

MSPO CERTIFICATION SUMMARY REPORT

AUMKAR OIL MILL

SURVEILLANCE 01

Remote Audit Date: 11/06/2020

Onsite Audit Date: 11/08/2020

TUV NORD (Malaysia) Sdn Bhd
No. 9F-1A, 9th Floor, Tower 2 @ PFCC
Jalan Puteri ½, Bandar Puteri Puchong
47100 Puchong, Selangor.
Phone: +603 8600 4031/4032
Fax: +603 8600 4550

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Table of Contents

1.	INTRODUCTION	4
1.1.	Objective	4
1.2.	Scope	4
1.3.	Appointment and Qualification of team members	4
2.	METHODOLOGY.....	9
3.	ORGANISATION INFORMATION	11
3.1.	Production volume	11
3.2.	Maps of Company and Mill Location	11
4.	CERTIFICATION ASSESSMENT	12
4.1.	ASA 01	12
4.2.	Stakeholders' Consultation	12
4.3.	Summary of Findings	14
4.4.	Status of Non-Conformities Previously Identified	32
4.5.	Detail of Audit Findings Identified During last Audit	32
4.6.	Detail of Onsite Audit Findings Identified During This Audit	32
5.	CONCLUSION	33
6.	RECOMMENDATION	34
7.	List of Stakeholders	35
	Table 7-1: List of Interviewed Stakeholders	35

Abbreviations

CHRA	Chemical Health Risk Assessment
CPO	Crude Palm Oil
CSR	Corporate Social Responsibility
DOE	Department of Environment
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
FFB	Fresh Fruit Bunch
GMP	Good Milling Practices
GPS	Global Positioning System
ISO	International Standard Organisation
MPOB	Malaysian Palm Oil Board
MPOCC	Malaysia Palm Oil Certification Council
MSPO	Malaysia Sustainable Palm Oil
NC	Non Conformity
OSH	Occupational Safety and Health
P&C	Principle and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable Sustainable Palm Oil
RTE	Rare, Threatened and Endangered Species
SA8000	Social Accountability 8000
SDS	Safety Data Sheet
SIA	Social Impact Assessment
SOP	Standard Operating Procedure
WHO	World Health Organization

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



1. INTRODUCTION

Aumkar Plantations Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct surveillance audit for its palm oil mill according to MS 2530-4:2013 Part 4 General principles for palm oil mills.

1.1. Objective

The objective of this surveillance audit is to assess Aumkar Oil Mill by an independent certification body with the aim for compliance of the standards.

1.2. Scope

The certification is based on the documentation established by Aumkar Oil Mill.

The supporting documents provided to the audit team as well as information received by means of interviews and background investigation.

The documents and information are reviewed against the requirements and criteria based on MS 2530-4:2013 Part 4 General principles for palm oil mills. TUV NORD Malaysia has employed a risk-based approach in the audit, focusing on the identification of significant risks and reliability of the assessment and reporting.

The following references are used as part of the assessment; the compliance of the requirements of the guidelines applied are checked.

1. Malaysian Sustainable Palm Oil Part 4: General Principles for Palm Oil Mill audit guidance;
2. Palm Oil Supply Chain Traceability Requirements

1.3. Appointment and Qualification of team members

The audit team appointed consists of the team leader and three team members. The team contributes to the review of documents, assessment of the project activity and preparation of this report.

Qualification of the Lead Auditor: Mohamad Norhisham Bin Mohd Salleh

Requirement	Qualifications
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or	Graduate in Applied Science (Major In Agrobiology).

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Requirement	Qualifications
vii) Other relevant related fields	
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	5 years working experience in oil palm plantations.
Successfully completed MS 2530 series of standards training	Successfully completed MS2530 series of standards training.
Conducted at least three (3) MSPO or equivalent sustainability certification audits as Lead Auditor-in-training with a minimum of fifteen (15) man-days under the supervision of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes within the last two (2) years.	Qualified and appointed as auditor for MSPO scheme.
A good knowledge in handling and evaluating sources of information and data. Able to communicate in Bahasa Malaysia, English and / or any other local language.	Able to communicate in Bahasa Malaysia and English.
Field working experience in the palm oil sector, or demonstrable equivalent	Qualified and appointed as auditor for MSPO scheme.
Good Agricultural Practices (GAP), and Integrated Pest Management (IPM), pesticide and fertilizer use	Qualified and appointed as auditor for MSPO scheme.
Health and safety auditing on the farm and in processing facilities, for example ISO 45001 or Occupational, Health & Safety Assurance System	Successfully completed ISO 45001:2018 Lead Auditor course.
Worker welfare issues and social auditing experience, for example with SA8000 or related social or ethical accountability codes	Successfully completed Basic SA 8000 training.
Environmental and ecological auditing, for example experience with organic agriculture, ISO 14001 or Environmental Management Systems (EMS) or High Conservation Value (HCV)	Successfully completed ISO 14001:2015 Lead auditor course and auditor for MSPO scheme.
Good Milling Practices for example palm oil milling working experience or demonstrate equivalent.	Qualified and appointed as auditor for MSPO scheme.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Qualification of Team Members

Requirement	Assessor	Qualification	Compliance
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or vii) Other relevant related fields	Muhammad Khairul Anuar Bin Azizul Hasan	Graduate in Applied Science (Major in Plantation and management)	Yes
	Mohd Nur Amin Bin Mohd Halim	Graduate in Office Management & Technology.	Yes
	Ariff Bin Lokman	Graduate in Plantation industry management.	Yes
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	Muhammad Khairul Anuar Bin Azizul Hasan	7 years working experience in oil palm plantations.	Yes
	Mohd Nur Amin Bin Mohd Halim	6 years 10 months of working experience in both upstream & downstream division on managing sustainability of oil palm industry.	Yes
	Ariff Bin Lokman	7 years working experience in oil palm plantations.	Yes
Successfully completed MS 2530 series of standards training	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed MS2530 series of standards training.	Yes
	Mohd Nur Amin Bin Mohd Halim	Successfully completed MS2530 series of standards training.	Yes
	Ariff Bin Lokman	Successfully completed MS2530 series of standards training.	Yes
Conducted a minimum six (6) on-site audits for a total of at least 20 man-days of audit experience as an	Muhammad Khairul Anuar Bin Azizul Hasan	Qualified and appointed as auditor for MSPO scheme.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Requirement	Assessor	Qualification	Compliance
auditor-in-training under the direction and guidance of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes.	Mohd Nur Amin Bin Mohd Halim	Qualified and appointed as auditor for MSPO scheme.	Yes
	Ariff Bin Lokman	Qualified and appointed as auditor for MSPO scheme.	Yes
A good knowledge in handling and evaluating sources of information and data. Able to communicate in Bahasa Malaysia, English and / or any other local language.	Muhammad Khairul Anuar Bin Azizul Hasan	Able to communicate in Bahasa Malaysia and English.	Yes
	Mohd Nur Amin Bin Mohd Halim	Able to communicate in Bahasa Malaysia and English.	Yes
	Ariff Bin Lokman	Able to communicate in Bahasa Malaysia and English.	Yes
Field working experience in the palm oil sector, or demonstrable equivalent	Muhammad Khairul Anuar Bin Azizul Hasan	Qualified and appointed as auditor for MSPO scheme.	Yes
	Mohd Nur Amin Bin Mohd Halim	Qualified and appointed as auditor for MSPO scheme.	Yes
	Ariff Bin Lokman	Qualified and appointed as auditor for MSPO scheme.	Yes
Health and safety auditing on the farm and in processing facilities, for example ISO 45001 or Occupational, Health & Safety Assurance System	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS ISO 45001:2018 Lead Auditor course.	Yes
	Mohd Nur Amin Bin Mohd Halim	Successfully completed IMS ISO 45001:2018 Lead Auditor course.	Yes
	Ariff Bin Lokman	Successfully completed IMS ISO 45001:2018 Lead Auditor course.	Yes
Worker welfare issues and social auditing experience, for example with SA8000 or related social or ethical accountability codes	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed Basic SA 8000 training.	Yes
	Mohd Nur Amin Bin Mohd Halim	Successfully completed Basic SA 8000 training.	Yes
	Ariff Bin Lokman	Successfully completed Basic SA 8000 training.	Yes
Environmental and ecological auditing, for example experience with organic agriculture, ISO 14001	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS ISO 14001:2015 Lead auditor course and	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Requirement	Assessor	Qualification	Compliance
or Environmental Management Systems (EMS) or High Conservation Value (HCV)		auditor for MSPO scheme.	
	Mohd Nur Amin Bin Mohd Halim	Successfully completed IMS ISO 14001:2015 Lead auditor course and auditor for MSPO scheme.	Yes
	Ariff Bin Lokman	Successfully completed IMS ISO 14001:2015 Lead auditor course and auditor for MSPO scheme.	Yes
Good Milling Practices for example palm oil milling working experience or demonstrate equivalent.	Muhammad Khairul Anuar Bin Azizul Hasan	Qualified and appointed as auditor for MSPO scheme.	Yes
	Mohd Nur Amin Bin Mohd Halim	Qualified and appointed as auditor for MSPO scheme.	Yes
	Ariff Bin Lokman	Qualified and appointed as auditor for MSPO scheme.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



2. METHODOLOGY

The audit approach consists of the following steps:

- Contract review;
- Appointment of team members and technical reviewer;
- Contact client for relevant documentation according to the applicable MSPO standards;
- Audit planning;
- Background investigation, desk review of submitted documents;

ASA 01:

ASA 01 is conducted on 11/06/2020 for remote and on 11/08/2020 for onsite covers the following activities but not limited to below:

Remote Audit:

- Desk documentation assessment;
- Virtual interview managements;
- Records and document review;
- Virtual stakeholders communication;

Onsite Audit:

- Onsite inspection, observations and inspections of mill facilities such as process, workshops, stores, wastewater treatment system, waste handling and disposal sites, workers and staff living quarters, receiving and delivery of products for compliance to relevant Principles & Criteria of the applied standard;
- Interview operation personnel for understanding for the work assigned;
- Reviewed revised and updated documentation established and implemented;
- Operating records;
- Training records;
- Reports established;
- Work plans established;
- Review and closed out of non-conformance raised during stage 1 audit;
- Assessment reporting;

On-site Assessment

The audit of the mill is conducted according to the MS 2530-4: 2013 Part 4: General principles for palm oil mills.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



The methodology for collection of objective evidences include physical site inspections, observation of tasks and processes, interviews of stakeholders, communities, staff, workers and their families, review of documentation and data. Checklists and questionnaires are used to guide the collection of information. The comments made by external stakeholders are taken into account in the assessment.

Non-conformance:

On the basis of the desk review, evidences presented during the audits as well as from the onsite visits non-conformance (NC) Major, Minor and Opportunity for Improvement (OFI) may be raised during the audit.

Major non-conformance shall be addressed and responded with 60 days from closing date of audit. For minor non-conformances and action plan to be submitted within 30 days from closing date of audit for review and acceptance. Implementation of Minor NC will be reviewed and verified during the next audit.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



3. ORGANISATION INFORMATION

Aumkar Oil Mill is the subsidiary of Aumkar Plantations Sdn. Bhd. located at KM 37, Jalan Tingkayu, 91207, Kunak, Sabah, Malaysia.

The mill began operation in 2007 with an operating capacity of 45 MT per hour.

Name of Mill	Location	Coordinates
Aumkar Oil Mill	Kunak, Sabah, Malaysia.	4.748889° N , 117.8694° E

3.1. Production volume

Volume for the past year Jan 2019 to Dec 2019			Actual volume Jan 2020 to July 2020			Projected volume Aug 2020 to Dec 2020		
FFB	CPO	PK	FFB	CPO	PK	FFB	CPO	PK
171,237.33	35,610.92	8,525.67	102,656.34	21,263.20	4,954.38	92,415.00	19,869.23	4,955.58

3.2. Maps of Company and Mill Location

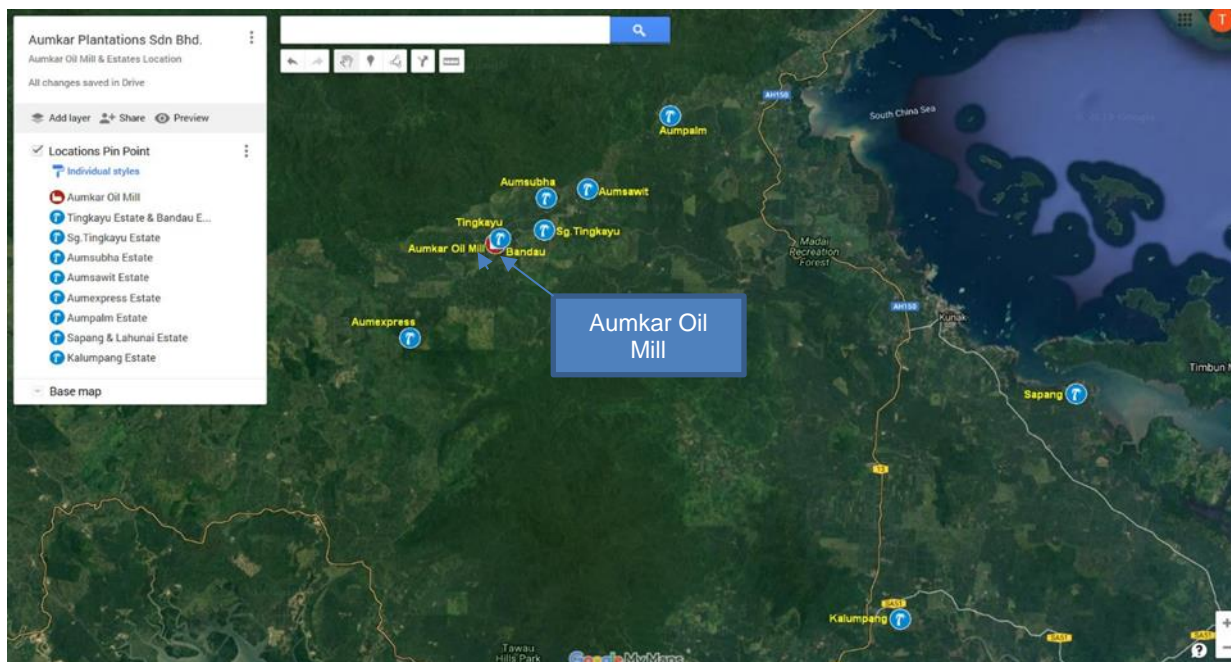


Figure 1: Aumkar Oil Mill location.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



4. CERTIFICATION ASSESSMENT

4.1. ASA 01

The objective of the audit is to assess the activities of the mill are in compliance with MS 2530-4: 2013 Part 4: General principles for palm oil mills.

4.1.1. Surveillance Audit:

The surveillance audit is conducted on 11/06/2020 for remote and on 11/08/2020 for onsite covering Aumkar Oil Mill.

During remote audit, there are four Opportunity for Improvement (OFI) raised and for onsite audit there are two Opportunity for Improvement (OFI) raised.

For details of the assessment, refer summary of assessment for each indicator in section 4.3 of this report.

The number of audit findings raised in this audit refer to Section 4.6 of this audit report.

4.2. Stakeholders' Consultation

TUV NORD (Malaysia) Sdn. Bhd., has published the public notification on 08/07/2020 as to accommodate stakeholder's consultation meeting for Aumkar Oil Mill to provide comments. As at audit date on 11/08/2020 there are no comments received.

During remote audit, stakeholder's consultation was conducted via phone calls in accordance to §7, 3.2 of the Certification Procedure requirements

Respond from stakeholders are stated in table below.

During onsite audit, no onsite stakeholder consultation is conducted. .

The topics of discussion are as below:

1. Introduction of MSPO certification.
2. Development of oil palm plantations.
3. Community service and support.
4. Local communities' development.

The following relevant Principles & Criteria of the applied standard are discussed during the stakeholders' consultation:

1. Principle 2 Criteria 2 Indicator 1: Consultation and Communication with stakeholders:
The stakeholders could confirm they have attended meetings with the company on MSPO certification.
2. Principle 4 Criteria 2 Indicator 3 & 4: A complaint form should be made available at the premises, where employees and affected stakeholders can make a complaint
The stakeholders' advice that they have been informed a logbook and form available at the office to lodge any complaints or suggestions.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



3. Principle 4 Criteria 3 Indicator 1: Contribute to local development in consultation with the local communities.

The local communities could confirm the company has provide assistance and support to communities.

4. Principle 6 Criteria 4 Indicator 1: Where contractors are engaged, they shall understand the MSPO requirements and shall provide the required documentation and information

The contractors who provides maintenance and service could confirm they are briefing on MSPO requirements.

There no issues raised during the stakeholder's consultation in terms of tenure and/or land use rights, social or environmental aspects of management and operations that need to be addressed by the certified unit. It can be concluded the relevant Principles and Criteria clauses of the applied standard are in compliance.

The list of stakeholders that responded are listed in Table 7-1.

Item	Subject discussed	Audit team findings	Company response and proposed action to be taken
1	Any information from the Company on MSPO audit?	Stakeholders interviewed informed MSPO audit via notification from CB.	No action required.
2	Social issues	Stakeholders interviewed informed no social issues	No action required.
3	Economy / livelihood Is there any impact on livelihood after the introduction of MSPO?	Stakeholders interviewed unable to comment.	No action required.
4	Does MSPO largely benefits the local community?	Stakeholders interviewed inform of MSPO benefits	No action required.
5	Environmental understanding	Stakeholders interviewed informed they do not encounter any negative impact from Mill operation.	No action required.
6	Support to smallholders	Stakeholders interviewed informed Aumkar Oil Mill support smallholders by accepting their FFB and provide information on FFB prices.	No action required.
7	FFB quality	Stakeholders interviewed informed Aumkar Oil Mill is in collaborating with suppliers to ensure FFB quality and grading according to MPOB requirements	No action required.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Item	Subject discussed	Audit team findings	Company response and proposed action to be taken
8	Are there any mill management practices that affect you?	Stakeholders interviewed informed there are no negative impact of mill operation towards them.	No action required.
9	Do you consider the management is in conflict with the MSPO principles and criteria?	Stakeholders interviewed informed the management taken effort to meet the MSPO requirements.	No action required.
10	Do you have any suggestions for management?	There are no suggestion from the interviewed stakeholders.	No action required.

4.3. Summary of Findings

Principle and Criteria Assessment Summary
<p>The assessment team conducted a thorough assessment of each principle and criteria. Over the 5 years' period of the certificate cycle, there will be 4 annual surveillance audits where all criterions will be assessed. Evidences are sought for conformity with the MSPO 2530-4. The summary of the assessment can be seen below, where the "Findings/Comments" column reflects the findings in accordance with each criteria and indicator or evidences and when non conformity is found. Summary of the non-conformity can be found below</p>

Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
4.1.1.1	<p>Aumkar Oil Mill adopted Aumkar Plantation's MSPO policy dated 18/02/2019 signed by Company Director states that as a responsible and sustainable palm oil producer and committed to sustainable development and continuous improvement.</p> <p>Policy briefing to workers conducted on 27/01/2020 and 17/02/2020 involving all workers.</p> <p>Site verification, policy being brief and publicly placed at office, post guard and work stations. The document being review and crosschecked to confirm the availability.</p> <p>Interviewed workers are aware of MSPO and company policies.</p>	Yes
4.1.1.2	<p>MSPO policy describes that the company is committed to sustainability development and continuous improvement on milling operation through MSPO standard.</p> <p>During the site verification, policy is publicly display at office, post guard and work station.</p> <p>The document is review and interviewed workers aware of the policies.</p>	Yes
4.1.2.1	Internal audit plan for year 2020 is established.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	The Internal audit conducted on 07/01/2020.	
4.1.2.2	<p>Aumkar Oil Mill adopt Aumkar Plantations Sdn. Bhd SOP Internal Audit, document number: SPO/IA/02, revision 02 dated 25/03/2020.</p> <p>The procedure describes:</p> <ol style="list-style-type: none"> 1. Internal audit to be conducted once a year. 2. Monthly visit by SPO team. <p>Latest internal audit conducted on 07/01/2020 with no findings.</p>	
4.1.2.3	Aumkar Oil Mill conducted management review meeting on 08/01/2020. Internal audit results and action plan included in the agenda.	Yes
4.1.3.1	<p>Aumkar Oil Mill conducts management review meeting on 08/01/2020.</p> <p>The meeting agenda includes:</p> <ol style="list-style-type: none"> 1. Discussion on incomplete required documentation 2. Identify person in charge to take action to close non-conformity. 3. Planning program and documentation. 4. Internal audit results 	Yes
4.1.4.1	<p>Continuous Improvement Plan is established dated 26/03/2019.</p> <p>The CIP has section for environment, management, social, safety and health.</p> <p>The CIP include the activities and timeline for implementation.</p> <p>During onsite, the mill management is interviewed on the monitoring progress of the improvement project as per stated in the CIP.</p> <p>Example:</p> <p>Social</p> <p>Implication: Request for toilet for workers using near to engine room</p> <p>PIC: Manager</p> <p>Timeline: 2019</p> <p>Status: Management to review on suitable location to built the toilet</p> <p>Remark: Clean up continuously</p> <p>Next Action: Proposal being issued to headquarter for budgeting</p> <p>Estimated to close: 2020</p>	Yes
4.1.4.2	<p>Aumkar Oil Mill established SOP of New Project, Information, Techniques, Equipment, and Resources ETC with document no.: SOP/PROJECTLIST/NO.02, revision 02, dated 05/05/2020.</p> <p>The procedure describes:</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	<ol style="list-style-type: none"> 1. Identification of new technology. 2. Implementation and control. 3. Completion of implementation and application. <p>During onsite the management is interviewed for any new development and processes implemented.</p>	

Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.1.1	<p>SOP Stakeholders Consultation revision 02 updated on 01/05/2019.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. Identification of stakeholders 2. Formal community meeting 3. Workers consultation. <p>Stakeholders meeting conducted on 14/12/2019 discussed on MSPO audit, company policies, safety / health and SOP complaint & grievance.</p>	Yes
4.2.1.2	<p>Aumkar Oil Mill has established a list of publicly available document. Latest update is on 08/02/2019.</p> <p>The list of document includes;</p> <ol style="list-style-type: none"> 1. Company Policy 2. Management Plan 3. Continuous Improvement plan 4. Safe operating procedure 5. List of stakeholders 	Yes
4.2.2.1	<p>SOP Stakeholders Consultation date of issue 01/05/2019 revision number 02.</p> <p>SOP describe:</p> <ol style="list-style-type: none"> 1. Definition of stakeholders 2. Community consultation conduct twice a year 3. Workers management consultation conduct quarterly. <p>During onsite, the minutes of meeting is reviewed and responsible person is interviewed.</p>	Yes
4.2.2.2	<p>Aumkar Oil Mill appoints the Sustainability Manager as person in charge for consultation and communication with the stakeholders.</p> <p>During onsite verification, the appoint letter for Sustainability Manager is reviewed and interviewed.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.2.3	<p>List of Internal and external stakeholders updated on 01/04/2020.</p> <p>List of external stakeholders includes the government agencies, suppliers, NGO's, villages and neighbor estates.</p> <p>External stakeholders meeting conducted on 14/12/2019.</p> <p>During onsite verification, list of stakeholders' is reviewed.</p>	Yes
4.2.3.1	<p>Aumkar Oil Mill established SOP Traceability of Crop Production and Delivery document no SOP/SCCS/01/19, revision 01 dated 12/05/2019</p> <p>A flow chart for traceability is included in the SOP outlining the process from receiving of FFB to delivery of CPO and PK.</p> <p>The SOP describes physical handling of MSPO certified products throughout the process.</p> <ol style="list-style-type: none"> 1. Delivery Order of FFB 2. Weighbridge tickets 3. FFB grading chit 4. FFB processing log sheet 5. CPO / PK storage 6. Sales contract of CPO / PK <p>During onsite verification, the received FFB delivery order is reviewed and crosschecked on the DO number, date, lorry number, FFB grading chit, weighbridge ticket number and harvesting date.</p>	Yes
4.2.3.2	<p>The internal audit conducted include inspection on traceability requirements. There is no finding raised.</p> <p>During onsite verification, the internal audit checklist results for inspection of traceability system is reviewed.</p>	Yes
4.2.3.3	<p>A traceability committee member is appointed to manage the traceability system.</p>	Yes
4.2.3.4	<p>Aumkar Oil Mill maintained sales and delivery records for year 2020.</p> <p>Example:</p> <p>Record of incoming FFB dated 29/04/2020.</p> <p>Records such as delivery order and weighbridge ticket are reviewed. The unique identification number is the delivery order number stated in weighbridge ticket.</p> <p>During onsite verification, sales contract, delivery order, weighbridge ticket buyer weighbridge ticket and invoice to buyer are reviewed.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.1.1	Aumkar Oil Mill has updated the list of relevant licenses. Example:: 1. MPOB license for Aumkar Oil Mill license number 601127-004000 valid until 30/08/2020. 2. JBE Electrical installation license valid until 08/12/2020. 3. Permit pemotongan gaji pekerja from JTK valid until 07/11/2020.	Yes
4.3.1.2	Aumkar Oil Mill updated Legal Register dated 07/01/2020. Example: 1. Factory and machinery act 1967 (Act 139) Regulation and Orders. 2. Minimum Wage Order 2020 3. Passport Act 1966 (Act 150). 4. Sabah Labor Ordinance (Chapter 67).	Yes
4.3.1.3	Aumkar Oil Mill adopted Aumkar Group SOP on regulation changes and legal compliance system, document number SOP/law/No:01/2020, dated 08/01/2020 Latest updated on legal register on 07/01/2020.	Yes
4.3.1.4	The Mill Manager is the person in charge to monitor changes in laws and regulation and update for compliance.	Yes
4.3.2.1	Aumkar Oil Mill is located in Tingkayu estate. The estate land title is country lease.	Yes
4.3.2.2	Aumkar Oil Mill is located in Tingkayu Estate owned by Aumkar Plantation. The estate land title is country lease for 99 years.	Yes
4.3.2.3	Aumkar mill is located Tingkayu Estate. Therefore, does not border with other estates.	Yes
4.3.2.4	Aumkar Oil Mill is located in Tingkayu Estate. The estate land title is country lease.	Yes
4.3.3.1	Aumkar Oil mill is located in Tingkayu estate. Therefore, does not border with other estates. Stakeholders meeting conducted on 14/12/2019 and interview with Aumkar Oil Mill management team confirmed there is no dispute between the stakeholders and mill.	Yes
4.3.3.2	Aumkar Oil Mill is located in Tingkayu estate. The estate map is review to confirm the mill location.	Yes
4.3.3.3	Aumkar Oil Mill is located in Tingkayu estate. Therefore, the indicator is not applicable.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.1.1	<p>Aumkar Oil Mill updated Social Impact Assessment dated 01/03/2020.</p> <p>The SIA involved internal and external stakeholders.</p> <p>Group A consists of internal stakeholders and Group B consists of external stakeholders.</p> <p>The SIA conducted includes:</p> <ol style="list-style-type: none"> 1. General data such as gender, demographic and salary. 2. Stakeholders feedback 3. Conclusion 4. Social action plan and timeline. <p>Issues raised by the internal and external stakeholders are separated into positive and negative impact for action and monitoring purposes.</p>	Yes
4.4.2.1	<p>Aumkar Oil Mill adopted Aumkar Plantations SOP complaint and grievances and product quality management, revision 01, dated 01/07/2019.</p> <p>Review on complaints records, the complaint and grievances are address within the timeline stated in the procedure.</p>	Yes
4.4.2.2	<p>Aumkar Oil Mill adopted Aumkar Plantations SOP complaint and grievances and product quality management, revision 01, dated 01/07/2019.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. Internal grievance to be address within 15 days. 2. External grievance to be address within 10 days. <p>Complaint form is available at Mill office and accessible to stakeholders and workers.</p>	Yes
4.4.2.3	<p>Records of complaint and grievance are available.</p> <p>Interviewed workers are aware and understand the complaint and grievance procedure.</p>	Yes
4.4.2.4	<p>Aumkar Oil Mill conducted awareness on complaint and grievance procedure to internal and external stakeholders.</p> <p>Example:</p> <p>Complaints and grievance training for mill workers conducted on 02/03/2020.</p> <p>Stakeholders meeting conducted on 14/12/2019</p> <p>Interviewed workers are aware on complaint and grievance procedure.</p>	Yes
4.4.2.5	<p>Complaints and grievance records as at July 2020 are available. Grievance records for year 2019 are maintained.</p>	Yes
4.4.3.1	<p>Aumkar Oil Mill contributes to local development.</p> <p>Example:</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions			
Indicator	Summary of Assessment	Compliance	
	<ol style="list-style-type: none"> 1. Hiring locals as workers 2. Provided facilities such as canteen and shop lots for local to rent and sell their products. 3. Buying FFB from local FFB dealers and smallholders. 		
4.4.4.1	<p>Aumkar Oil Mill adopted Aumkar Plantations Occupational Safety and Health Policy, dated 18/02/2019 sign by Company Director.</p> <p>Safety and health policy training conducted on 25/12/2019.</p> <p>External stakeholder's consultation meeting conducted on 14/12/2019 includes awareness on safety and health policy.</p> <p>Interviewed workers informed they understand and aware on Safety and Health policy.</p>	Yes	
4.4.4.2	a	<p>Aumkar Oil Mill adopted Aumkar Plantations Occupational Safety and Health Policy dated 18/02/2019 sign by Company Director.</p> <p>The Safety and health policy is displayed at the notice board.</p> <p>Records of Safety and Health briefing conducted on 25/12/2019.</p> <p>Aumkar Oil Mill adopted Estate and Mill Operation Guideline by MKN dated March 2020 as procedure for Covid-19.</p> <p>Interviewed workers informed they are briefed on the COVID-19 and Safety and Health policy.</p>	Yes
	b	<p>Aumkar Oil Mill established Hazard Identification, Risk Assessment, and Risk Control (HIRARC) for the mill operations reference number: AOM/OSHRA/01, revision 2, dated 28/04/2019.</p> <p>Example of activities in HIRARC;</p> <ol style="list-style-type: none"> 1. Sterilizer 2. Kernel Plant 3. Boiler 4. Laboratory <p>The HIRARC has a table describing:</p> <ol style="list-style-type: none"> 1. Hazard identification 2. Risk evaluation: Probability and severity. 3. Risk control 4. Risk evaluation: after control. <p>Aumkar Oil Mill updated the HIRARC to include risk of infectious diseases on workplace dated 20/04/2020.</p>	Yes
	c. i	<p>Aumkar Oil Mill established Annual Training Programme for 2020.</p> <p>Training in the programme includes:</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<ol style="list-style-type: none"> 1. OSH policy. 2. Mill SOPs. 3. Fire drill. 	
c. ii	Copies of SDS available at stores, laboratory mill office and updated accordingly.	Yes
d	<p>Aumkar Oil Mill adopted Aumkar Plantation SOP PPE Usage, dated 01/10/2018.</p> <p>The procedure describe the steps in handling PPE before, during and after usage.</p> <p>Mill has conducted PPE training for workers dated 17/01/2019.</p> <p>During site visit all workers are provided with PPEs for the assigned task according to HIRARC and CHRA.</p> <p>Aumkar Oil Mill provides workers face mask as safety precaution and prevention from infectious diseases.</p> <p>Memo from Mill manager to workers, memo no: AOM/M/20/069-IS, explaining to the workers to dispose used face mask at clinic.</p>	Yes
e	<p>Aumkar Oil Mill established SOP for chemical handling revision 1 dated 01/10/2018 to ensure chemical substances are handle according to Occupational Safety & Health Act 1994.</p> <p>The procedure states:</p> <ol style="list-style-type: none"> 1. Minimized exposure to chemical substance by using PPE 2. Type of PPE use when handling chemicals. <p>Example:</p> <ul style="list-style-type: none"> - Protective helmet or cloth cap - Eye protection (safety spectacles, goggles or face shield) - Appropriate respiratory equipment - One-piece work suit with closely fitting trouser bottoms <p>Aumkar Oil Mill conducted CHRA report dated 11/04/2019.</p> <p>Site visit to chemical store observed workers handling chemicals put on proper PPE as stated in the procedure.</p>	Yes
f	A Safety Officer is appointed to take charge of Aumkar Oil Mill safety and health.	Yes
g	<p>Aumkar Oil Mill conducts Occupational Safety and Health meeting on quarterly basis.</p> <p>The last three quarters meeting held on 25/09/2019, 27/11/2019 and 18/03/2020.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	Workers representative are involved in the meeting. Injury reported in the meeting minutes.	
h	Aumkar Oil Mill established Emergency Respond Plan (ERP). The ERP established includes assessment on: 1. Oil spillage. 2. Chemical spillage. 3. Fire. Aumkar Oil Mill established Emergency Preparedness and Plan for Disease Control on Covid-19 dated 01/04/2020. The procedure has a flowchart on mitigation measures to be taken the includes: 1. Daily body temperature reading 2. Workplace and housing sanitation programme 3. Control of exit and entering premises.	Yes
i	First aid training for first aiders conducted on 17/01/2020 by Aumkar Clinic. First aid kits are available at operation site, office and laboratory.	Yes
j	Aumkar Oil Mill maintain records of injuries and presented during quarterly safety and health meeting. Annual reporting for year 2019 submitted to JKKP on January 2020. Review the latest report there is one incident with 2 days Loss Time Injury (LTI). Accident or injury cases are discussed in OSH meeting.	Yes
4.4.5.1	Aumkar Oil Mill adopted Aumkar Plantations Social and Human Rights Policy sign by Company Director dated 18/02/2019. The policy states free from discrimination and respect the right of workers. Policy training was conducted on 25/12/2019. Stakeholders meeting dated 14/02/2020 includes awareness on Aumkar Group policies.	Yes
4.4.5.2	Aumkar Oil Mill adopted Aumkar Plantations Equal Opportunity Policy, sign by Company Director dated 18/02/2019. The policy states on company commitment to ensure no discrimination in all level of workforce and demonstrate equality. Interviewed workers informed no discrimination occur at the workplace.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions												
Indicator	Summary of Assessment	Compliance										
4.4.5.3	<p>Aumkar Oil Mill pay their workers according to Sabah Labour Ordinance 1950 (Chapter 67) and Minimum Wage Order 2018.</p> <p>Workers wages are either RM 1,100.00 per month or RM42.31 per day.</p> <p>Employment agreement between Aumkar Oil Mill and workers are review and in accordance with the labour ordinance.</p>	Yes										
4.4.5.4	<p>Aumkar Oil Mill monitored contractor worker's pay base on pay slip provided. Contractor workers are paid in accordance with minimum wages of RM1,100.00 per month.</p> <p>Example:</p> <p>Wage payment to contractor's workers for the month of March 2020 was RM1,200.00.</p>	Yes										
4.4.5.5	<p>Aumkar Oil Mill established updated employees list.</p> <p>The list includes workers name, nationality, marital status, date of join, date of birth, occupation and wages.</p>	Yes										
4.4.5.6	<p>Employment agreement for workers contents are in line with Sabah Labour Ordinance 1950, Chapter 67.</p> <p>Employment agreement signed by workers and management.</p> <p>Interview with workers informed they received copy of employment agreement and understand the content of agreement.</p>	Yes										
4.4.5.7	<p>Aumkar Oil Mill use thumbprint system for recording working hours and overtime for staff and workers.</p> <p>Time attendance report for the month of April 2020 sighted that includes the working hours and overtime.</p> <p>Memo no: AOM/M2/0/081-IS, dated 31/03/2020 list the type of work that are allowable during MCO period 01/04/2020 until 14/04/2020.</p> <p>Workers are paid according to minimum wage of RM 42.31 per hour.</p>	Yes										
4.4.5.8	<p>Aumkar Oil Mill working hours was established.</p> <p>Shift working hours:</p> <table border="1"> <thead> <tr> <th>Time*</th> <th>Activity</th> </tr> </thead> <tbody> <tr> <td>7.00am – 7.00 pm</td> <td>Morning shift</td> </tr> <tr> <td>3.00pm – 11.00pm</td> <td>Night shift</td> </tr> </tbody> </table> <p>*45 minutes of rest for each shift period.</p> <p>Non-shift working hours:</p> <table border="1"> <thead> <tr> <th>Time</th> <th>Activity</th> </tr> </thead> <tbody> <tr> <td>7.00am – 4.00pm</td> <td>8 working ours (with 1 hour rest)</td> </tr> </tbody> </table>	Time*	Activity	7.00am – 7.00 pm	Morning shift	3.00pm – 11.00pm	Night shift	Time	Activity	7.00am – 4.00pm	8 working ours (with 1 hour rest)	Yes
Time*	Activity											
7.00am – 7.00 pm	Morning shift											
3.00pm – 11.00pm	Night shift											
Time	Activity											
7.00am – 4.00pm	8 working ours (with 1 hour rest)											

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Overtime requisition form available to capture overtime perform by workers.</p> <p>Sighted overtime check roll for the month of May 2020. The workers have sign as acknowledgement of overtime records and verified by Mill Manager.</p> <p>Interview with workers informed aware and understand the working hours as stated in their employment agreement.</p>	
4.4.5.9	<p>Aumkar Oil Mill established pay slips for workers stating the wage, overtime, holiday pay and deductions for EPF.</p> <p>Review of worker's check roll and pay slip for January until July 2020 in accordance to Minimum Wage Order 2018.</p> <p>Aumkar Oil Mill established monthly summary overtime claim report to monitor workers and staffs working overtime.</p> <p>Review of monthly summary overtime claim report for the month of April 2020 is in line with legal requirements.</p> <p>Payment of overtime is at rate of one and a half times the hourly rate of pay on normal working days and two times the hourly rate on rest day.</p>	Yes
4.4.5.10	<p>Aumkar Oil Mill provides benefits such as housing, medical, water supply, electricity,</p> <p>Humana school for foreign children, canteen, childcare center, sport amenities and contribution for festive season.</p>	Yes
4.4.5.11	<p>Aumkar Oil Mill adopt industry best practice for living quarters with water, electricity, recreational area, religious worship place, clinic and shops.</p>	Yes
4.4.5.12	<p>Aumkar Oil Mill adopted Aumkar Plantations Harassment policy sign by Company Director, dated 02/07/2018.</p> <p>The policy is displayed on mill's notice board.</p> <p>The policy states :</p> <ol style="list-style-type: none"> 1. Type of harassment on workplace. 2. Company commitments and action taken on harassment complaints. <p>There are no complaints related to sexual harassment.</p> <p>Gender Committee (meeting is conducted on 05/03/2020. The meeting minutes include agenda such as review on previous meeting minutes awareness on housing compound safety and cleanliness, and gender committee programme.</p>	Yes
4.4.5.13	<p>Aumkar Oil Mill adopted Aumkar Plantations Social and Human Right policy signed by Company Director dated 02/07/2018.</p> <p>The policy states :</p> <ol style="list-style-type: none"> 1. Respect the union rights and freedom to join union. 2. Respect the right to live free from discriminations. 	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>The JCC meeting is conducted once a year.</p> <p>Latest JCC conducted on 09/12/2019.</p> <p>There is no union form by Aumkar Oil Mill workers. JCC is established as platform to discuss workers matters.</p>	
4.4.5.14	<p>Aumkar Oil Mill adopted Aumkar Plantation's Social and Human Right policy signed by Company Director, dated 02/07/2018.</p> <p>The policy describes Will not hire underage person as employee.</p> <p>List of mill's workers is reviewed and there are no underage workers employed by estates.</p> <p>Site verification and interview with workers confirmed no underage employee hired by mill.</p>	Yes
4.4.6.1	<p>Aumkar Oil Mill establish annual training programme for year 2020.</p> <p>Example training conducted:</p> <p>Policy & SOP Briefing conducted on 02/03/2020 for workers and contractor workers.</p> <p>Interview with workers and contract workers informed training conducted by mill.</p>	Yes
4.4.6.2	<p>Training programme for 2020 was establish. Workers are trained based on job description.</p> <p>Every new worker will go through the induction programme and will be brief on company policies, safety & health and work assigned</p> <p>Briefing based on workers job description conducted:</p> <ol style="list-style-type: none"> 1. Environmental Protection on 29/01/2020 2. Grading & loading Ramp SOP on 28/01/2020 3. Machinery SOP on 30/01/2020 4. Electrical Work SOP on 01/02/2020 	Yes
4.4.6.3	<p>Aumkar Oil Mill established annual training programme workers for the year 2020 and update annually.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. Training for SOP on April 2020. 2. Training for MSPO Standards on July 2020. 3. Training for Policies on July 2020. 	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.1.1	<p>Aumkar Oil Mill adopted Aumkar Plantation Sdn. Bhd. Environmental Protection Policy dated 02/07/2018 signed by Company Director.</p> <p>The policy describes:</p> <ul style="list-style-type: none"> Ensuring individuals comply with safety and environmental protection procedures Complying with the relevant legislative requirement Complying with regulations concerning schedule waste, effluent and clean air emission Promoting continuous improvement on environmental standards Establishing individual responsibilities to ensure the environmental quality is well preserved Keep good records and housekeeping method regarding environmental issues <p>Aumkar Oil Mill conducted policy briefing to workers during morning briefing on 25/12/2019.</p>	Yes
4.5.1.2	<p>a</p> <p>Aumkar Oil Mill established Environmental Impact Assessment dated 20/01/2020.</p> <p>Aumkar Oil Mill adopted Aumkar Plantation Sdn Bhd Environmental Protection Policy dated 02/07/2018 signed by Company Director.</p> <p>The Environmental Protection Policy describe the mill objective:</p> <p>To ensure a safe and healthy working environment by complying with Environmental Quality Act 1974, where this policy will be strongly adhered by our plantation and mill.</p>	Yes
	<p>b</p> <p>Aumkar Oil Mill aspect and impact are identified in the EIA including aspect, impact, action plan and monitoring, monitoring document and management review comment.</p> <p>Example:</p> <p>Aspect: Smoke emission, dust particles and opacity.</p> <p>Negative impact: Dust particulate release.</p> <p>Positive impact: Installing CEMS system and monitoring ringlemann chart by monthly basis.</p>	Yes
4.5.1.3	<p>Aumkar Oil Mill implemented and monitored the environmental improvement plan to mitigate the negative impacts and promote the positive impacts as stated in EIA report.</p> <p>Example:</p> <p>Scheduled wastes and empty lubricant containers</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> - Negative Impact: Spillage of spent oil / lubricants and throwing empty lubricant container - Positive Impact: Scheduled wastes recorded for storing and disposal by DOE authorised contractor. <p>Domestic waste, sewage and garden residue</p> <ul style="list-style-type: none"> - Negative impact: Breeding ground for insect - Positive impact: Recycle garbage bins are installed at labour quarters and staff quarters. <p>Smoke Emission</p> <ul style="list-style-type: none"> - Negative impact: Hazardous to people - Positive impact: Smoke emission from boiler operation monitored through Continuous Emission Monitoring System (CEMS). 	
4.5.1.4	<p>Aumkar Oil Mill established program to promote and maintain the existing positive impacts as describe in the Environmental Impact Assessment.</p> <p>Example: Fibre and shell.</p> <p>Positive impact: Continually used as renewable energy source.</p>	Yes
4.5.1.5	<p>Aumkar Oil Mill established training programme for year 2020 include environmental topics.</p> <p>Workers interviewed informed they are aware environmental policy and objectives.</p>	Yes
4.5.1.6	<p>Aumkar Oil Mill conducted environmental meeting on quarterly basis. The latest meeting conducted on 26/06/2020.</p> <p>Participants include in the meeting are mill management, station operator and general workers.</p> <p>The meeting discussed on responsibility each of committee members, matter arising in the last meeting, environmental control plan and matters to report in the next meeting.</p>	Yes
4.5.2.1	<p>Aumkar Oil Mill established 3 years fossil fuel baseline from year 2017 to 2019 for genset and transport.</p> <p>The volume of usage in year 2019 is compared with the baseline and found lower for genset with the introduction of biogas system.</p>	Yes
4.5.2.2	<p>Aumkar Oil Mill established and monitored usage of diesel l/mt of FFB process.</p> <p>Sighted estimated diesel consumption for year 2019 that includes FFB projection and estimated diesel consumption per ton of FFB.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.2.3	Aumkar Oil Mill has renewable energy using biogas system to generate electricity supplying to mill and nearby estate.	Yes
4.5.3.1	<p>Aumkar Oil Mill waste management plan is included in the EIA dated 20/01/2020.</p> <p>The WMP includes source, type of waste, process, waste classification, performance measure and waste management.</p> <p>Example:</p> <p>Source: FFB</p> <p>Type of waste: solid</p> <p>Process: FFB processing</p> <p>Waste classification: EFB</p> <p>Performance measure: Recycle</p> <p>Waste Management: Mulching to own estate</p>	Yes
4.5.3.2	<p>a</p> <p>The waste management plan is included in the EIA dated 20/01/2020.</p> <p>Identified sources</p> <ol style="list-style-type: none"> 1. FFB solid - EFB 2. Sludge - Decanter cake 3. Mesocarp Fibre / Shell - Boiler Ash 4. Lubricant - Used oil. <p>Identification of sources and action plan for waste.</p> <ol style="list-style-type: none"> 1. FFB solid - Recycle to Compost, boiler fuel, sell to others, mulching in estate 2. Sludge - Recycle to Compost 3. Mesocarp Fibre / SEFB & Shell - Recycle to Compost 4. Lubricant - Scheduled wastes. Disposed by authorised contractor 	Yes
	<p>b</p> <p>Waste generated from milling operation is identified and documented in the waste management plan.</p> <p>Stated in the plan palm kernel shell, mesocarp fibre, EFB are recycled to add value.</p> <p>Example:</p> <p>Mesocarp fibre and shell used as fuel for boiler operation. Thus, reduce the fossil fuel usage.</p>	
4.5.3.3	Aumkar Oil Mill established SOP for Scheduled Waste, revision 0 dated 01/10/2018.	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>The procedure address the management of used chemical containers, used lubricant and oil, expired chemical handling, triple rinse method, storing & disposed and scheduled waste labeling</p> <p>The SOP established to comply with the Environmental Quality Act 1974 and Environmental Quality Regulations (Scheduled Waste) 2005.</p> <p>Aumkar Oil Mill scheduled wastes are collected and disposed by DOE authorised contractor on 04/07/2020.</p>	
4.5.3.4	Aumkar Oil Mill domestic waste from mill and housing disposed at landfill located in Tingkayu estate.	Yes
4.5.4.1	<p>Aumkar Oil Mill established waste management plan dated 20/01/2020.</p> <p>The plan has includes polluting activities such as scheduled wastes, processing wastes, domestic wastes and greenhouse gaseous emission.</p> <p>The WMP identified the solid, liquid and gaseous wastes.</p> <p>Disposal and treatment method for scheduled wastes is through DOE authorized contractor.</p> <p>GHG emission is identified – from FFB processing, use of fuel and emission from POME.</p>	Yes
4.5.4.2	<p>Aumkar Oil Mill established action plan to reduce identified significant pollutant and emission for smoke emissions, dust particulates and opacity.</p> <p>The action plan includes monitoring and continuous improvement programme to reduce the potential source of GHG Emission.</p> <p>Example:</p> <p>Maintain and monitor diesel usage of vehicles effectively to reduce GHG emissions.</p> <p>Implementation:</p> <p>Aumkar Oil Mill established and maintain diesel consumption records.</p> <p>Referring the 5 years diesel consumption records there are reduction in usage of diesel in 2015 to 2019 due to biogas implementation.</p>	Yes
4.5.4.3	<p>Palm Oil Mill Effluent (POME) is treated using the biogas system.</p> <p>Final discharge of effluent is tested monthly for compliance to DOE discharge schedule.</p> <p>Example:</p> <p>Effluent test report dated 30/06/2020 is documented.</p>	Yes
4.5.5.1	<p>a</p> <p>Water management plan is include in the EIA dated 20/01/2020.</p> <p>The WMP identified source of water and usage.</p> <p>Source: Catchment pond collecting rain water.</p> <p>Usage: FFB processing, boiler and domestic use.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>b Aumkar Oil Mill conduct monthly waste water test by external third party.</p> <p>Wastewater test result shows the BOD is below permissible level required by DOE.</p> <p>Treated POME is discharge for land application.</p>	Yes
	<p>c Aumkar Oil Mill established Water Management Plan dated 20/01/2020 includes the monitoring of water consumption for FFB processing, boiler operation and domestic use.</p> <p>Aumkar Oil Mill established monthly water usage monitoring record to monitor mill water usage. Water usage records January to May 2020 is maintained.</p> <p>Installation of turbine excess cooling water pipeline back to water clarifier to minimize water usage and avoid wastage</p>	Yes
4.5.5.2	Aumkar Oil Mill established biogas plant to treat POME before discharge for land application.	Yes

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
4.6.1.1	<p>Aumkar Oil Mill established muster list of Standard Operating Procedure (SOP), dated 01/02/2019.</p> <p>Example of SOP established:</p> <ol style="list-style-type: none"> 1. SOP scheduled waste store, document no 01, effective date 07/04/2020 2. SOP kernel plant station, document no 02, effective date 17/02/2020 <p>SOP Covid-19 emergency preparedness and response guidelines, document no 01, effective date March 2020. Aumkar Oil Mill conducted training year 2020 based on SOP established.</p> <p>Example of training conducted:</p> <ol style="list-style-type: none"> 1. Safety and Health dated 18/02/2020 2. Work safety for boiler operator dated 10/02/2020 3. Work safety for engine driver dated 30/01/2020 4. Covid-19 awareness dated 19/03/2020. 	Yes
4.6.1.2	<p>Aumkar Oil Mill has implemented best practice in the operation.</p> <p>Examples:</p> <ul style="list-style-type: none"> • Monitoring of stack emissions for opacity and dust particulates using CEMS to comply DOE requirements. 	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> Monthly monitoring of POME BOD to comply the permissible discharge limit. JKKP inspection report to ensure mill machinery conditions are maintained. 	
4.6.2.1	<p>Aumkar Oil Mill established 5 years Business Plan for year 2020 to 2024.</p> <p>The business plan include:</p> <ul style="list-style-type: none"> Operation expenses. FFB costs. Maintenance expenses. Cost of CPO & PK production. Diesel consumption Safety, security & sustainability (Emergency Covid-19) 	Yes
4.6.3.1	<p>Aumkar Oil Mill engage contractor for Palm Kernel, Palm Kernel Cake and Shell transport.</p> <p>Contract established with the agreed cost, payment terms and duly signed by both parties.</p> <p>Example: Contract dated 01/01/2020 is reviewed.</p>	Yes
4.6.3.2	<p>The term and conditions in the contract is agreed and duly signed by both parties thus indicates the contract as fair and transparent.</p>	Yes
4.6.4.1	<p>Aumkar Oil Mill conducted MSPO requirements to contractors on 14/12/2019.</p> <p>Minutes of meeting agenda:</p> <ul style="list-style-type: none"> MSPO Policies Communication / complaint procedures Introduction of supply chain system <p>Aumkar Oil Mill conducted training for contractor and contractor's workers on SOP Covid-19 emergency preparedness and response guidelines dated 19/03/2020.</p>	Yes
4.6.4.2	<p>Aumkar Oil Mill engaged contractor for Palm Kernel, Palm Kernel Cake and Shell transport. The agreement duly agreed and signed by contractor and mill management.</p>	Yes
4.6.4.3	<p>The contract agreement content describe contractors are subject to inspection by inspection team or other parties appointed by company if deemed necessary.</p>	Yes

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



4.4. Status of Non-Conformities Previously Identified

	The stage 1 audit findings of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have been implemented to address the identified audit findings.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have not been implemented effectively. The non-conformity will be re-raised.
X	Not applicable. No non-conformity raised in previous audit.
<p><i>Note 1: If a minor non-conformity raised in last audit, is not closed out, then this finding will be re-raised to a Major non-conformity.</i></p> <p><i>Note 2: All minor NCs raise in last audit are required to capture in this report together with the closing of the non-compliance.</i></p>	

4.5. Detail of Audit Findings Identified During last Audit

There are no findings raised during last audit.

4.6. Detail of Onsite Audit Findings Identified During This Audit

This section gives an overview of the non-conformities raised during this audit.

ONSITE AUDIT OUTCOME		
During this onsite audit,	0	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Nr.	Indicator	Description	Location	Opportunity for Improvement
1.	4.5.3.2	<p>A waste management plan shall be developed and implemented, to avoid or reduce pollution. The waste management plan should include measures for:</p> <p>a) Identifying and monitoring sources of waste and pollution</p> <p>(MAJOR)</p>	Final sludge tank	Aumkar Oil Mill management may consider improve monitoring source of waste and pollution.

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



5. CONCLUSION

Aumkar Plantations Sdn. Bhd. has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct surveillance audit for its mill according to MSPO 2530-4:2013 Part 4 General principles for palm oil mills.

From the review of the standard operating procedures, relevant forms, work flow charts established and implemented; the subsequent background investigation and interviews conducted during this surveillance audit have provided TUV NORD Malaysia with sufficient evidence on the fulfilment of the applied standard Principles & Criteria.

In conclusion the certified unit has been established, implemented and continued to improve in managing the estates are in line with the Principles & Criteria of the applied standards of MS 2530-4:2013. Part 4.

As a result of this audit, the audit team confirms that:

Certified FFB Jan to Dec 2019 :	171,237.33	Mt
Certified CPO Jan to Dec 2019:	35,610.92	Mt
Certified PK Jan to Dec 2019 :	8,525.67	Mt
Actual FFB Jan to July 2020 :	102,656.34	Mt
Actual CPO Jan to July 2020 :	21,263.20	Mt
Actual PK Jan to July 2020 :	4,954.38	Mt
Projected FFB Aug to Dec 2020 :	92,415.00	Mt
Projected CPO Aug to Dec 2020:	19,869.23	Mt
Projected PK Aug to Dec 2020:	4,955.58	Mt

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



6. RECOMMENDATION

The audit team conducted a process-based audit focussing on significant aspects/risks and objectives required by the standard(s). The audit methods used were interviews, observations, sampling of activities and review of documentation and records.

The structure of the audit was in accordance with the audit plan included in to this summary report.

The audit team concludes that the organisation has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organisation's policy and objectives.

Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be

	Recommended for Certification
X	Recommended for Continuity of Certification
	Recommended for Suspension of Certification

Puchong, 11/09/2020

Mohamad Norhisham Bin Mohd Salleh
TUV NORD (Malaysia) Sdn Bhd
Audit Team Leader

Puchong, 11/09/2020

Nur Amanina Bte Zahir
TUV NORD (Malaysia) Sdn Bhd
Certifier / Approver

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



7. List of Stakeholders

Table 7-1: List of Interviewed Stakeholders during remote audit

No.	Organisation
1.	MPOB Cawangan Tawau
2.	Jabatan Alam Sekitar Tawau
3.	Uni Top Transport
4.	Berkat Dominasi
5.	Yaya dan Wawa Transport

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn. Bhd.

Certifying Unit: Aumkar Oil Mill

Client Number: 92-089

Audit Type: ASA 01

Mode of Audit: Onsite



Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities / Audit Objectives

This report is sent to the certification body or bodies, the members of the audit team and the audit representative of the organisation. All documents (such as this report) regarding the certification procedure are treated confidentially by the audit team and the certification body. This audit report remains the property of the certification body.

An audit is a procedure based on the principle of random sampling and cannot cover each detail of the management system. Therefore nonconformities of weaknesses may still exist which were not expressly mentioned by the auditors in the final meeting or in the audit report.

The responsibility for continuous effective operation of the management system always rests solely with the audited and certified organisation.

Salvo clause:

The audit report will be left to the organisation at the end of the audit - subject to approval by the certification body. The independent release process may cause modifications or additions. In these cases a modified revision will be sent to the audited organisation.

The objective (goal) of the audit is to establish compliance of the management system of the aforementioned organization with the requirements of the aforementioned standard in order to achieve or maintain certification through an independent and accredited certification body. Identification of possibilities to improve the management system can also be a component of the audit and is considered simply to be an enhancement; it does not constitute consultancy or advice with regard to the management system.

Annex / Enclosures

Annex /
corresponding audit documentation

- P&C Audit Report / Checklist(s)
- Audit Plan
- Additional annexes, number