

MSPO CERTIFICATION SUMMARY REPORT

AUMKAR PLANTATIONS SDN BHD (AUMKAR ESTATES)

STAGE 2 – CERTIFICATION AUDIT

TUV NORD (Malaysia) Sdn Bhd
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Date: 14/08/2019

MSPO Certification Summary Report

Company Name: Aumkar Plantations Sdn Bhd
Certifying Unit: Aumkar Plantations
Client Number: 92-088
Audit Type: Stage 2 - Certification Audit



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Abbreviations

CHRA	Chemical Health Risk Assessment
CSR	Corporate Social Responsibility
DOE	Department of Environment
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
FFB	Fresh Fruit Bunch
GAP	Good Agricultural Practice
GPS	Global Positioning System
ISO	International Standard Organisation
MSPO	Malaysia Sustainable Palm Oil
NC	Non Conformity
OSH	Occupational Safety and Health
P&C	Principle and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RTE	Rare, Threatened and Endangered Species
SA8000	Social Accountability 8000
SIA	Social Impact Assessment
SOP	Standard Operating Procedure
WHO	World Health Organization
MPOB	Malaysian Palm Oil Board
MPOCC	Malaysia Palm Oil Certification Council
SDS	Safety Data Sheet

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1. INTRODUCTION

Aumkar Plantations Sdn Bhd has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct a certification audit for its oil palm estates according to MS 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organised Smallholders.

1.1. Objective

The objective of this certification audit is to assess the Aumkar estates by an independent certification body with the aim for compliance of the standards.

1.2. Scope

The certification is based on the documentation established by the group office and estates.

The supporting documents were provided to the audit team as well as information received by means of interviews and background investigation.

The documents and information were reviewed against the requirements and criteria based on MSPO 2530-3:2013 Part 3 General principles for Oil Palm Plantation and Organised Smallholders.

TUV NORD Malaysia has employed a risk-based approach in the audit, focusing on the identification of significant risks and reliability of the assessment and reporting.

In addition, the following reference was used as part of the assessment; the compliance of the requirements out of the guidelines applied was checked.

1. Malaysian Sustainable Palm Oil Part 3: General Principles for Oil Palm Plantation and Organised Smallholders audit guidance;

1.3. Appointment and qualification of team members

A certification team consisting of one team leader and two team members and one trainee are appointed. The onsite team members contributed to the review of documents, the assessment of the project activity and preparation of this report.

Qualification of the Lead Auditor (Trainee): Ariff Bin Lokman

Requirement	Qualifications
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or	Graduate in Plantation Industry Management.

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Requirement	Qualifications
vii) Other relevant related fields	
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	7 years working experience in plantation management
Successfully completed MS 2530 series of standards training	Successfully completed MSPO Lead auditor training course.
Conducted at least three (3) MSPO or equivalent sustainability certification audits as Lead Auditor-in-training with a minimum of fifteen (15) man-days under the supervision of a qualified Lead Auditor for MSPO or equivalent sustainability certification schemes within the last two (2) years.	Qualified as auditor based on audit log. Trainee lead auditor for (4) assessment.
A good knowledge in handling and evaluating sources of information and data. Able to communicate in Bahasa Malaysia or any other local language.	Able to communicate in Bahasa Malaysia and English.
Field working experience in the palm oil sector, or demonstrable equivalent	7 years working experience in plantation management.
Good Agricultural Practices (GAP), and Integrated Pest Management (IPM), pesticide and fertilizer use	7 years working experience in plantation management.
Health and safety auditing on the farm and in processing facilities, for example ISO 45001 18001 or Occupational, Health & Safety Assurance System	Successfully completed IMS 9001, 14001 & 45001
Worker welfare issues and social auditing experience, for example with SA8000 or related social or ethical accountability codes	Successfully complete SA8000 Basic Training.
Environmental and ecological auditing, for example experience with organic agriculture, ISO 14001 or Environmental Management Systems (EMS) or High Conservation Value (HCV)	Successfully completed IMS 9001, 14001 & 45001.

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Qualification of Team Members

Requirement	Assessor	Qualification	Compliance
Post-secondary education, college or university diploma / degree in one of the following i) Agriculture; ii) Science & Technology (e.g. Environmental Sciences, Life Sciences, Geological Sciences, Natural Sciences,); iii) Engineering, Process Technology; iv) Energy Management, Quality Management; v) Social Sciences and/or Anthropology; vi) Business Management; or vii) Other relevant related fields	Mohamad Norhisham Bin Mohd Salleh	Graduate in Applied Science (Major In Agrobiolgy).	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Graduate in Plantation Industry Management.	
	Khairul Anwar Bin Ismail (Trainee auditor)	Graduate in Agricultural Science	
At least five (5) years of work experience in the oil palm sector or related field in consultancy / auditing of similar industry or equivalent related to and as necessary for the certification process. (e.g., sustainability, palm oil management; agriculture, ecology; social science)	Mohamad Norhisham Bin Mohd Salleh	5 years working experience in palm oil estates.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	7 years working experience in palm oil estates.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	5 years working experience in oil palm plantation.	
Successfully completed MS 2530 series of standards training	Mohamad Norhisham Bin Mohd Salleh	Successfully completed MS2530 series training.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed MSPO Lead auditor training course.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	Successfully completed MSPO Lead auditor training course.	
Conducted a minimum six (6) on-site audits for a total of at least 20 man-days of audit experience as an auditor-in-training under the direction and guidance of a qualified Lead	Mohamad Norhisham Bin Mohd Salleh	Qualified as lead auditor for MSPO scheme base on audit log.	Yes
	Muhammad Khairul Anuar Bin Azizul Hasan	Qualified as lead auditor for MSPO scheme base on audit log.	

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Requirement	Assessor	Qualification	Compliance
Auditor for MSPO or equivalent sustainability certification schemes.	Khairul Anwar Bin Ismail (Trainee Auditor)	Auditor in training	
A good knowledge in handling and evaluating sources of information and data. Able to communicate in Bahasa Malaysia or any other local language.	Mohamad Norhisham Bin Mohd Salleh	Able to communicate in Bahasa Malaysia and English.	Yes
	Muhammad Khairul Anwar Bin Azizul Hasan	Able to communicate in Bahasa Malaysia and English.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	Able to communicate in Bahasa Malaysia and English.	
Field working experience in the palm oil sector, or demonstrable equivalent	Mohamad Norhisham Bin Mohd Salleh	5 years working experience in palm oil estates.	Yes
	Muhammad Khairul Anwar Bin Azizul Hasan	7 years working experience in palm oil estates.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	5 years working experience in palm oil estates.	
Good Agricultural Practices (GAP), and Integrated Pest Management (IPM), pesticide and fertilizer use	Mohamad Norhisham Bin Mohd Salleh	5 years working experience in palm oil estates.	
	Muhammad Khairul Anwar Bin Azizul Hasan	7 years working experience in palm oil estates.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	5 years working experience in palm oil estates.	
Health and safety auditing on the farm and in processing facilities, for example ISO 45001 or Occupational, Health & Safety Assurance System	Mohamad Norhisham Bin Mohd Salleh	Auditor for RSPO and MSPO scheme. Successfully completed ISO 45001:2018 Lead Auditor training	
	Muhammad Khairul Anwar Bin Azizul Hasan	Successfully completed IMS 9001, 14001 & 45001	
	Khairul Anwar Bin Ismail	Successfully completed IMS 9001, 14001 & 45001	

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Requirement	Assessor	Qualification	Compliance
	(Trainee Auditor)		
Worker welfare issues and social auditing experience, for example with SA8000 or related social or ethical accountability codes	Mohamad Norhisham Bin Mohd Salleh	Successfully completed SA 8000 Basic training and auditor for RSPO and MSPO schemes	
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully complete SA8000 Basic Training.	
Environmental and ecological auditing, for example experience with organic agriculture, ISO 14001 or Environmental Management Systems (EMS) or High Conservation Value (HCV)	Mohamad Norhisham Bin Mohd Salleh	Successfully completed ISO 14001 LA course and auditor for RSPO and MSPO scheme.	
	Muhammad Khairul Anuar Bin Azizul Hasan	Successfully completed IMS 9001, 14001 & 45001.	
	Khairul Anwar Bin Ismail (Trainee Auditor)	Successfully completed IMS 9001, 14001 & 45001.	

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2. METHODOLOGY

The audit approach consists of the following steps:

- Contract review;
- Appointment of team members and technical reviewer;
- Contact client for relevant documentation according to the applicable MSPO standards;
- Audit planning;
- Background investigation, desk review of submitted documents;
- On-Site assessment, inspections, interviews with operational personnel, stakeholders and its contractors; review of documentation;
- On-site reporting
- Resolution of non-conformance (NC) (if any)
- Draft audit reporting
- Technical review
- Final audit reporting
- Peer review
- Address Peer Review Comments (if any)
- Final approval and issuance of certificate.

The certification audit is conducted in 2 stages in accordance to the certification procedure.

Certification Audit Stage 2

Certification audit stage 2 is conducted on 13/05/2019 to 15/05/2019 covers the following activities but not limited to below:

- Onsite visit, observations and inspections of estate facilities and field activities;
- Interview operation personnel and field workers for understanding for the work assigned;
- Reviewed revised and updated documentation established and implemented;
- Operating records;
- Training records;
- Reports established;
- Work plans established;
- Stakeholders consultation meeting;
- Review and closed out of non-conformance raised during stage 1 audit;

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- Assessment reporting;

For list of all reviewed documents refer to chapter 7, Table 7-1.

On-site Assessment

The audit of the estates is conducted according to the MS 2530-3:2013 Part 3 for Plantation and Organised Smallholders.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of stakeholders, communities, staff, workers and their families, review of documentation and data. Checklists and questionnaires are used to guide the collection of information. The comments made by external stakeholders are taken into account in the assessment.

For the stage 2, the selected estates is based on the formula $S = 0.8\sqrt{n}$ where S = sample size and n = number of estates which is in accordance to MSPO certification procedure.

Based on the formula, the sample size for the estate $S = \sqrt{9} = 3$, therefore round up to the next integral of 3 estates. Thus, 3 estates are selected for the onsite assessment and inspection as listed in Table 2-1 below:

Table 2-1: List of Estates Selected

Name of Estate	Coordinates
Tingkayu Estate	4°75'11.54 N / 117°87'02.14 E
Bandau Estate	4°75'11.54 N / 117°87'02.14 E
Aumsawit Estate	4°76'02.08 N / 117°91'04 E

Non-conformance:

On the basis of the desk review, evidences presented during the audits as well as from the onsite visits non-conformance (NC) Major or Minor as well as Opportunity for Improvement (OFI) may be raised during the audit.

Major non-conformance shall be addressed and responded with 60 days from closing date of audit. For minor non-conformances raised and action plan to be submitted within 30 days from closing date of audit for review and acceptance. Implementation of Minor NC will be review and verify in the next audit.

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3. ORGANISATION INFORMATION

Aumkar Plantations Sdn Bhd is an oil palm plantation company located at Kunak, Sabah, Malaysia. There are 9 estates owned by Aumkar Plantations Sdn Bhd.

The details of the 9 estates as below:

Name of Estate	Location	Coordinates
Tingkeyu Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°75'11.54 N / 117°87'02.14 E
Bandau Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°75'11.54 N / 117°87'02.14 E
Aumsubha Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°78'77.8 N / 117°91'19.40 E
Sg. Tingkeyu Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°76'02.08 N / 117°91'04.00 E
Aumsawit Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°79'50.75 N / 117°94'64.17 E
Aumexpress Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°66'82.75 N / 117°79'78.22 E
Aumpalm Estate	KM 33 Jalan Kunak – Lahad Datu Highway, 91207 Kunak Sabah	4°85'77.87 N / 118°01'49.63 E
Sapang & Lahunai Estate	Jalan Kg. Gading – Gading Kunak, Semporna Highway, 91300 Sabah.	4°62'05.98 N / 118°35'36.88 E
Kalumpang Estate	Batu 40, Jalan Tawau - Semporna, 91207 SABAH.	4°42'86.88 N / 118°20'64.09 E

3.1. Production volume

Name of Estate	Area (Ha)		Projected FFB Production (mt) (JAN 2019 to DEC 2019)
	Certified Area*	Planted Area**	
Tingkeyu Estate	1,730.66	1,538.56	33,500
Bandau Estate	472.60	376.67	11,000
Aumsubha Estate	393.70	332.96	6,700
Sg Tingkeyu Estate	556.50	496.90	11,500
Aumsawit Estate	1,566.45	1,472.61	36,000
Aumexpress Estate	360.20	344.00	8,500
Aumpalm Estate	714.70	635.99	16,000

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Sapang & Lahunai Estate	1,141.10	956.83	21,500
Kalumpang Estate	607.43	598.81	16,000
Total Ha & FFB	7,543.34	6,753.33	160,700

*includes productive and non-productive area (infrastructures, conservation, HCV, housing & office compound use, set aside area etc.)

**Immature + Mature Area

3.2. Planting Program for Each Estate

Year (Ha) / estate	TINGKAYU	BANDAU	AUMSUBHA	SUNGAI TINGKAYU	AUMSAWIT	AUMEXPRESS	AUMPALM	SAPANG & LAHUNAI	KALUMPANG
1993	-	-	-	-	-	-	-	59.32	-
1994	-	-	-	-	-	-	-	17.40	-
1995	-	-	-	-	-	83.02	-	166.08	102.20
1996	647.11	-	-	-	-	-	17.65	90.04	-
1997	352.46	-	324.70	79.30	22.92	-	49.01	18.10	-
1998	-	-	-	-	-	-	8.44	437.92	-
1999	-	46.20	-	-	-	-	66.66	-	-
2000	77.24	-	-	-	49.02	-	-	-	-
2001	22.08	-	-	64.90	90.35	-	160.27	-	-
2002	31.49	-	-	28.10	-	-	-	2.94	-
2003	69.58	-	-	72.80	158.65	-	-	-	-
2004	7.94	-	-	82.40	76.49	101.95	-	27.61	-
2005	108.54	25.40	-	43.80	674.54	82.11	332.24	-	-
2006	92.9	305.07	-	35.20	294.02	-	-	14.21	-
2007	-	-	-	-	67.10	-	-	-	-
2008	67.85	-	-	-	-	76.92	-	-	-
2009	48.00	-	-	-	39.52	-	-	-	192.32
2010	-	-	-	-	-	-	-	43.13	-
2011	-	-	-	-	-	-	-	14.18	-
2014	-	-	-	-	-	-	-	-	244.29
2015	13.37	-	-	-	-	-	1.72	-	-
2016	-	-	-	90.40	-	-	-	41.60	60.00
2017	-	-	8.26	-	-	-	-	24.30	-

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Total Mature (Ha)	1525.19	376.67	324.70	496.90	1472.61	344.00	634.27	956.83	598.81
Total Immature as at 2019(Ha)	13.37	-	8.26	-	-	-	1.72	166.9	-
Total Oil Palm	181520	45414	40060	58655	179954	40984	74290	62040 & 54509	77035

3.3. Replanting program for each estate

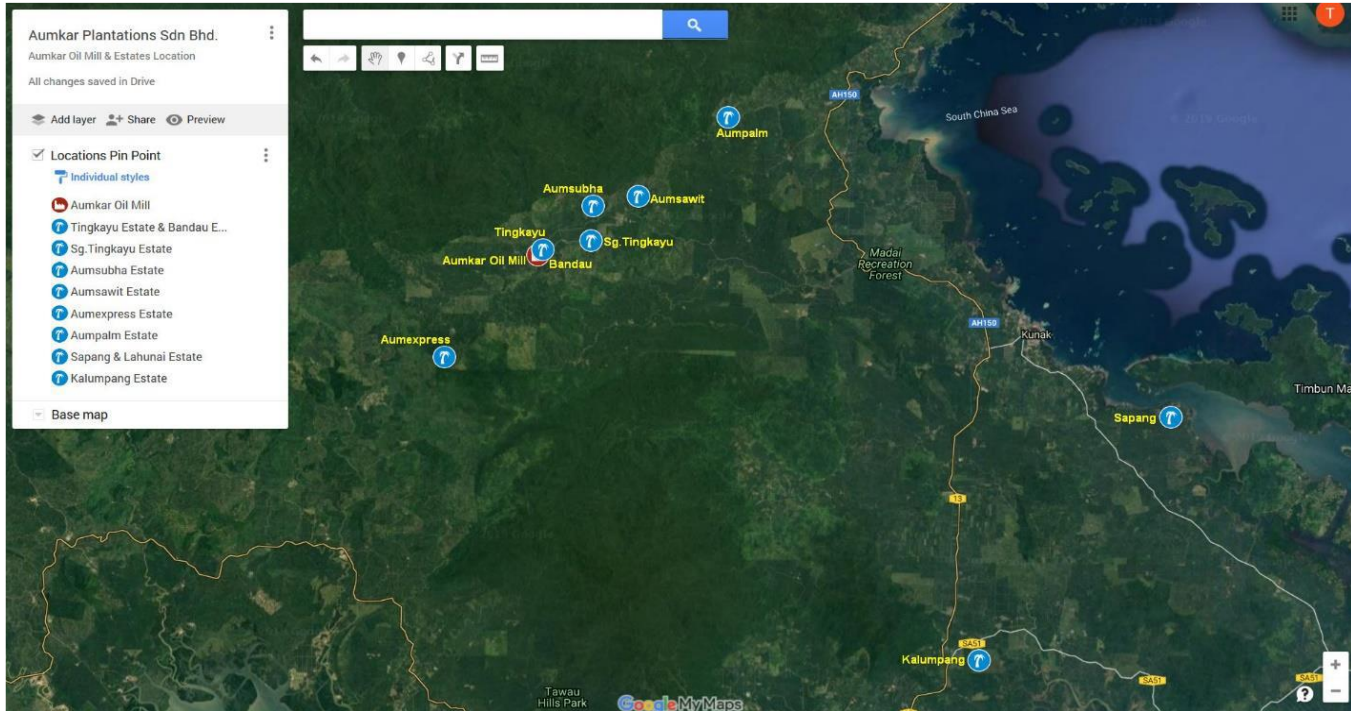
Year of replanting	Planted area (ha) in each estate									Total area to be replanted (ha)
	TINGKAYU	BANDAU	AUMSUBHA	SUNGGAI TINGKAYU	AUMSAWIT	AUMEX PRESS	AUMPAL M	SAPANG & LAHUNAI	KALUMPANG	
2019	-	-	-	-	-	-	-	59.32	-	59.32
2020	-	-	-	-	-	-	-	17.40	-	17.40
2021	-	-	-	-	-	83.02	-	166.08	102.20	351.30
2022	647.11	-	-	-	-	-	17.65	90.04	-	754.80
2023	352.46	-	324.70	79.30	22.92	-	49.01	18.10	-	846.49
TOTAL	999.46	-	324.70	79.30	22.92	83.02	66.66	350.94	102.20	428.23

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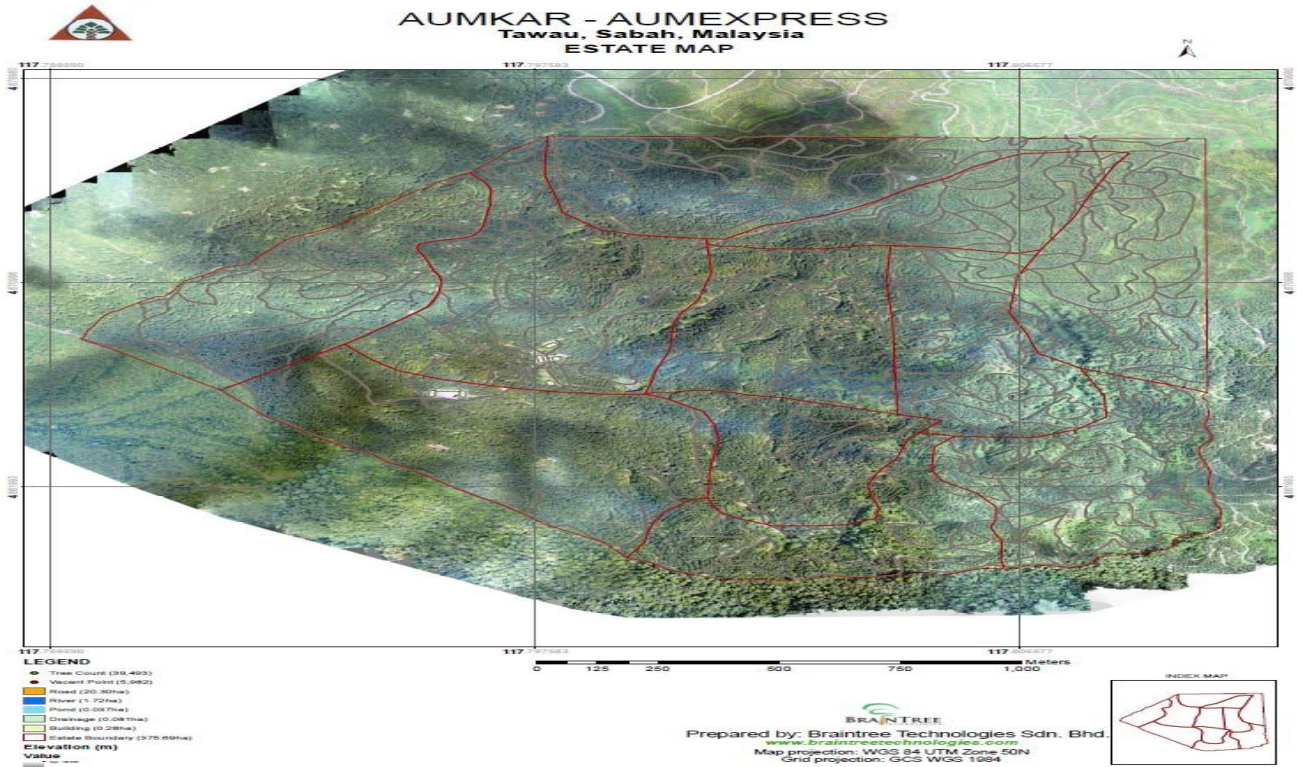
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3.4. Maps of Aumkar Plantations Sdn Bhd.



3.5.



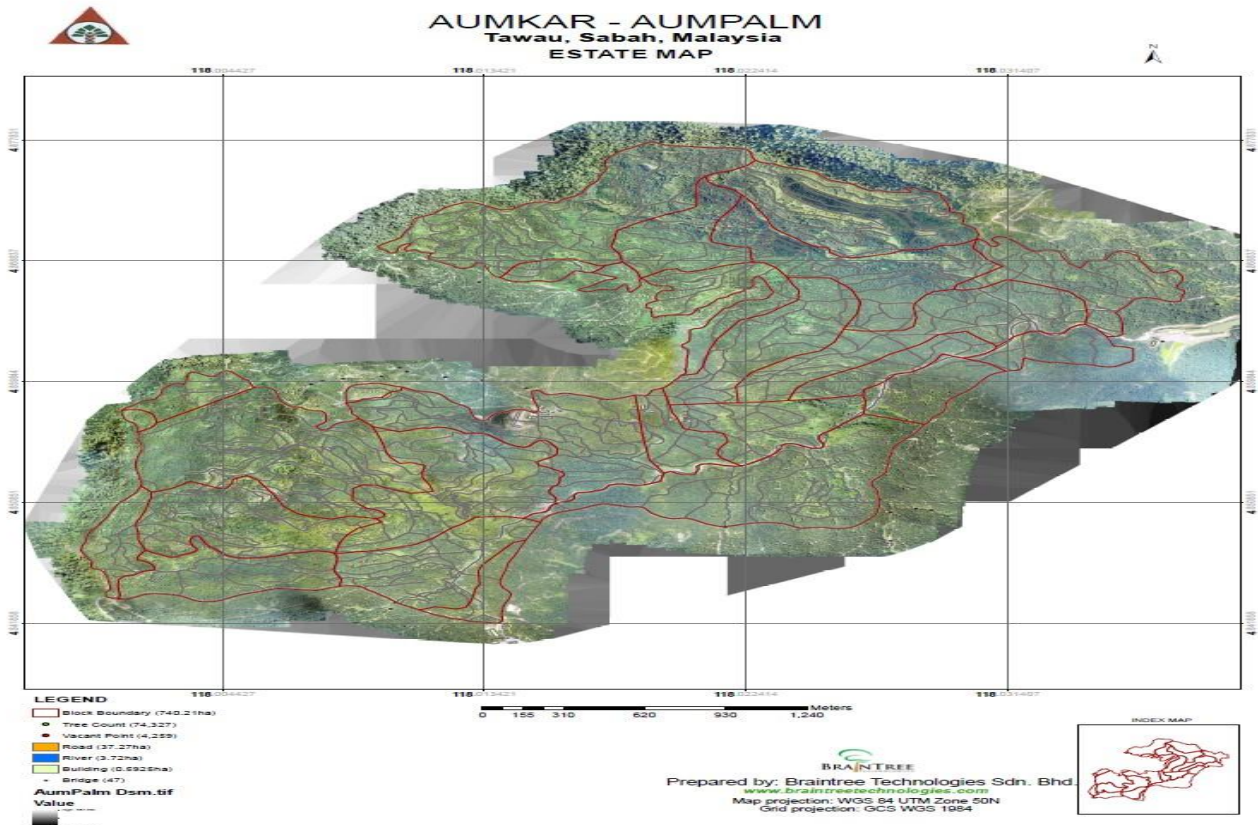
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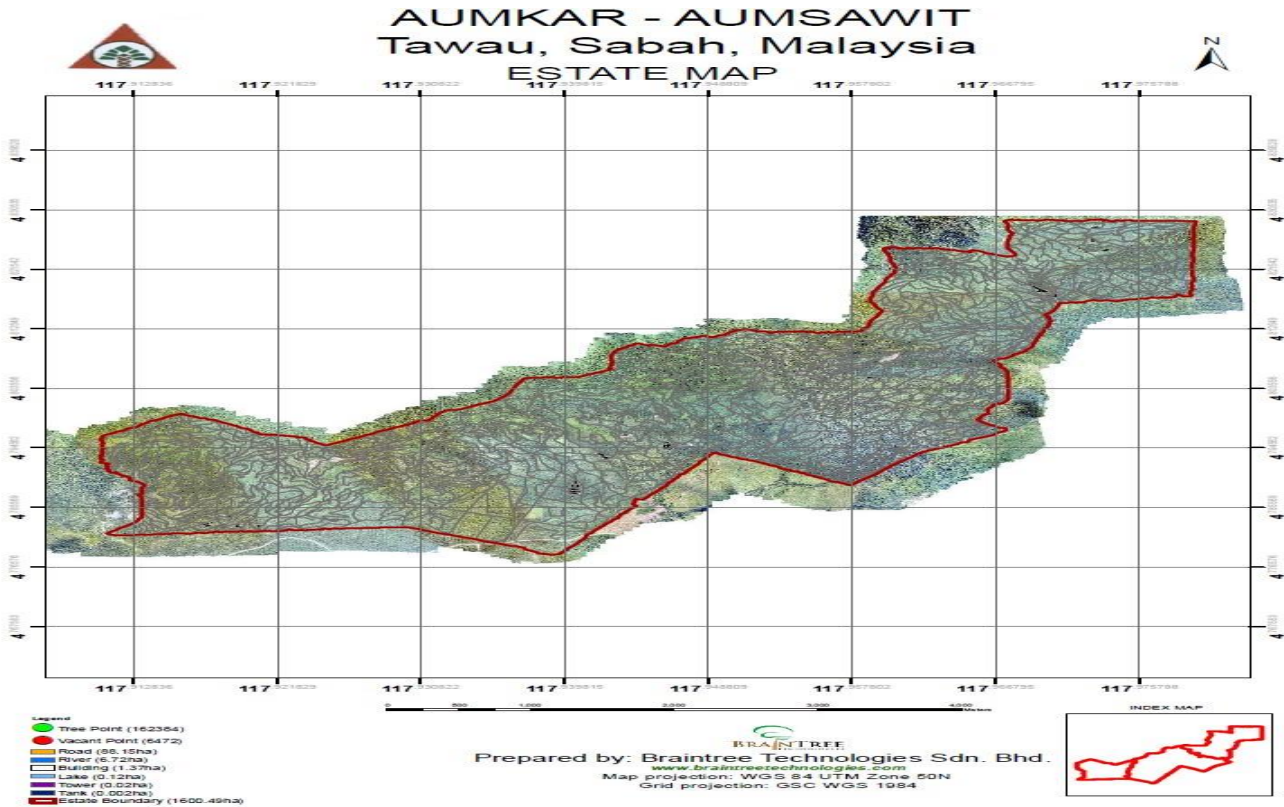
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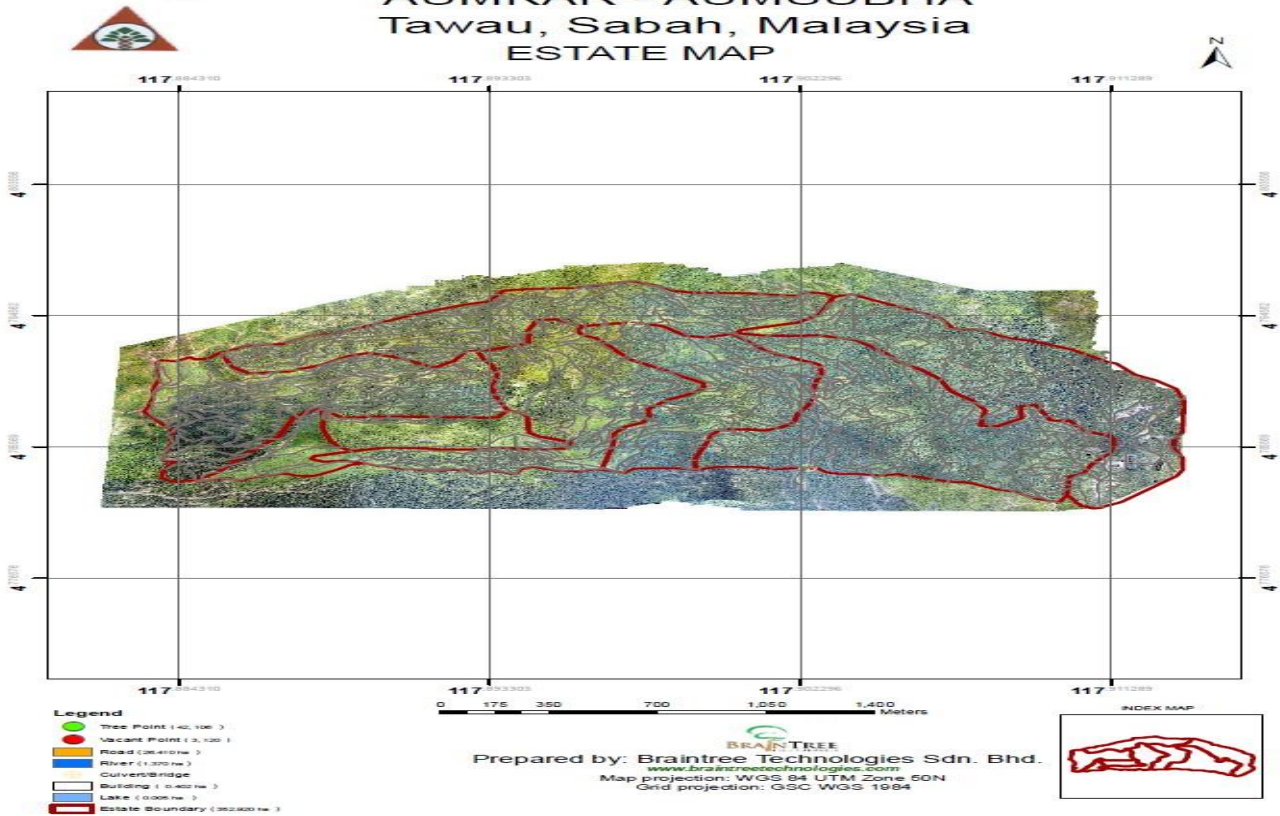
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AUMKAR - AUMSUBHA Tawau, Sabah, Malaysia ESTATE MAP



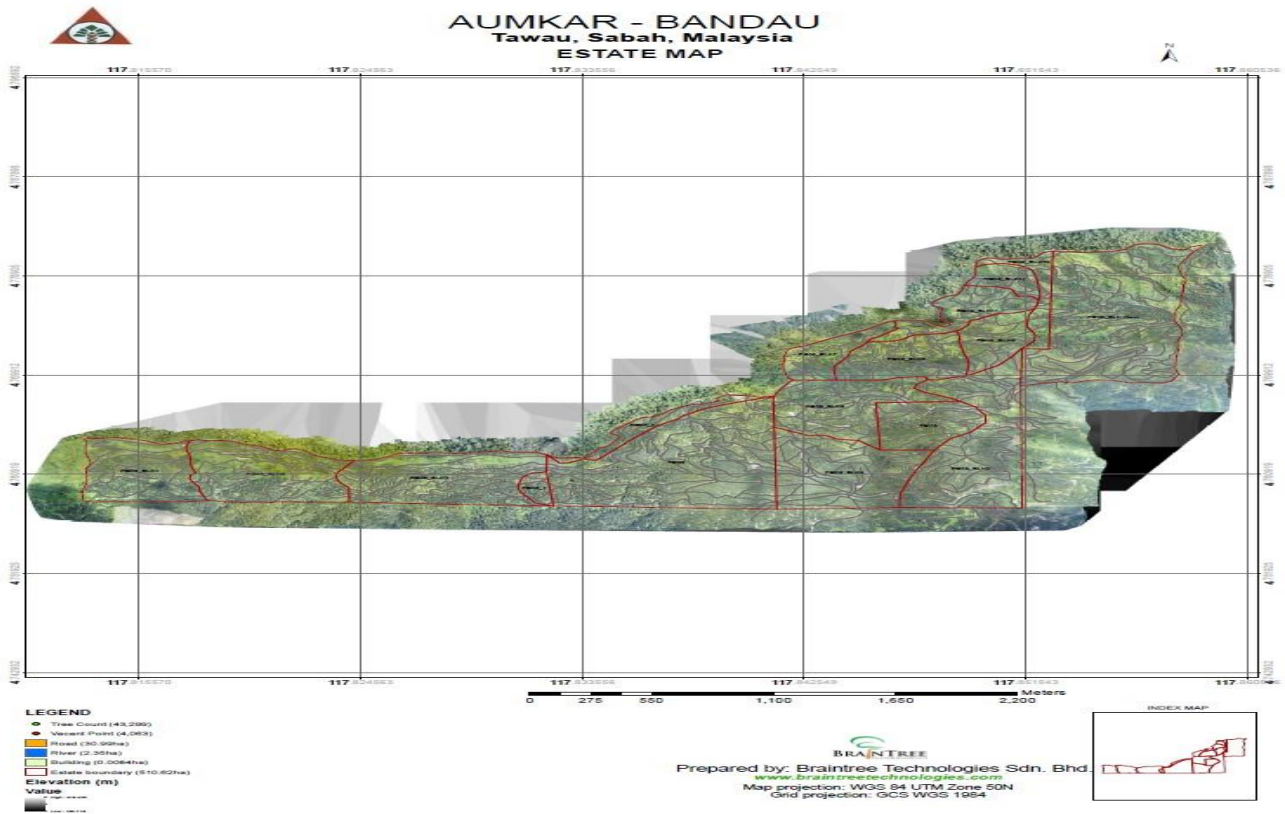
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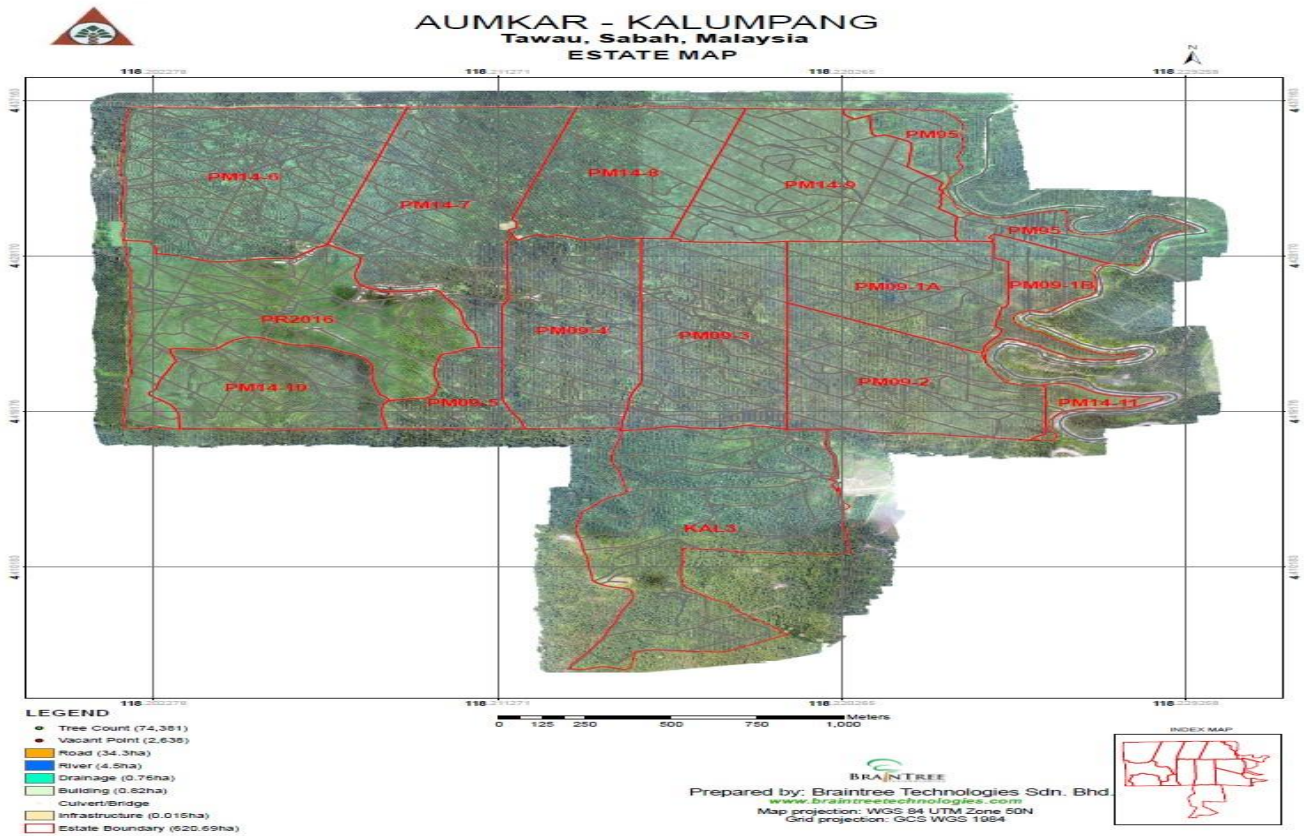
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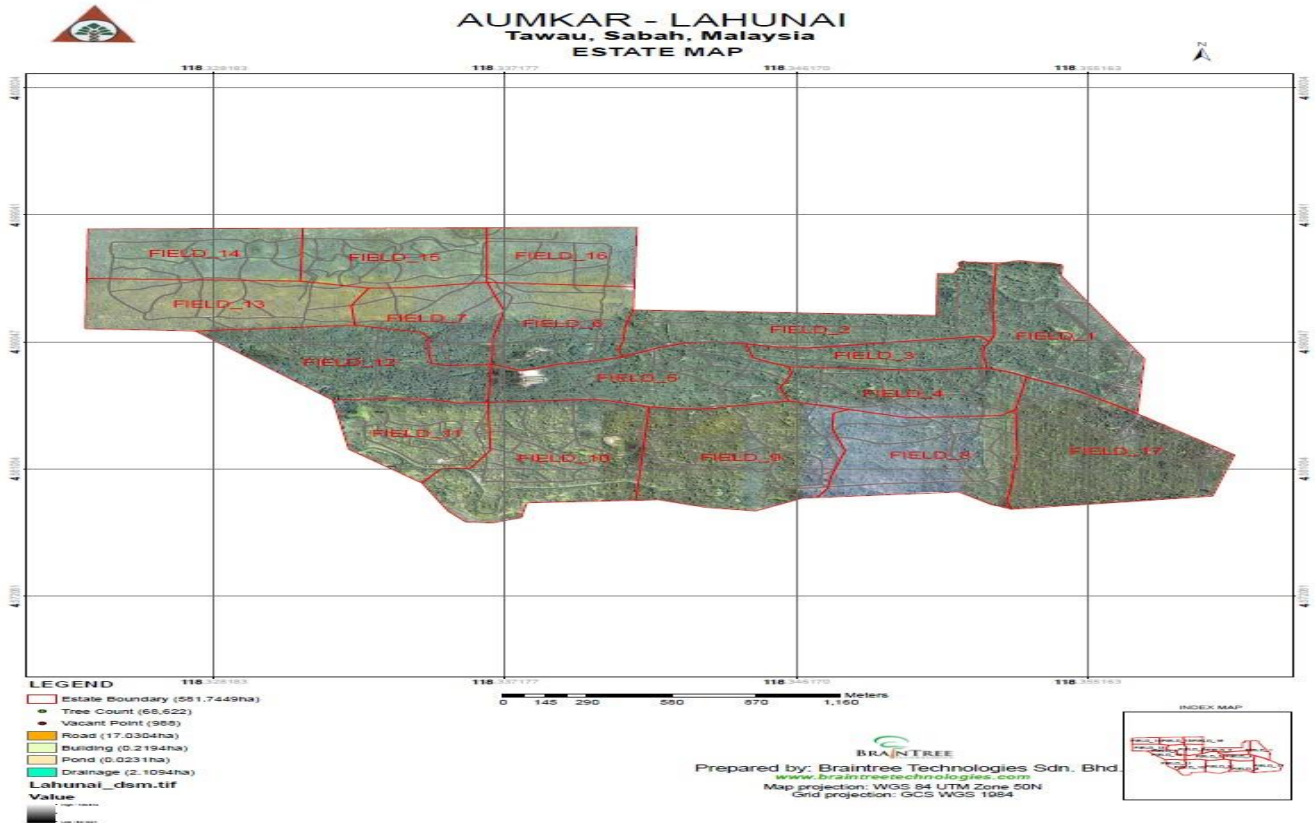
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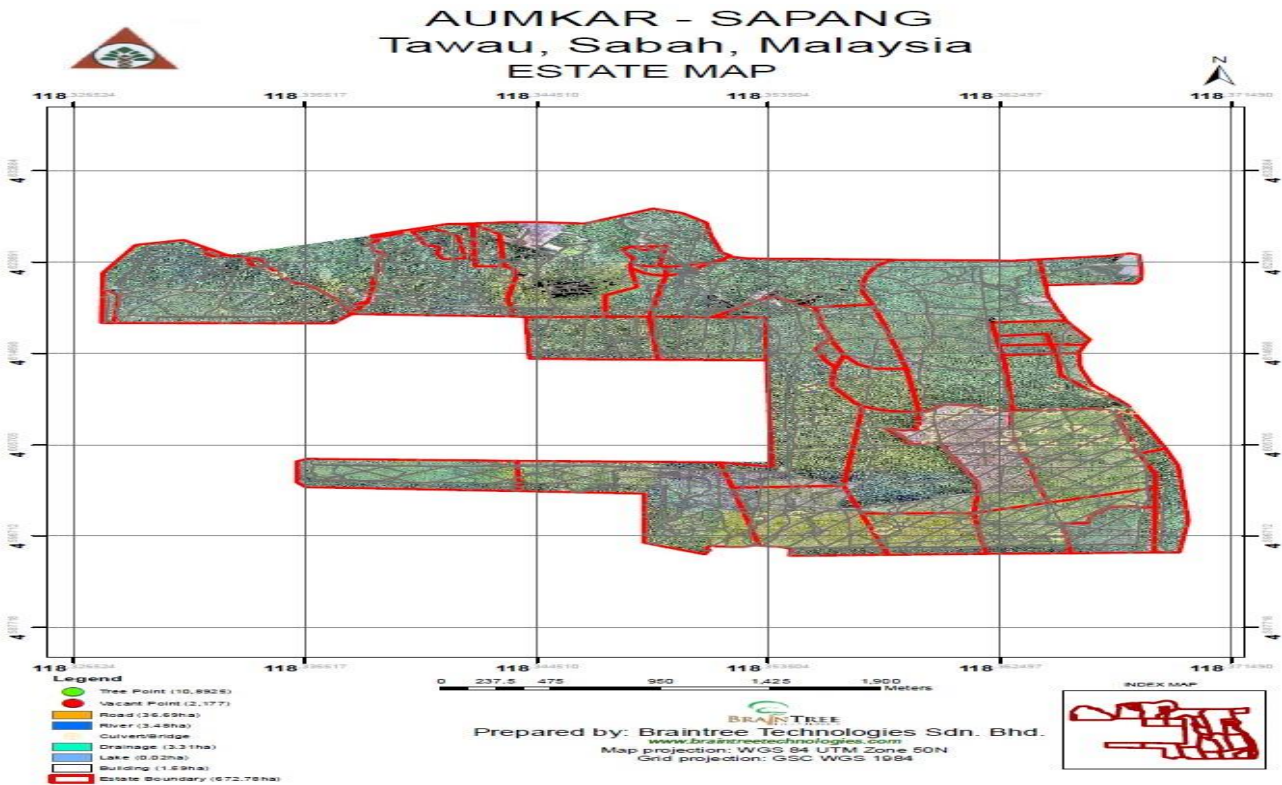
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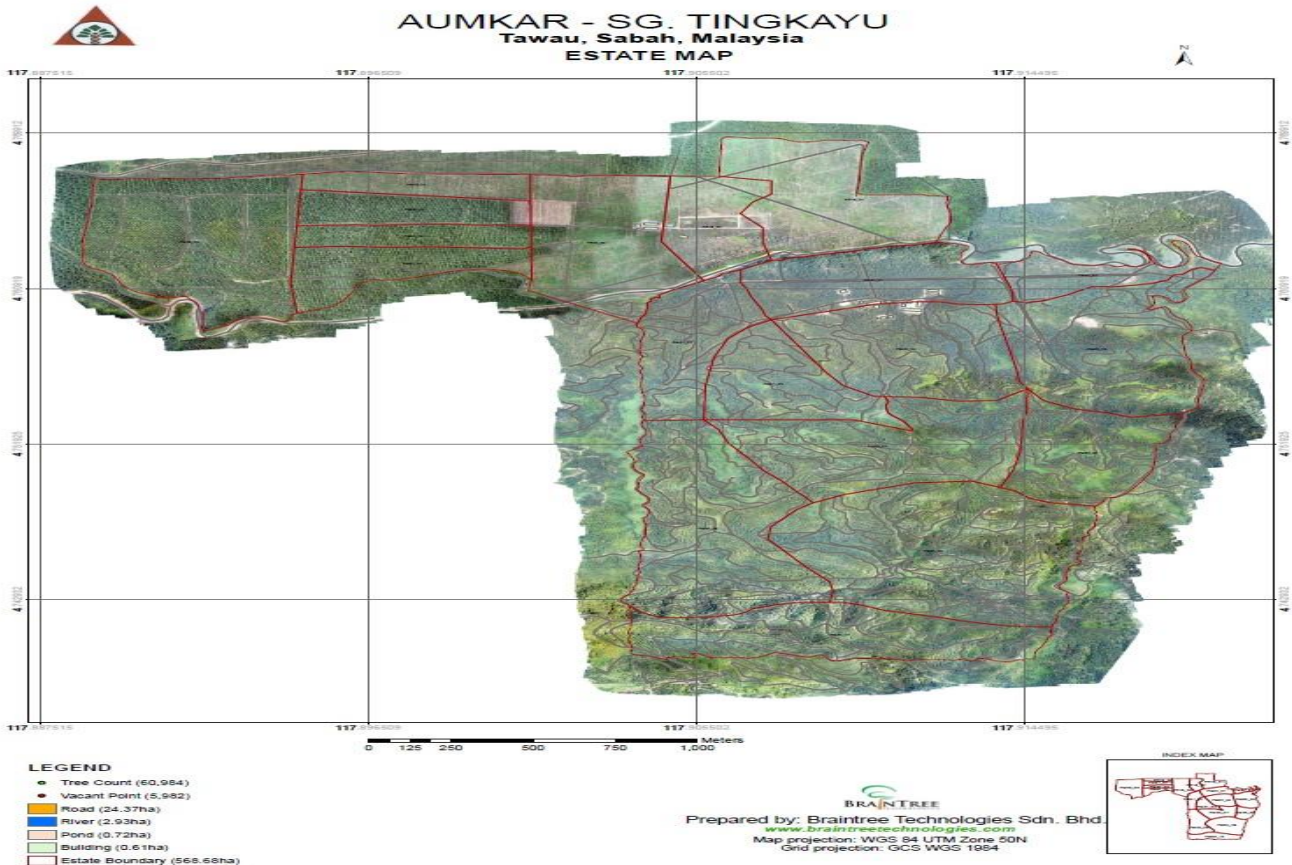
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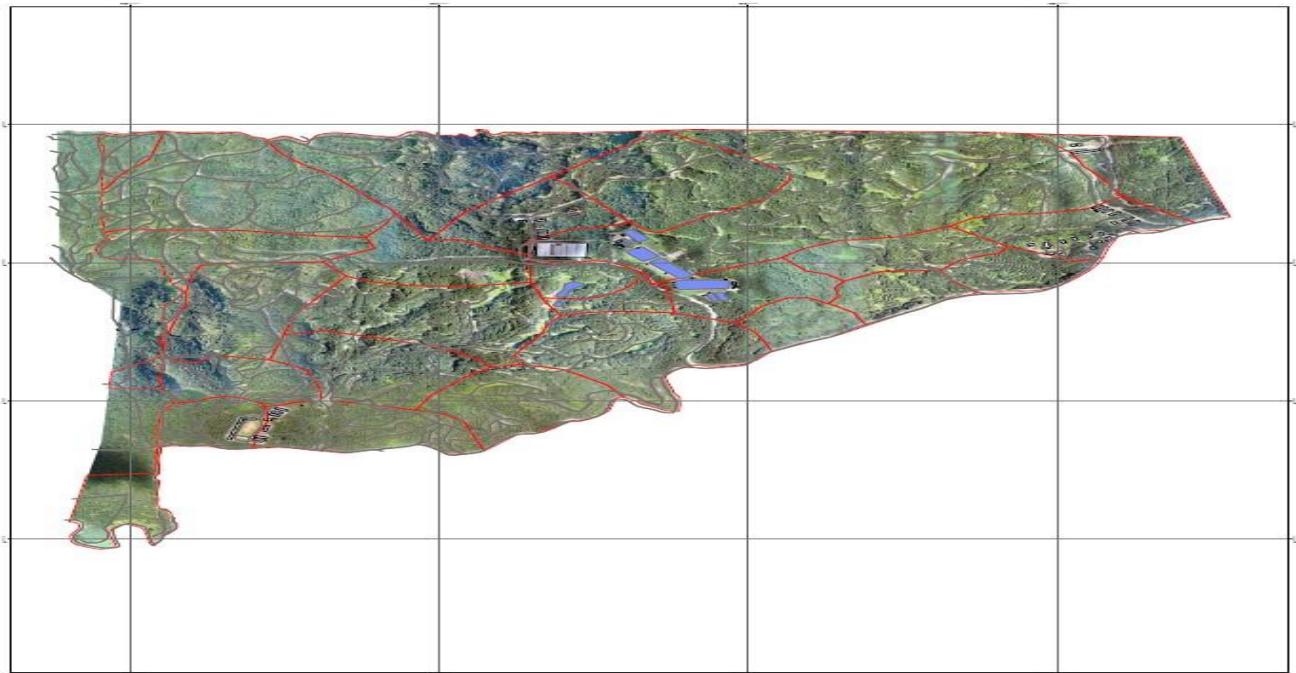
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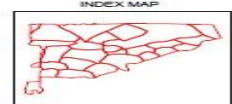
AUMKAR - TINGKAYU 1 Tawau, Sabah, Malaysia ESTATE MAP



- Legend**
- Tree Point (60,482)
 - Vacant Point (1,094)
 - Road (21,51ha)
 - River (1,13ha)
 - Building (3,54ha)
 - Culvert/Bridge
 - Pond (3,83ha)
 - Estate Boundary (645,15ha)

0 205 410 820 1,230 1,640 Meters

Prepared by: **Braintree Technologies Sdn. Bhd.**
www.braintreetechnologies.com
Map projection: WGS 84 UTM Zone 50N
Grid projection: GSC WGS 1984



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4. CERTIFICATION ASSESSMENT

4.1. Stage 2 Certification audit

The objective of the audit is to assess the activities of the estates are in compliance with MS 2530-3:2013 Part 3 General principles for Plantations and Organised Smallholders.

4.1.1. Stage 1 – Certification audit

The objective of the stage 1 audit is to assess the readiness of the documentation established and implemented in accordance to MSPO 2530-3:2013 Part 3 General principles for Plantations and Organised Smallholders.

According to the Certification Scheme, an initial due-diligence audit for operators which are entering into the MSPO system, and which

- do not have any certification or
- those having management system certification e.g. ISO, COP.

These organization shall undergo both Stage I and II audit

Aumkar Plantations Sdn Bhd do not has any certification, therefore, has to undergo Stage I audit.

The audit team has apply the Malaysian Sustainable Palm Oil Part 3: General principles for oil palm plantations and organised smallholders audit guidance to assess the established documents against the Principles and Criteria of the standard.

The Stage I audit is conducted on 16/01/2019 covering the following activities:

- Onsite interviews and inspections;
- Review of documentation for compliance to relevant P & C requirements for plantation and mill;
- Reporting of issues raise during Stage I audit;

4.1.2. Stage 2 – Certification audit:

The Stage 2 certification audit is conducted on 13/05/2019 to 15/05/2019 covering 3 selected estates – Tingkayu estate, Bandau estate and Sungai Tingkayu Estate.

During the Stage 2 certification audit, there are three Opportunity For Improvement findings are raised. .

For details of the assessment, refer summary of assessment for each indicator in section 4.3 of this report.

The number of audit findings raised in this audit refer to Section 4.5 of this audit report.

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4.2. Stakeholders' Consultation

TUV NORD (Malaysia) Sdn. Bhd. has published the public notification on 05/04/2019 as to accommodate stakeholder's consultation meeting for Aumkar Estate to provide comments. As at audit date on 13/05/2019 there are no comments received.

Invitation letters are sent on 05/04/2019 to invite relevant stakeholders to attend a local stakeholders' consultation on 13/05/2019 to gather information from the local communities in accordance to §7, 3.2 of the Certification Procedure requirements.

The topics of discussion are as below:

1. Introduction of MSPO certification.
2. Development of oil palm plantations
3. Community service and support provided
4. Wildlife management and wildlife corridor
5. Type of wildlife sighted at the plantations and wildlife corridor.
6. Local communities' development.

The following relevant Principles & Criteria of the applied standard are discussed during the stakeholders' consultation:

1. Principle 2 Criteria 2 Indicator 1: Consultation and Communication with stakeholders:
The stakeholders could confirm they have attended meetings with the company on MSPO certification.
2. Principle 4 Criteria 2 Indicator 3 & 4: A complaint form should be made available at the premises, where employees and affected stakeholders can make a complaint
The stakeholders' advice they are informed a logbook and form is available at the office to lodge any complaints or suggestions.
3. Principle 4 Criteria 3 Indicator 1: Contribute to local development in consultation with the local communities.
The local communities could confirm the company has provide assistance and support to communities.
4. Principle 6 Criteria 4 Indicator 1: Where contractors are engaged, they shall understand the MSPO requirements and shall provide the required documentation and information
The contractors who provides maintenance and service could confirm they are briefing on MSPO requirements.

There are no issues raised during the stakeholder's consultation in terms of tenure and/or use rights, social or environmental aspects of management and operations that need to be addressed by the certified unit. It can be concluded the relevant Principles and Criteria clauses of the applied standard are in compliance.

The list of stakeholders who attended the meeting refer to Table 7-1

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Items	Subject discussed	Audit team findings	Company response and proposed action to be taken
1	Any information from Company as regards to the MSPOO audit?	Stakeholders attended the meeting are aware that Aumkar Plantations Sdn Bhd is in process of MSPO certification.	Positive comments towards Aumkar Plantations Sdn Bhd management.
2	Social issues	There are no social issues brought up or responded by stakeholders in the stakeholders meeting or other means of communication.	Positive comments towards Aumkar Plantations Sdn Bhd management.
3	Type of land title – Country Leased, Provisional Leased, Native title	Land titles of Aumkar Plantations Sdn Bhd are Country Leased. There is no issue raised by the stakeholders on land titles.	Positive comments towards Aumkar Plantations Sdn Bhd management.
4	Economy / livelihood Is there any impact on livelihood after the introduction of MSPO?	Aumkar Plantations Sdn Bhd is in the early stage of MSPO certification. Stakeholders are positive that the management are improving along with the MSPO commitment.	Positive comments towards Aumkar Plantations Sdn Bhd management.
5	Does MSPO largely benefits the local community?	Local community is aware that Aumkar Plantations Sdn Bhd provide them benefits in terms of jobs and operate shops within the estates compound.	Positive comments towards Aumkar Plantations Sdn Bhd management.
6	Environmental understanding	They are awareness of nature preservation and environment. Stakeholders do understand and aware that company has involve in conserving the nature example: planting trees at the riparian areas.	Positive comments towards Aumkar Plantations Sdn Bhd management.
7	Awareness towards species, habitats, and high conservation values	There are awareness by Stakeholders in conservation of species, and preservation of natural areas.	Positive comments towards Aumkar Plantations Sdn Bhd management.
8	Support to smallholders	Company is supportive to the smallholders such as job opportunities. There are no issue regarding the smallholders.	Positive comments towards Aumkar Plantations Sdn Bhd management.
9	Are there any plantation management practices that affect you?	Stakeholders confirmed that Aumkar Plantations Sdn Bhd	Positive comments towards Aumkar Plantations Sdn Bhd management.

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Items	Subject discussed	Audit team findings	Company response and proposed action to be taken
		activities do not cause any negative impact to them.	
10	Do you consider any management is in conflict with the MSPO principles and criteria?	Stakeholders are confident that the Aumkar Plantations Sdn Bhd has taken actions and efforts to meets the MSPO requirements.	Positive comments towards Aumkar Plantations Sdn Bhd management.
11	Do you have any suggestions for management?	Stakeholders are satisfied with the management. No suggestion made by any of attended stakeholders..	Positive comments towards Aumkar Plantations Sdn Bhd management.

4.3. Summary of Assessment

MSPO Principle and sample of the Criteria Assessment Summary

The assessment team conduct a thorough assessment of each principle and criteria. Over the 5 years' period of the certificate cycle, there will be 4 annual surveillance audits with all criterions will be assessed. Evidences are sought for conformity with the MSPO 2530-3. The summary of the assessment as below, where the "Findings/Comments" column reflects the findings in accordance with each criteria and indicator or evidences and when non conformity is found. Summary of the non-conformity can be found below

Principle 1: Management Commitment & responsibility

Indicator	Summary of Assessment	Compliance
4.1.1.1	Aumkar Plantations Sdn Bhd established MSPO policy dated 02/07/2018 signed by plantation director. The policy describes company responsibility and commitment to sustainable development and continuous improvement.	Yes
4.1.1.2	MSPO Policy dated 02/07/2018 signed by plantation director states: <ul style="list-style-type: none"> Our group is committed to sustainable growth and continuous improvement in plantations and mill operations through MSPO. 	Yes
4.1.2.1	Internal audit plan for year 2019 is established. Internal audit for estates conducted on December 2018.. Example latest internal audit conducted at Tingkayu Estate on 11/12/2018.	Yes
4.1.2.2	Aumkar Plantations Sdn Bhd established Internal audit Procedure. The SOP describes: <ol style="list-style-type: none"> Objective of audit to analyze any conformity and problems occurs in the plantations. Classification of audit: 	Yes

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Principle 1: Management Commitment & responsibility		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> • Major: Management need to respond within 3 months of audit. • Minor: Management need to respond within one month of audit. <p>3. Internal audit will be conducting once a year and monitoring visit will be conduct one a month.</p> <p>Example: Internal audit conducted on 11/12/2018 (Tingkayu Estate) and 16/12/2018 (sungai Tingkayu Estate) using MSPO checklist to assess compliance.</p>	
4.1.2.3	Management review conducted on 23/12/2018. Internal audit finding and action plan included in the agenda.	Yes
4.1.3.1	<p>Latest management review conducted on 23/12/2018 includes:</p> <ol style="list-style-type: none"> 1. MSPO progress and review. 2. Actions plans. <p>Stated in the management review, action plan such as:</p> <ol style="list-style-type: none"> 1. Peparation for landfill. 2. Progress on building stores, shower room and premix areas. 	Yes
4.1.4.1	<p>Aumkar Plantations Sdn Bhd established Continuous Improvement Plan 2019 dated 20/04/2019. The CIP includes:</p> <ol style="list-style-type: none"> 1. Environment. 2. Waste reduction. 3. Social impact. 4. Health and safety issue. 5. Legal compliance. 	Yes
4.1.4.2	Aumkar Plantations Sdn Bhd has established SOP for new information technique and equipment, revision 01, dated 12/05/2019. The procedures describe on identification, implementation and monitoring on new technology.	Yes
4.1.4.3	<p>The procedures for new technology and equipment describe:</p> <p>Implementation and control:</p> <p>To train the operators or employee involved in implementation of new information, technique and equipment.</p>	Yes

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Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
4.2.1.1	<p>Aumkar Plantations Sdn Bhd establish SOP Stakeholders revision 0 dated 01/10/2018.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. Identification of stakeholders. 2. Formal community meeting. 3. Workers consultation. <p>Stakeholders meeting conducted on 15/12/2018 include all listed stakeholders.</p> <p>Stakeholders meeting conducted to obtain feedback from the surrounding communities for any social, environment or safety and health issues.</p>	Yes
4.2.1.2	<p>Aumkar Plantations Sdn Bhd establish "List of publicly available document for Aumkar Plantations Sdn Bhd dated 01/10/2018, revision no. 0</p> <p>Among the documents listed:</p> <ol style="list-style-type: none"> 1. Employee Consultative Committee records 2. List of stakeholders 3. Social Impact Assessment 4. Standard Operating Procedure 5. Land titles 	Yes
4.2.2.1	<p>SOP Stakeholders revision 0 dated 01/10/2018 is established.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. Identification of stakeholders 2. Formal community meeting 3. Workers consultation 	Yes
4.2.2.2	<p>Aumkar Plantations Sdn Bhd appoint Sustainable Palm Oil team as a person in charge for stakeholders' engagement and consultation..</p>	Yes
4.2.2.3	<p>List of Internal stakeholders dated 16/07/2018 and list of external stakeholders dated 16/07/2018.</p> <p>Stakeholders meeting conducted on 15/12/2018 include all listed stakeholders.</p> <p>List of external stakeholders includes government agencies, suppliers, NGOs, villages and neighbour estates,</p>	Yes
4.2.3.1	<p>Aumkar Plantations Sdn Bhd established SOP on traceability of crop production and delivery revision 01 dated 12/05/2019.</p>	Yes

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Principle 2: Transparency		
Indicator	Summary of Assessment	Compliance
	<p>Flow chart for FFB traceability from harvesting activity to the mill.</p> <p>The SOP describes;</p> <ul style="list-style-type: none"> - Ability to track all stages of the product from source to finished goods. - To understand FFB suppliers practices in producing, procuring and handling of FFB 	
4.2.3.2	Internal audit was conducted on 16/12/2018 for Sg. Tingkayu Estate as mechanism on traceability compliance. There is no finding on traceability.	Yes
4.2.3.3	<p>Aumkar Plantations Sdn Bhd appoints a traceability committee to manage the traceability system.</p> <p>Duty and responsibility is to conduct regular inspection on compliance with the established traceability system.</p>	Yes
4.2.3.4	<p>Records of delivery is review to crosscheck on the traceability.</p> <ol style="list-style-type: none"> 1. Log sheet dated 02/04/2019 states vehicle number and block numbers 2. Deliver order number 67600 dated 02/04/2019 states vehicle number. 3. Weighbridge ticket number P 00222881 dated 02/04/2019 states DO number 67600 and vehicle number. 4. Summary FFB dispatch records for the month of April 2019 is established and well maintained. <p>Unique identification number is delivery note (DN) number from estate stated in weighbridge ticket.</p>	Yes

Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.1.1	<p>Aumkar Plantations Sdn Bhd established list of license .</p> <p>Example of licenses:</p> <ol style="list-style-type: none"> 1. MPOB license valid from 01/04/2018 to 31/03/2019. 2. Diesel license for quantity of 15,000 litre valid from 05/12/2018 to 04/12/2019. 3. JTK approval for Menggaji pekerja bukan permastautin valid from 10/05/2018 to 09/05/2019. 	Yes

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Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
	4. Trading license for Aumkar Plantation Sdn Bhd valid from 30/01/2019 to 31/12/2019.	
4.3.1.2	<p>Aumkar Plantations Sdn Bhd established List of Related Laws, Regulations and Guidelines.</p> <p>Example of laws and regulations applicable to estate:</p> <ol style="list-style-type: none"> 1. Immigration Act 1959/63 (Act 155) & regulations and order 2. Sabah Labour Ordinance 1955 3. MPOB act 1994 4. Environment Quality Act 1974 5. Occupational Safety and Health Act 1994 6. Minimum wages order 2018 	Yes
4.3.1.3	<p>Aumkar Plantations Sdn Bhd establish SOP Mechanism for implementation of legal requirements and system for tracking changes in laws, document revision number 01 dated 01/10/2018.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. Flowchart for mechanism of implementation of legal requirements which includes steps in updating. 2. Evaluate the effectiveness of the changes in laws. 3. To assesses the current practices and suggest changes (if any) in consultation with the head of plantation / mill. 	Yes
4.3.1.4	The admin clerical staff is appointed as person in charge for monitoring legal compliance.	Yes
4.3.2.1	<p>The estates land title of Aumkar Plantations Sdn Bhd are country leased with tenure of 99 years therefore do not diminish the land user rights of others.</p> <p>There is no land issue raised during stakeholders meeting on 13/05/2019 and no grievance records.</p>	Yes
4.3.2.2	The estates land titles for Aumkar Plantations Sdn Bhd are country leased withwith land right use for agricultural. The land tenure is 99 years. The land titles are review to confirm land title type, tenure of lease and usage right.	Yes
4.3.2.3	<p>Legal perimeter boundaries are verified during field visits. All markers could be identified from GPS coordinates captured.</p> <p>Wooden peg and boundary stone sighted during observation at boundary areas.</p>	Yes

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Principle 3: Compliance to legal requirements		
Indicator	Summary of Assessment	Compliance
4.3.2.4	During stakeholders meeting on 13/05/2019, stakeholders confirmed that there are no dispute on Aumkar Plantations Sdn Bhd land titles. Review on complaint and grievances records no land dispute raised..	Yes
4.3.3.1	The land titles are country leased. There are no customary land titles.	Yes
4.3.3.2	There is no customary right land leased or owned by Aumkar Plantations Sdn Bhd. Therefore, no maps established.	Yes
4.3.3.3	The land titles are country leased. There are no customary land titles. There are no issue of land use rights raised during stakeholders meeting and no grievance records.	Yes

Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.1.1	SIA established dated 28/12/2018 and carried out from January to December 2018. Objective of SIA as guideline to handle social issue. There are (2) positive impact and (22) negative impact was identified during conduct the SIA. Action plan to mitigate the negative impact as well as to promote the positive impact also derived as reflected in the time bound plan (1, 3, 6, 12 months and continuously) SIA for internal and external stakeholders meeting have includes the attendance list. Summary of positive and negative impact have established in regards of questionnaire provided during the stakeholders meeting.	Yes
4.4.2.1	Aumkar Plantations Sdn Bhd establish SOP grievance dated 01/10/2018. The SOP describe: To address the internal grievance issue within 10 days. To address the external grievance issue within 60 days.	Yes
4.4.2.2	Sighted complaint and grievance book for house maintenance general logbook complaint and sexual harassment (human issue) to address the significant issues.	Yes
4.4.2.3	Complaint form is available at estate office.	Yes
4.4.2.4	Aumkar Plantations Sdn Bhd conduct briefing on complaint and grievance awareness dated 04/01/2019.	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Stakeholders meeting conducted on 15/12/2018 include all listed stakeholders.</p> <p>Meeting include social, complaint procedure, environment and safety and health topics.</p> <p>Interviews with workers they aware on how to lodge a complaint.</p> <p>Complaint box is available at the estate office.</p>	
4.4.2.5	There is no complaint and grievance recorded for the past 24 month.	Yes
4.4.3.1	<p>Aumkar Plantations Sdn Bhd contribute to local development.</p> <p>Example are listed below;</p> <ul style="list-style-type: none"> - Aumkar Plantations Sdn Bhd provides shop lot for locals to do business - Aumkar Plantations Sdn Bhd hire locals to work in mill and estates 	Yes
4.4.4.1	<p>Aumkar Plantations Sdn Bhd established OSH Policy Company Number: 042420-K, document revision 1 dated 02/07/2018 approved by Plantation Director.</p> <p>Review morning briefing records including OSH policy briefing conducted on 11/02/2019.</p> <p>The policy is made available at estates notice board, workshops, stores and workers housings area.</p>	Yes
4.4.4.2	<p>Aumkar Plantations Sdn Bhd Occupational Safety and Health plan covering all operations. The safety and health policy is displayed at the notice board office area, muster ground area and housing compounds..</p> <p>Sighted records of Safety and Health briefing conducted on 23/04/2019.</p> <p>Aumkar Plantations Sdn Bhd s established HIRARC report covered all operations. The HIRARC identify respective operations of the estates. In each operation, the identify activity includes hazards, risks and effects, existing control, risk assessment (livelihood, severity, risk level and description), risk control proposal, PIC, Risk Assessment After Risk Control and date.</p> <p>Training on safety and health for premix chemical conducted on 17/01/2019..</p> <p>Safety Data Sheet (SDS) for all agro-chemicals are available and kept at the stores.</p> <p>Appropriate PPEs are provided to workers based on the SDS and CHRA report. Records of PPE issued are well maintained.</p> <p>Chemical handlers undergo medical check-up twice a year to ensure they are medical fit for the assigned activities..</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>SOP for chemical handling document dated 01/10/2018 established and well maintained.</p> <p>SOP chemical handling describe to minimize chemical contamination and reduce environmental impact.</p> <p>Aumkar Plantations Sdn Bhd appoints a safety officer as responsible person for Safety and Health Committee.</p> <p>OSH committee meetings are held quarterly according to JKPP requirements. Sighted 3 meeting reports conducted on 04/09/2018, 07/12/2018, and 22/02/2019.</p> <p>SOP Emergency Plan Procedure date issue: 01/10/2018.</p> <p>Aumkar Plantations Sdn Bhd established emergency response plan for incident may / may not happen to deal with all emergencies at all premises, housing and working site.</p> <p>The ERT flow chart and emergency contact telephone numbers are made available at the estates noticeboards.</p> <p>First aid kits are available at relevant operation activities such as harvesting, chemical spraying, pre-mix area, workshop, labour quarters and offices. First aid training are provided to first aider by the estate clinic medical assistant.</p> <p>Accidents and injuries records are well maintained. Reporting to JKPP in accordance to requirements are available and reviewed.</p>	
4.4.5.1	<p>Social and human right policy dated 02/07/2018 signed by Company Director.</p> <p>The Policy states:</p> <ol style="list-style-type: none"> 1. Respecting the right to free from discriminations. 2. Respect the right for freedom of religion and belief. <p>Policy training on human right conducted on 02/01/2019 On site interview indicate workers are aware of the company policy.</p>	Yes
4.4.5.2	<p>Aumkar Plantations Sdn Bhd established Equal Opportunity Policy dated 02/07/2018 signed by Company Director.</p> <p>The policy describes;</p> <ul style="list-style-type: none"> - To treat everyone equally irrespective of sex, marital status, age, race, national origin, religion and political beliefs. - To ensure workplace free from discrimination and harassment. <p>Organization chart for gender committee 2018 - 2019 established for both male and female that includes the management and workers representatives.</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	<p>Gender committee meeting conducted on 05/10/2018</p> <p>Topics discussed during the meeting includes domestic violence and sexual harassment.</p> <p>Interviewed workers and staff informed no discriminatory practices or sexual harassment occur within the estates. There are no grievances records related to this.</p>	
4.4.5.3	<p>Aumkar Plantations Sdn Bhd established contract agreement for workers. The contract agreements are in accordance with Laws and Regulation of Sabah Labour Ordinance and Minimum Wages Order (revised) 2018.</p> <p>Contract agreements signed by both management and workers.</p>	Yes
4.4.5.4	<p>There are no contractors used by all estates.</p>	Yes
4.4.5.5	<p>Aumkar Plantations Sdn Bhd established workers list that include name, passport no, nationality, date of birth, job description and status.</p> <p>Aumkar Plantations has established the list of workers under Legalization Program PATI 2019, doc no BPAKK/JRSPPNS 2019.</p>	Yes
4.4.5.6	<p>Contract agreements for workers established duly signed by both workers and management. The contract agreement follows Sabah Labour Ordinance Chapter 67.</p> <p>Contract agreements available at the office.</p> <p>During interviews with workers, they are aware on the latest minimum wage base on Minimum Wage Order (revised) 2018.</p>	Yes
4.4.5.7	<p>Aumkar Plantations Sdn Bhd use check roll book to monitor workers daily and overtime working hours.</p> <p>Records of attendance sighted for month of April 2019 in the checkroll book.</p>	Yes
4.4.5.8	<p>Sighted working hour stated in the contract agreement:</p> <p>Working hours: 06.30 am until 02.30 pm (8hours)</p> <p>Breaks: 10.30 am – 11.00 am (30 minutes)</p> <p>Working hours displayed at the office notice board and muster ground.</p>	Yes
4.4.5.9	<p>Workers are paid either on daily rate or piece rate basis. No overtime work offered.</p> <p>The rate established has taken into consideration of minimum wages.</p> <p>1. The contract agreements of minimum wages order (revised) 2018 has sighted and the payment was paid accordingly..</p>	IK
4.4.5.10	<p>Aumkar Plantations Sdn Bhd provides benefits such as housing, free medical, Humana school for foreign children, harvesting incentives, , free</p>	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
	water supply, free electricity, religious worship place, childcare centre, sport amenities and contribution for festive season.	
4.4.5.11	Aumkar Plantations Sdn Bhd workers housing adopts the industry best practices for living quarters. Site observation on living quarters have basic amenities of water and electricity. sport recreational area and gardening area..	Yes
4.4.5.12	Sexual harassment policy dated 02/07/2018 signed by Company Director. The Policy states: 1. List of intolerable act such as sexual harassment and threatening. 2. Company commitment to act as soon as possible upon complaint and impose disciplinary action against convict. Aumkar Plantations Sdn Bhd also established sexual harassment grievance book to record any I the sexual harassment complaint.	OFl 2
4.4.5.13	Social and human right policy established dated 02/07/2018 signed by Company Director. The policy describes: To respect the right to form union and to join trade union. Aumkar Plantations Sdn Bhd has establish Joint Consultation Committee or Employees Consultation Committee (ECC). Latest meeting conducted on 01/11/2018.	Yes
4.4.5.14	Social and human right policy established dated 02/07/2018 signed by Company Director. The policy describes: Management would not employ any underage person below 18 years as employee. List of workers review and no children are hired. Site verification observed no child workers in the field.	Yes
4.4.6.1	Aumkar Plantations Sdn Bhd established training program for year 2019. Sighted records of training Example training conducted: 1) SOP for harvester dated 09/04/2019 2) Fire drill and fire extinguisher on 25/04/2019	Yes
4.4.6.2	Aumkar Plantations Sdn Bhd establish individual training evaluation according to the job description. Sighted list of training for workers.	Yes

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Principle 4: Social Responsibility, health, safety and employment conditions		
Indicator	Summary of Assessment	Compliance
4.4.6.3	<p>Aumkar Plantations Sdn Bhd established Training Programme for 2019 and update regularly. Sighted the training needs for all workers and management dated 02/01/2019</p> <p>Example list of training programme:</p> <ul style="list-style-type: none"> • SOP sprayers & PPE dated 11/04/2019 • Company policy dated 02/01/2019 	Yes

Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.1.1	<p>Aumkar Plantation Sdn Bhd has established Environmental Protection Policy dated 02/02/2018.</p> <p>The policy is in accordance with Environmental Quality Act 1974.</p> <p>Aumkar Plantations Sdn Bhd Environment Impact Assessment, Management actions plans and continuous improvement plan dated 28/12/2018. Environmental awareness training conducted on 10/05/2019 at Bandau Estate and Tingkayu Estate.</p>	Yes
4.5.1.2	<p>Environmental protection policy established dated 02/07/2018 sign by Plantation Director.</p> <p>The policy describes comply with relevant legislative requirement and ensuring proper preservation and protect natural habitat.</p> <p>Example aspect and impact stated in the assessment:</p> <ol style="list-style-type: none"> 1. Disposal of spent lubricant - spilling of oil which could cause pollution. 2. Landfill - Contamination of ground and surface water sources. 3. Diesel tank - Leakage from damaged diesel tank. 	Yes
4.5.1.3	<p>The Environmental Management Plan has assessed in aspect and impact of every activities in estates. . Negative and positive impacts of each activity is assessed.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. Landfill • Negative impact: Breeding ground of housefly and cause health problems. 	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<ul style="list-style-type: none"> • Positive impact: Keeping the housing compound clean and create conducive environment for leaving and the fill was located far away from housing and river areas. 2. Diesel tank • Negative impact: Hazardous effects of spillages. • Positive impact: For running vehicles. 	
4.5.1.4	<p>Aumkar Plantations Sdn Bhd established Continual Improvement Plan dated 20/04/2019.</p> <p>The CIP included:</p> <p>Topic: Environment.</p> <p>Improvement action:</p> <p>Protection and preservation of buffer zones in replanting areas.</p> <p>Expected outcomes:</p> <p>All watercourses through the plantation areas have buffer zones and to be maintain or preserves the current or future replanting areas.</p>	Yes
4.5.1.5	<p>Environmental policy and awareness training are conducted on each sites. Example: Conducted on 11/05/2019 at Bandau Estate.</p>	Yes
4.5.1.6	<p>Environmental quality meeting conducted on each operation sites including landfill management. The meeting is conducted quarterly at the same time with OSH meeting. Latest meeting conducted on 14/03/2019.</p>	Yes
4.5.2.1	<p>Aumkar Plantations Sdn Bhd established baseline for diesel consumption for three year 2016 to 2018..</p> <p>Sighted fuel consumption records for month of January until March 2019 compared with baseline established. There are fluctuation due to increase of corp production Diesel consumption per mt of FFB monitored established as part of control measure.</p>	Yes
4.5.2.2	<p>Aumkar Plantations Sdn Bhd established budget for fuel consumption for year 2019. Actual diesel usage for the month of January until March 2019 available.</p>	Yes
4.5.2.3	<p>Aumkar Mill generates renewable energy power using biogas and supplied to Tingkayu and Bandau estate to reduce usage of diesel.</p>	Yes
4.5.3.1	<p>Aumkar Plantations Sdn Bhd established Waste Management Plan 2019 dated 28/03/2019.</p> <p>Identification of waste and its source, example:</p> <ol style="list-style-type: none"> 1. Empty chemical containers: spraying activities. 2. Used battery: workshops. 	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<p>3. Clinical waste: Aumkar Clinic.</p> <p>Monitoring of waste, example:</p> <p>Scheduled waste: All scheduled waste sent to mill for disposed by license and registered scheduled waste contractors.</p> <p>Domestic waste and garden residue: Dispose at landfill. Segregate and collection of recyclable items.</p>	
4.5.3.2	<p>The waste Management Plan established includes:</p> <p>Example source identified and monitoring:</p> <ol style="list-style-type: none"> 1. Rotten bunches – monitoring of FFB evacuation. 2. EFB and POME– Disposal of EFB and POME treatment.. <p>Example action taken for waste reduction:</p> <ol style="list-style-type: none"> 1. All wastes sent to Aumkar Oil Mill for disposal by third party waste collector (Segar Alam Kinabalu). 2. Campaign and awareness on recycle programme conducted on 15/02/2019 to ensure workers are aware of the programme.. 	Yes
4.5.3.3	<p>Aumkar Plantations Sdn Bhd has established SOP empty chemical containers dated 01/102/2018.</p> <p>The SOP describe:</p> <ol style="list-style-type: none"> 1. No reuse of empty chemical containers except for planting pot or as waste collector bin. 2. Empty containers are stored at scheduled waste store and disposed by license scheduled waste collector. 3. Triple rinsing for all used empty containers before stored at scheduled waste store. 	Yes
4.5.3.4	<p>Observation at centralized scheduled waste store the empty chemical containers are punctured before storing. . Damaged and punctured chemical containers are disposed by 3rd party scheduled wastes collectors.</p>	Yes
4.5.3.5	<p>Domestic wastes are disposed in landfill at designated locations away from housing and waterways.</p>	Yes
4.5.4.1	<p>Aumkar Plantations Sdn Bhd has established Waste Management Plan, 28/03/2019.</p> <p>Stated in Waste management plan,</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	<ol style="list-style-type: none"> 1. GHG emission plan by: monitoring daily usage of fossil fuel Monitoring application of fertilizer and promote precision farming techniques. 2. Scheduled waste plan by: Empty pesticide containers Issuance of chemical containers shall be recorded and non-reused chemical containers will be collected by third party scheduled waste collector. 3. Sewage waste monitoring plan by: Schedule for sewage tank cleaning at periodic intervals. 	
4.5.4.2	<p>Included in in Environment Impact Assessment topic 2.1 Diesel pollution and GHG emissions by:</p> <ol style="list-style-type: none"> 1. Monitoring the effectiveness of diesel usage by tractors. 2. Monitoring application of fertilizers via records and monitoring and promote precision farming techniques. 3. Monitoring daily usage of fossil fuel and expressing in terms of liters to FFB. 	Yes
4.5.5.1	<p>Aumkar Plantations Sdn Bhd established Water Management Plan 2019 dated 28/03/2019.</p> <p>Source of water for Bandau Estate, Sungai Tingkayu Estate and Tigkayu Estate from water catchment pond managed by Aumkar Oil Mill.</p> <p>Inflow and outflow of water in the estates are monitor and test conducted test by 3rd party to ensure the quality is not affected by the estates activities.</p> <p>The management has several initiative to preserve water, example;</p> <ol style="list-style-type: none"> 1. Construction of estate drains to ensure no flood and retain water during drought. 2. frond staking 3. Maintenance of buffer zone by marking on palm trunk. These area are not permissible for manuring and spraying activities. 	Yes
4.5.5.2	<p>Observations during field visits there are no construction of bunds across the rivers.</p>	Yes
4.5.5.3	<p>Rainwater harvesting is practice at housing compound.</p> <p>On site verification at harvesting area field PM06 Tingkayu Estate, water are stored in silt pit and drains are constructed.</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
4.5.6.1	<p>Rare treated endangered (RTE) species identified in Environmental Impact Assessment report dated 28/12/2018.</p> <p>Riparian reserve, buffer zone identified at Sungai Ulu Tingkayu.</p> <p>Type of fauna found of different insect species, birds and animals (wild boar and long tail monkeys and Orang Utan).</p> <p>No activity at Lembah Danum forest boundary and no one allowed to enter without permission from the Department of Wildlife and National Parks.</p>	Yes
4.5.6.2	<p>The RTE identified according to Sabah Wildlife Conservation Enactment 1997 and Wildlife Regulation 1998.</p> <p>Briefing provided during the muster call to brief on legal protection and prohibition based on Sabah wildlife enactment 1997.</p> <p>Signage installed in various spot example at buffer zone to make sure that no illegal hunting. There is no human-wildlife conflict evidence in the estate.</p>	Yes
4.5.6.3	<p>Aumkar Plantations Sdn Bhd has been established Environmental impact assessment report dated 28/01/2019 at Internal conservation area: Wild Life protection (Flora & Fauna) plans and monitoring programmes.</p> <p>Monitoring plan established to monitor the respective areas.</p> <ol style="list-style-type: none"> 1. Weekly once patrolling at the area to ensure the area is conserved and protected. 2. Frequent inspection on the housing area to ensure no keeping of wildlife. 3. No activity at forest border and no one allowed to enter without permission from Department of Wildlife and National Parks. <p>Monitoring activities conducted well maintained.</p>	Yes
4.5.7.1	<p>Aumkar Plantations Sdn Bhd has establish Environmental Protection Policy dated 02/07/2018 approved by Plantation Director.</p> <p>During on site verification at field operation, domestic wastes landfill sites and labour quarters no open burning activities found.</p>	Yes
4.5.7.2	<p>Aumkar Plantations Sdn Bhd adopted establish the group Environmental Protection Policy dated 2/07/2018 approved by Plantation Director.</p> <p>Stated in the policy under section preventing open burning in any part of our premises or any harmful activities to the environment.</p>	Yes
4.5.7.3	<p>During on site verification at field operation, domestic wastes landfill sites and labour quarters no open burning activities found.</p>	Yes
4.5.7.4	<p>There is no new planting and replanting activity in Aumkar Plantations Sdn Bhd as of audit date.</p>	Yes

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Principle 5: Environment, natural resources, biodiversity and ecosystem services		
Indicator	Summary of Assessment	Compliance
	Open burning is not part of company procedure in replanting. The old stand will be felled and chipped.	

Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
4.6.1.1	<p>Aumkar Plantations Sdn Bhd has established Standard operating procedure (SOP) for best practices.</p> <p>Examples:</p> <ol style="list-style-type: none"> 1. Nursery practice revision 01 dated 01/10/2018. 2. Land clearing, preparation and planting revision 01 dated 01/10/2018. 3. SOP Work safety for chemical handling revision 01 dated 01/10/2019. 4. SOP for riparian area revision 01 dated 01/10/2019. <p>Training on SOP conducted.</p> <p>Example:</p> <ol style="list-style-type: none"> 1. SOP Harvester dated 09/04/2019. 2. Sprayer dated 12/03/2019. <p>Interview workers in field could demonstrate understanding of SOP safety precautions.</p>	OK
4.6.1.2	<p>SOP for Checklist on managing Problematic Soil, revision no 1 dated 01/11/2018 is establish.</p> <p>The purpose of this SOP is to provide a checklist on management of soil problems</p> <p>Type of soil problem:</p> <p>Soil on steep terrain (Land above 25° or 50% steep)</p> <p>Management on problematic soil; Soil on steep terrain; Ensure that terraces are well constructed; Ensure that cover crops are established; Use empty fruit bunches.</p> <p>Slope areas and terrace cultivation planting of cover crops such as legume and fronds stacking for soil erosion control. <i>Mucuna Bracteata</i> is soft grass is planted to control erosion.</p>	Yes

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Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
	Stated that for Sabah & Sarawak legislation slope of 25 degrees or steeper are considered as high risk erosion areas and cannot undergo new planting / developed for new planting unless specified in the EIA report and approved by relevant authorities. For Sabah areas of 100 ha to 500 ha are subject to approval of mitigation measure.	
4.6.1.3	Block identifications are established and observed during field visits.. Example: 1. Block 10, hectare: 27.00 ha, planting Material: HRU Year of Planting 2001. 2. Block 25, hectare: 92.90 ha, planting material: G/Hope, Year of Planting: 1996.	Yes
4.6.2.1	Aumkar Plantations Sdn Bhd has establish long-term plan & financial projection for year 2019-2023. The content of financial plan includes; 1. Oil palm monthly seasonal budget 2019 -2023 2. Summary of area statement 2019 -2023 3. Oil palm area statement budget 2019 -2023 4. Vehicle running expenses budget from 2019 - 2023	Yes
4.6.2.2	Aumkar Plantations Sdn Bhd establish replanting programme for year 2019 – 2023. Example: Tingkayu Estate replanting programme updated on 09/04/2019 a. replanting will start in 2022	Yes
4.6.2.3	Aumkar Plantations Sdn Bhd established the business management plan for 2019 until 2023 dated 30 April 2019. The plan includes: 1. FFB projection (MT). 2. Yield/Ha (MT/Ha). 3. FFB Price forecast/MT. 4. Estate operating cost/MT. 5. Planting material Financial indicators of total revenue, gross income and profit.	Yes
4.6.2.4	Aumkar Plantations Sdn Bhd establish monthly progress report capturing FFB production, yield, operation expenses, etc, to compare against the projections.	Yes

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Principle 6: Best Practices		
Indicator	Summary of Assessment	Compliance
4.6.3.1	Aumkar Plantations Sdn Bhd request for quotations for purchases and issue purchase order upon finalising of purchase confirmation.. Sighted purchase order for purchase of fertilizer, equipment and PPE signed by both parties with agreed terms and conditions.	Yes
4.6.3.2	No contractors engaged by Aumkar Plantations Sdn Bhd. Therefore, no contract agreements available for review.	Yes
4.6.4.1	No contractors engaged by Aumkar Plantations Sdn Bhd. Therefore, no contract agreements available for review.	Yes
4.6.4.2	No contractors engaged by Aumkar Plantations Sdn Bhd. Therefore, no contract agreements available for review.	Yes
4.6.4.3	No contractors engaged by Aumkar Plantations Sdn Bhd. Therefore, the indicator is not applicable.	Yes
4.6.4.4	No contractors engaged by Aumkar Plantations Sdn Bhd. Therefore, the indicator is not applicable.	Yes

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Principle 7: Development of new planting		
Indicator	Summary of Assessment	Compliance
4.7.1.1	Review on planting statements of Aumkar Plantations Sdn Bhd, there is no new planting sighted. The first planting is on 1993 and the last planting on 2017.	Yes
4.7.1.2	Review on planting statements of Aumkar Plantations Sdn Bhd there is no new planting sighted. The first planting is on 1993 and the last planting on 2017. Aumkar Plantations has established 5 years programme for replanting from year 2019 to 2023 for Aumkar plantations Sdn Bhd.	Yes
4.7.2.1	Planting statement of Aumkar Plantations Sdn Bhd is review there is no peat land in all estates. Observation during field visit indicate no peat land on the estates.	Yes
4.7.3.1	Review on planting statement and from field observations no new planting in Aumkar Plantations Sdn Bhd. Therefore, no SEIA establish.	Yes
4.7.3.2	Review on planting statement and from field observations no new planting in Aumkar Plantations Sdn Bhd. Therefore, no SEIA establish.	Yes
4.7.3.3	Review on planting statement and from field observations no new planting in Aumkar Plantations Sdn Bhd. Therefore, no SEIA establish.	Yes
4.7.3.4	There are no scheme smallholders under Aumkar plantations Sdn Bhd management therefore not applicable.	Yes
4.7.4.1	There are no new plantings as at 2018. Therefore, no soil and topographic map establish.	Yes
4.7.4.2	There are no new plantings as at 2018. Therefore, no soil and topographic map establish.	Yes
4.7.5.1	The planting statement, estates maps and land titles are reviewed. There are no new plantings, therefore not applicable.	Yes
4.7.5.2	The planting statement, estates maps and land titles are reviewed. There are no new plantings, therefore not applicable.	Yes
4.7.5.3	The planting statement, estates maps and land titles are reviewed. There are no new plantings, therefore not applicable.	Yes
4.7.6.1	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore no FPIC conducted.	Yes
4.7.6.2	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore no management plan establish to maintain scared sites.	Yes
4.7.6.3	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore, no documentary proof of the transfer of rights and of payment or provision of agreed compensation establish.	Yes

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4.7.6.4	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore, no compensation land acquisitions and relinquishment of rights establish.	Yes
4.7.6.5	Planting statement, estate maps and land titles are reviewed. There are no new planting, therefore, no assessment of legal and recognised customary rights establish.	Yes
4.7.6.6	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore, no system for identifying people entitled to compensation and for calculating and distributing fair compensation establish.	Yes
4.7.6.7	Planting statement, estate maps and land titles are reviewed. There are no new plantings, therefore, no compensation claims documented and made publicly available.	Yes
4.7.6.8	Planting statement, estate maps and land titles reviewed and there are no new planting. Therefore, no impact to local communities.	Yes

4.4. Status of Non-Conformities Previously Identified

X	The stage 1 audit findings have been reviewed, in particular to assure appropriate corrective actions implemented to address the identified audit findings.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, in particular to assure appropriate corrections and corrective actions have not been implemented effectively. The non-conformity will be re-raised.
	Not applicable. No non-conformity raised in previous audit.
<p><i>Note 1: If a minor non-conformity raised in last audit, is not closed out, then this finding will be re-raised to a Major non-conformity.</i></p> <p><i>Note 2: All minor NCs raise in last audit are required to capture in this report together with the closing of the non-compliance.</i></p>	

4.5. Detail of Audit Findings in last audit

This is certification audit the findings found during stage 1 audit are addressed appropriately prior to stage 2 certification audit.

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4.6. Detail of Audit Findings Identified During This Audit

This section gives an overview of the non-conformities raised during this audit.

AUDIT OUTCOME		
During this audit,	0	MAJOR Non-Conformities
	0	MINOR Non-Conformities

Nr.	Indicator	Location	Opportunity for Improvement
1.	4.4.5.9	Sg. Tingkayu Estate	The management may consider: 1. Improve on the monitoring of wage payment for rest day. 2. Communicate with JTK for clarification on working on rest day
2.	4.4.5.12	Sg. Tingkayu Estate	The management may consider improve the gender meeting agenda.
3.	4.6.1.1	All estates	The management may consider improve the SOP on best agriculture practises

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5. CONCLUSION

Aumkar Plantations Sdn Bhd has commissioned TUV NORD (Malaysia) Sdn Bhd to conduct Certification audit according to MSPO 2530-3:2013 Part 3 General principles for Plantations and Organised Smallholders.

From the review of the standard operating procedures, relevant forms, work flow charts established and implemented; the subsequent background investigation and interviews conducted during this surveillance audit have provided TUV NORD Malaysia with sufficient evidence on the fulfilment of the applied standard Principles & Criteria.

In conclusion the certified unit has been established, implemented and continued to improve in managing the estates are in line with the Principles & Criteria of the applied standards of MS 2530-Part 3:2013.

The audit is based on sampling within an organization's management system and therefore is not a guarantee of 100 % conformity with requirements.

As a result of this audit, the audit team confirms that:

Total certified number of estates:	9	Estates
Total certified production area:	7543.34	Ha
Certified FFBs January to April 2019:	41,437.23	Mt
Projection FFBs May to December 2019:	97,950.00	Mt

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6. RECOMMENDATION

The audit team conduct a process-based audit focussing on significant aspects/risks and objectives required by the standard(s). The audit methods used are interviews, observations, sampling of activities, review of documentation and records.

The structure of the audit is in accordance with the audit plan included in this summary report as annex.

The audit team concludes that the organisation has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organisation's policies and objectives.

Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be

<input checked="" type="checkbox"/>	Recommended for Certification
<input type="checkbox"/>	Recommended for Continuity of Certification
<input type="checkbox"/>	Recommended for Suspension of Certification

Puchong, 14/08/2019

Ariff Bin Lokman
TUV NORD (Malaysia) Sdn Bhd
Audit Team Leader (Trainee)

Puchong, 14/08/2019

Nor'adhlin Sofia Binti Razali
TUV NORD (Malaysia) Sdn Bhd
Certifier / Approver

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7. REFERENCES

Table 7-1: List of Interviewed Persons And Stakeholders

No.	Name	Organisation / Function
1.	Corey Felino Henry	Alfa Laval Malaysia Sdn Bhd
2.	Epwine Reducto	Hardware Supplier
3.	Alvin Lew	Qwatso Chemical
4.	Hamizan Jiris	KHL Plantation
5.	Adward Manak	Jabatan Perhutanan Sabah
6.	Jude Jungoh	Jabatan Perhutanan Sabah

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Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities / Audit Objectives

This report is sent to the certification body or bodies, the members of the audit team and the audit representative of the organisation. All documents (such as this report) regarding the certification procedure are treated confidentially by the audit team and the certification body. This audit report remains the property of the certification body.

An audit is a procedure based on the principle of random sampling and cannot cover each detail of the management system. Therefore nonconformities of weaknesses may still exist which were not expressly mentioned by the auditors in the final meeting or in the audit report.

The responsibility for continuous effective operation of the management system always rests solely with the audited and certified organisation.

Salvo clause:

The audit report will be left to the organisation at the end of the audit - subject to approval by the certification body. The independent release process may cause modifications or additions. In these cases a modified revision will be sent to the audited organisation.

The objective (goal) of the audit is to establish compliance of the management system of the aforementioned organization with the requirements of the aforementioned standard in order to achieve or maintain certification through an independent and accredited certification body.

Identification of possibilities to improve the management system can also be a component of the audit and is considered simply to be an enhancement; it does not constitute consultancy or advice with regard to the management system.

Annex / Enclosures

Annex /
corresponding audit documentation

- P&C Audit Report / Checklist(s)
- Audit Plan
- Additional annexes, number

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