific parameters. Next plan for water sampling is in the fourth week of July 2023.</p> <p>Sungai Tingkayu Estate water test report dated 06/07/2022 reviewed. All parameters are within specific parameters. Next plan for water sampling is in the fourth week of July 2023.</p> <p>Aumexpress Estate water test report dated 26/07/2022 reviewed. All parameters are within specific parameters. Next plan for water sampling is in the fourth week of July 2023.</p>	
c	<p>Empty chemical containers washing and rinsing water is recycled for pre-mix usage.</p> <p>Rainwater harvesting practiced at housing and facilities area for domestic consumption.</p> <p>Domestic and general usage, water derived from natural water source.</p> <p>Piping system are in good order during inspection at housing and facilities area.</p>	Yes
d	<p>APSB reviewed Group Water Management Plan updated on 30/03/2022 include water conservation and protection.</p> <p>Section buffer zone: To maintained buffer zone for all stream/river and surrounding the water catchment area. The size of buffer zone is at least 20 meter from the riverbank or pond.</p> <p>Field visits observed appropriate signage installed at buffer / riparian zones at all APSB's estates.</p> <p>Field interviews with sampled harvesters and general workers informed they were briefed during morning muster call on environmental awareness include the protection for buffer zone area.</p> <p>Monthly monitoring of riparian zone establish to monitor water quality, signage condition, soil erosion, if any activities of chemical and fertilizer application in place.</p> <p>Example:</p> <ol style="list-style-type: none"> Sungai Tingkayu Estate conducted monitoring on buffer zone area latest on 06/06/2023; Aumpalm Estate conducted monitoring on buffer zone area latest on 16/06/2023 at PM 99, 97 & 98 block 1; Kalumpang Estate latest monitoring on buffer zone conducted on 13/06/2023 at block no PM09 block 03; <p>Aumexpress Estate latest monitoring on buffer zone conducted on 06/06/2023 at PM05 block no 11.</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>e</p> <p>APSB reviewed Group Water Management Plan updated on 30/03/2022 include water conservation and protection.</p> <p>Section buffer zone: All vegetation is preserved and prohibited from any cultivation and plantation maintenance activities.</p> <p>During field visits, there are no vegetation removed from riparian zones.</p> <p>Riparian zones well maintained.</p> <p>Monthly monitoring records maintained and reviewed.</p> <p>Example:</p> <ul style="list-style-type: none"> i. Aumexpress Estate latest monitoring on buffer zone conducted on 06/06/2023 at PM05 block no 11; ii. Sungai Tingkayu Estate conducted monitoring on buffer zone latest on 06/06/2023; iii. Aumpalm Estate conducted monitoring on buffer zone area latest on 16/06/2023 at PM 99, 97 & 98 block 1; <p>Kalumpang Estate latest monitoring on buffer zone conducted on 13/06/2023 at block no PM09 block 03.</p>	Yes
	<p>f</p> <p>There are no bore wells constructed to extract ground water for all sampled estates.</p>	Yes
4.5.5.2	There are no bunds, weirs or dams constructed across the stream at all sampled estates.	Yes
4.5.5.3	<p>Water harvesting is practice throughout the facilities and housing in APSB.</p> <p>Field interviews with sampled harvesters and general workers informed they are practicing rainwater harvesting for domestic used.</p> <p>Field visits observed APSB installed the rain gutter at worker's housing area to harvest rainwater.</p>	Yes
4.5.6.1	<p>a</p> <p>APSB established SOP senarai hidupan liar dan tumbuhan yang dilindungi, document number: GMP/APSB/01 latest revised on 08/04/2022 include the identification of high biodiversity value habitats and wildlife.</p> <p>Sungai Tingkayu, Aumpalm, Aumexpress and Kalumpang Estates:</p> <p>Field interviews with sampled harvesters and general workers informed they are aware of wildlife protection.</p> <p>Example:</p> <p>Sungai Tingkayu Estate</p> <p>Wildlife protection briefing conducted on 02/02/2023 during muster call.</p> <p>Aumpalm Estate</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Forest reserve and buffer zone training conducted on 19/05/2023 and 17/03/2023 for workers.</p> <p>Kalumpang Estate</p> <p>Wildlife protection training conducted on 06/06/2023 for workers.</p> <p>Aumexpress Estate</p> <p>Wildlife protection briefing conducted on 20/01/2023 during muster call.</p>	
	<p>b APSB established SOP senarai hidupan liar dan tumbuhan yang dilindungi, document number: GMPRTE/02 latest revised on 10/04/2021 include the identification of high biodiversity value habitats and wildlife.</p> <p>Wildlife sightings monitored and reported are as below:</p> <p>Sungai Tingkayu Estate</p> <p>Block: PM 97/01,PM16;</p> <p>Date: 04/08.2022,12.08.2022,20.10.2022 & 08.11.2022. No sightings for year 2023 todate;</p> <p>Number of sightings: Four (04).</p> <p>Aumpalm Estate</p> <p>Block: 2001/1/2/4 & 2005/2</p> <p>Date: 17/02/2023, 28/04/2023, 26/05/2023, 16/06/2023.</p> <p>Number of sightings: Six (6).</p> <p>The sighted animals included animals with status of endangered, rare and threatened species.</p> <p>Types of animals not disclosed in the report due to commercial interest purposes.</p>	Yes
4.5.6.2	<p>a APSB established the RTE identified according to Sabah Wildlife Conservation Enactment 1997 and Wildlife Regulation 1998.</p> <p>Field visits observed appropriate signages installed at buffer / riparian zones.</p> <p>Example information display:</p> <ul style="list-style-type: none"> i. No activities allowed 20 meters from stream; ii. No chemical application allowed; <p>No fertilizer application allowed.</p>	Yes
	<p>b APSB established SOP "senarai hidupan liar dan tumbuhan yang dilindungi", document number: GMP/APSB/01 latest revised on 08/04/2022 include the identification of high biodiversity value habitats and wildlife.</p> <p>Example:</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>Sungai Tingkayu Estate</p> <p>Wildlife protection briefing conducted on 02/02/2023 for workers during muster call.</p> <p>Aumpalm Estate</p> <p>Forest reserve and buffer zone training conducted on 19/05/2023 and 17/03/2023 to workers.</p> <p>Kalumpang Estate</p> <p>Wildlife protection training conducted on 06/06/2023 for workers.</p> <p>Aumexpress Estate</p> <p>Wildlife protection briefing conducted on 20/01/2023 for all workers during muster call.</p> <p>During site visit at sampled estate buffer zone, linesite and office, observed Environment Policy and signage discouraging hunting activities.</p>	
4.5.6.3	<p>APSB established HBV monitoring form to carry out patrolling and monitor HBV areas.</p> <p>Monitoring plan established to monitor the respective areas.</p> <ol style="list-style-type: none"> Weekly once patrolling at the area to ensure the area conserved and protected; Frequent inspection on the housing area to ensure no keeping of wildlife; No activity within forest border and no one allowed entering without permission from Department of Wildlife and National Parks. <p>Example:</p> <ol style="list-style-type: none"> Aumexpress Estate conducted monitoring on wildlife at buffer zone on 06/06/2023 at PM05 block no 11. Sungai Tingkayu Estate conducted monitoring on wildlife at buffer zone latest on 06/06/2023. Aumpalm Estate conducted wildlife monitoring on 16/06/2023 at PM 99, 97 & 98 block 1; <p>Kalumpang Estate wild life monitoring conducted on 13/06/2023 at block no PM09 block 03.</p>	Yes
4.5.7.1	<p>APSB updated Environmental Protection Policy dated 18/02/2020 signed by Company Director.</p> <p>The policy describes to ensure zero burning practices and prevent open burning in any part of our premises or any harmful activities to the environment.</p> <p>Workers trained on zero burning practices. Reviewed training records.</p> <p>Example:</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	1. Kalumpang Estate training conducted on 02/05/2023; 2. Aumpalm Estate training conducted 18/01/2023; 3. Sungai Tingkayu Estate training conducted on 04/02/2023; 4. Aumsawit Estate training conducted on 26/04/2023.	
4.5.7.2	APSB updated Environmental Protection Policy dated 18/02/2020 signed by Company Director. The policy describes to ensure zero burning practices and prevent open burning in any part of our premises or any harmful activities to the environment. Field visit to APSB sampled estates observed there are no serious palm disease that require burning. Therefore, no approval submitted require.	Yes
4.5.7.3	APSB updated Environmental Protection Policy dated 18/02/2020 signed by Company Director. Field visits to APSB sampled estates observed there are no serious palm disease that require burning. Therefore, there are no application documents sighted for controlled burning.	Yes
4.5.7.4	APSB planting statement reviewed, there are replanting activities planned for Aumpalm Estate, Sungai Tingkayu Estate and Aumexpress Estate. However, there are no felling activities at the point of audit. There is no replanting planned for Kalumpang Estate.	Yes

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
4.6.1.1	APSB established Standard Operating Procedure (SOP) revision 1. The SOPs includes estate operations as follows: 1. SOP for Palm Oil pruning & Harvester updated on 22/07/2022; 2. SOP for Chemical handling updated on 01/10/2019; 3. SOP for grass cutter operation updated on 23/03/2023; 4. SOP for Spraying updated on 01/10/2018; 5. SOP for Manuring updated on 22/07/2022; 6. SOP Consultation and communication updated on 27/04/2022; 7. SOP Traceability updated on 10/05/2022; 8. SOP Internal Audit SOP updated on 22/02/2022; 9. SOP Complaint and grievances updated on 05/01/2022. Example: <ul style="list-style-type: none"> Training SOP for harvester & PPE at Kalumpang estate on 10/03/2023; 	Yes

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Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> Training SOP for ramp, working at height area & PPE at Kalumpang estate on 08/03/2023; Training SOP for chemical handling at Aumpalm estate on 13/06/2023; Training SOP for grabber driver at Aumpalm estate on 13/06/2023; <p>Reviewed on training record for SOPs, photos and attendance is documented and maintained.</p>	
4.6.1.2	<p>APSB established SOP for Checklist on managing Problematic Soil, revision no 1 dated 01/11/2018.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> Soil on steep terrain (Land above 25° or 50% steep) Management on problematic soil, Soil on steep terrain, Ensure that terraces are well constructed, Ensure that cover crops are established, Use empty fruit bunches. Slope areas and terrace cultivation; planting of cover crops such as legume and fronds stacking for soil erosion control. Mucuna Bracteata is soft grass is planted to control erosion. Stated for Sabah & Sarawak legislation slope of 25 degrees or steeper are considered as high risk erosion areas and cannot undergo new planting/developed for new planting unless specified in the EIA report and approved by relevant authorities. For Sabah areas of 100 ha to 500 ha are subject to approval of mitigation measure. <p>Field visits at sample block at each estates, Mucuna bracteates is planted to control soil erosion within the terrace area.</p>	Yes
4.6.1.3	<p>APSB established block marking at each field block for all estates includes information block number, acreage, planting material and year of planting.</p> <p>Field visits all sampled estates established block marking with information block number, acreage, planting material and year of planting and well maintained.</p>	Yes
4.6.2.1	<p>APSB established 5 years business management plan year 2022 – 2027 includes the following;</p> <p>Example: Kalumpang / Aumpalm / Sg Tingkayu / Aumexpress estates</p> <ol style="list-style-type: none"> Oil palm monthly seasonal budget 2022 – 2026; General charges; Oil Palm Prime Mature; Vehicle and engine running budget; Cost of production / mt year 2022 – 2026; CIP. 	Yes
4.6.2.2	<p>Review on all sampled estates replanting program, there are replanting in year 2022 - 2027.</p>	Yes

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Principle 6: Best Practices														
Indicator	Summary of Assessment	Compliance												
	<p>Example:</p> <p>Aumpalm Estate</p> <table><tr><th>Year</th><th>Total area (ha)</th></tr><tr><td>2023</td><td>66.66</td></tr><tr><td>2024</td><td>75.10</td></tr></table> <p>Sg Tingkayu estate:</p> <table><tr><th>Year</th><th>Total area (ha)</th></tr><tr><td>2023</td><td>26.70</td></tr><tr><td>2024</td><td>44.60</td></tr></table>	Year	Total area (ha)	2023	66.66	2024	75.10	Year	Total area (ha)	2023	26.70	2024	44.60	
Year	Total area (ha)													
2023	66.66													
2024	75.10													
Year	Total area (ha)													
2023	26.70													
2024	44.60													
4.6.2.3	<p>APSB established a 5 years business plan that includes following:</p> <p>1. Planting Material;</p> <p>2. Crop projection year 2022 to 2027;</p> <p>3. Cost of production;</p> <p>4. Price forecast;</p> <p>Monthly crop statement (as at May 2023).</p>	Yes												
4.6.2.4	<p>APSB established monthly progress report to monitor revenue and expenses of all estate operations.</p> <p>Reviewed the monthly progress report to monitor the actual cost against the budgeted.</p> <p>The progress report includes the following:</p> <p>1. Vehicles and equipment maintenance;</p> <p>2. Crop production;</p> <p>3. Fuel utilization;</p> <p>General (manuring, spraying).</p>	Yes												
4.6.3.1	<p>APSB established 'Product Process Documents Flowchart' for purchasing product or services. The flowchart describes steps required; purchase requisition, purchase order, quotation, delivery order and invoice.</p> <p>Reviewed on product purchased by Kalumpang Estate includes all the steps required in the flow chart.</p> <p>Example:</p> <p>Review the records of purchasing chemicals dated 11/04/2023 includes purchase requisition, purchase order, quotation, delivery order and invoice are documented and maintained.</p>	Yes												
4.6.3.2	<p>APSB purchases of products using quotation and purchase order system.</p>	Yes												

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Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	Payment made in timely manner and based on terms and conditions stated in the invoice dated 11/04/2023 provided by the supplier and issuance of purchase order for confirmation of the price.	
4.6.4.1	APSB engaged outsourced construction contractors for Sg Tingkayu estate. APSB established the agreed document between contractor and APSB. Review the document, stated the contractor agreed to all the conditions set by APSB, understand that APSB follows the terms of the MSPO and can be interviewed by any MSPO related party.	Yes
4.6.4.2	APSB engaged outsourced construction contractors for Sg Tingkayu Estate. Contract agreements reviewed were duly signed by both parties prior commence of work.	Yes
4.6.4.3	APSB engaged outsourced construction contractors for Sg Tingkayu Estate. APSB established the agreed document between contractor and APSB stated contractor agreed to all the conditions set by APSB, understand that APSB follows the terms of the MSPO and can be interviewed by any MSPO related party.	Yes
4.6.4.4	APSB engaged outsourced replanting contractor for Tingkayu, Sapang and Aumsawit Estate. APSB monitor the completion of the contractor work via progress payment claim and contractor completion certificate.	Yes

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Principle 7: Development of new planting		
Indicator	Summary of Assessment	Compliance
4.7.1.1	The planting statements are reviewed. There is no new planting at APSB. Replanting statements of each estates is reviewed. There are no replanting at Kalumpang Estate, Aumpalm Estate, Sungai Tingkayu Estate, Aumexpress estate for early year 2023. Field visits and interviews with APSB management informed no high biodiversity area within the estates.	Yes
4.7.1.2	The planting statements are reviewed. There is no new planting at APSB. Reviewed the replanting programme for 2022 – 2026. There are no replanting at Kalumpang Estate, Aumpalm Estate, Sungai Tingkayu Estate, Aumexpress estate for early year 2023.	Yes
4.7.2.1	The planting statements and maps for APSB estates are reviewed and there are no peat land sighted.	Yes
4.7.3.1	The planting statements are reviewed and there are no new plantings at APSB estates. Therefore, no SEIA conducted.	Yes
4.7.3.2	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no SEIA carried out.	Yes
4.7.3.3	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no SEIA carried out.	Yes
4.7.3.4	There are no smallholder's scheme in APSB estates certification.	Yes
4.7.4.1	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no soil maps established.	Yes
4.7.4.2	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no topography maps established.	Yes
4.7.5.1	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no topography maps and permits established.	Yes
4.7.5.2	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no monitoring management plans for fragile and marginal soil established.	Yes
4.7.5.3	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no soil map established.	Yes
4.7.6.1	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no FPIC conducted and no communities affected.	Yes
4.7.6.2	The planting statements are reviewed and there are no new planting at APSB estates. There are no sacred sites, therefore, no management plan established.	Yes
4.7.6.3	The planting statements are reviewed and there are no new at APSB estates. Therefore, no soil SOP established.	Yes
4.7.6.4	The planting statements are reviewed and there are no new planting APSB estates, no compensation and agreement established.	Yes

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4.7.6.5	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no assessment of legal and recognized customary right established.	Yes
4.7.6.6	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no system establish for calculating the compensation for distribution.	Yes
4.7.6.7	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no compensation plan established.	Yes
4.7.6.8	The planting statements are reviewed and there are no new planting at APSB estates. Therefore, no communities affected.	Yes

4.4. Use of Marks and/or Any Other References to Certification

The audit team leader has reviewed and confirmed that there are no usage of mark/logo in Aumkar Plantations Sdn. Bhd. operation or any on/off-products claim.

4.5. Status of Non-Conformities Previously Identified

	The stage 1 audit findings have been reviewed, in particular to assure appropriate corrective actions implemented to address the identified audit findings.
X	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have not been implemented effectively. The non-conformity will be re-raised.
	No non-conformity raised in previous audit.
<p><i>Note 1: If a minor non-conformity raised in last audit, is not closed out or repeated, the finding will be raised to a Major non-conformity.</i></p> <p><i>Note 2: All major and minor NCs raise in last audit are required to capture in this report together with the review of the non-compliance implementation.</i></p>	

4.6. Detail of Audit Findings in last audit

AUDIT OUTCOME		
During last Audit	1	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Non Conformity Number 1	
Indicator # and Description:	4.4.5.3 Management shall ensure that employees pay and conditions meet legal or industry minimum standards and as per agreed Collective Agreements. The living wage should be sufficient to meet basic needs and provide some discretionary income based on minimum wage.
Location:	All estates
Description of Finding / Objective Evidence:	
Reviewed general worker's payslips for the month of May 2022, the payment made are not in accordance with Minimum Wages Order 2022.	

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Non Conformity Number 1			
Classification	<input checked="" type="checkbox"/> Major		<input type="checkbox"/> Minor
Raise by:	Mohamad Norhisham Bin Mohd Salleh	Date Raise:	17/06/2022
Deadline for implementation		17/08/2022	
Root Cause Analysis (by company):			
<p>Aumkar Plantations Sdn Bhd is using IAS payroll system for collecting workers wage data.</p> <p>Government has announced the new Minimum Wage Order 2022 on 27th April 2022.</p> <p>The management couldn't update the new rate in the IAS payroll system by 1st May 2022.</p> <p>Therefore the system still uses Minimum Wage Order 2018 for May 2022 payment.</p>			
Correction (by company):			
Company management agreed for May backdated pay according to Minimum Wage Order 2022.			
Corrective / Preventive Action (by company)			
<p>Company management paid May backdated pay according to Minimum Wage Order 2022 in June 2022.</p> <p>The management will compile and implement all relevant regulation that implemented by government in future.</p> <p>Refer for the June 2022 payslip samples in the attachment. We have provided 3 worker samples for each sampling estates.</p>			
Review of Correction & Corrective / Preventive Action			
<p>Reviewed sampled workers' payslips (3 samples for each estates: Aumgreen, Aumsawit, Sapang and Tingkayu) for June 2022 has included:</p> <ol style="list-style-type: none"> 1. Backdated new rate payment for May 2022. 2. New rate payment for June 2022. <p>The revised pay rate follows Minimum Wages Order 2022.</p> <p>Estate workers acknowledged and accepted the payment based on the latest pay rate via thumbprint.</p> <p>Based on the document review, the major non-conformity is considered closed. Further verification on implementation will be verified on the next surveillance audit.</p>			
Closed: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Site verification : <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Name of Auditor:		Date of Closure:	
Mohamad Norhisham Bin Mohd Salleh		13/07/2022	
Review of Implementation			

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Non Conformity Number 1	
Review on "Workers Employment Contract" confirmed the Minimum Wages Order 2022 had been stated in the contract and signed by both employees and estate management. Example: Worker A: RM 1,500.00 Worker B: RM 1,571.30 Further crosscheck on sample payslip for all 4 estate workers (Kalumpang, Aumpalm, Sg. Tingkayu and Aumexpress) on month of May 2023 verified the payments made are in accordance with Minimum Wages Order 2022.	
Name of Auditor:	Date of Review:
Muhammad Khairul Anuar Bin Azizul Hasan	19/06/2023

4.7. Detail of Onsite Audit Findings Identified during this audit

This section gives an overview of the non-conformities raised during this audit.

ONSITE AUDIT OUTCOME		
During this onsite audit,	0	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Nr.	Indicator	Description	Location	Opportunity for Improvement
1	4.2.3.2	The management shall conduct regular inspections on compliance with the established traceability system.	Estates	APSB could improve the traceability system inspection documents.
2.	4.3.1.3	The legal requirement register shall be uploaded as and when there are any new amendments or any new regulations coming into force.	Estates	APSB could improve monitoring of regulation changes and legal compliance system.
2.	4.5.3.3	The management shall establish Standard Operating Procedure for handling of used chemicals that are classified under Environment Quality Regulations (Scheduled Waste) 2005, Environmental Quality Act, 1974 to ensure proper and safe handling, storage and disposal	APSB Office	APSB could improve scheduled waste management practices such as availability of 7th Schedule.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.
 Certifying Unit: Aumkar Plantations Sdn. Bhd.
 Client Number: 92-088
 Audit Type: ASA 04
 Mode of Audit: Onsite

5. CONCLUSION

Aumkar Plantations Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct surveillance ASA04 audit consisting of 10 estates according to MSPO 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

From the review of the standard operating procedures, relevant forms, work flow charts established and implemented; the subsequent background investigation and interviews conducted during this surveillance audit have provided TUV NORD Malaysia with sufficient evidence on the fulfilment of the applied standard Principles & Criteria.

In conclusion the certified unit has been established, implemented and continued to improve in managing the estates are in line with the Principles & Criteria of the applied standards of MS 2530-Part 3:2013 General principles for Oil Palm Plantations and Organised Smallholders.

Any audit is based on sampling within an organization's management system and therefore is not a guarantee of 100 % conformity with requirements.

As a result of this audit, the audit team confirms that

Total certified number of estates:	10
Total certified production area:	8,953.19 Ha
Certified FFBs January to May 2023:	52,989.98 Mt
Project FFBs June to December 2023:	82,070.00 Mt

MSPO Certification Summary Report

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 Certifying Unit: Aumkar Plantations Sdn. Bhd.
 Client Number: 92-088
 Audit Type: ASA 04
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6. RECOMMENDATION

The audit team conduct a process-based audit focussing on significant aspects/risks and objectives required by the standard(s). The audit methods used are interviews, observations, sampling of activities, review of documentation and records.

The structure of the audit is in accordance with the audit plan included in this summary report as annex.

The audit team concludes that the organisation has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organisation's policies and objectives.

Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be

	Recommended for Certification
X	Recommended for Continuity of Certification
	Recommended for Suspension of Certification

Puchong, 06/07/2023



Mohamad Norhisham Bin Mohd Salleh
 TUV NORD (Malaysia) Sdn Bhd
 Audit Team Leader

Puchong, 14/07/2023



Nur Aisyah Izzati Binti Azman
 TUV NORD (Malaysia) Sdn Bhd
 Certifier / Approver

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.
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7. LIST OF STAKEHOLDERS

No stakeholders' consultation conducted in accordance to ACB – OPMC 4 Issue 2 dated 04/09/2020, Stakeholder Consultation Requirements Section 6: Consultation during audit, §6.1: During the stage 2 and recertification audit. Therefore, no stakeholders listed.

MSPO Certification Summary Report

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 Client Number: 92-088
 Audit Type: ASA 04
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Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities / Audit Objectives

This report is sent by the certification body to the members of the audit team and the audit representative of the organisation. All documents (such as this report) regarding the certification procedure are treated confidentially by the audit team and the certification body. This audit report remains the property of the certification body.

An audit is a procedure based on the principle of random sampling and cannot cover each detail of the management system. Therefore nonconformities of weaknesses may still exist which were not expressly mentioned by the auditors in the final meeting or in the audit report.

The responsibility for continuous effective operation of the management system always rests solely with the audited and certified organisation.

Salvo clause:

The audit report will be left to the organisation at the end of the audit - subject to approval by the certification body. The independent release process may cause modifications or additions. In these cases a modified revision will be sent to the audited organisation.

The objective (goal) of the audit is to establish compliance of the management system of the aforementioned organization with the requirements of the aforementioned standard in order to achieve or maintain certification through an independent and accredited certification body. Identification of possibilities to improve the management system can also be a component of the audit and is considered simply to be an enhancement; it does not constitute consultancy or advice with regard to the management system.

Annex / Enclosures

Annex / corresponding audit documentation	<input checked="" type="checkbox"/> P&C Audit Report / Checklists <input checked="" type="checkbox"/> Audit Plan
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